

# The Role of Liquid biopsy in Treatment of Lung Cancer

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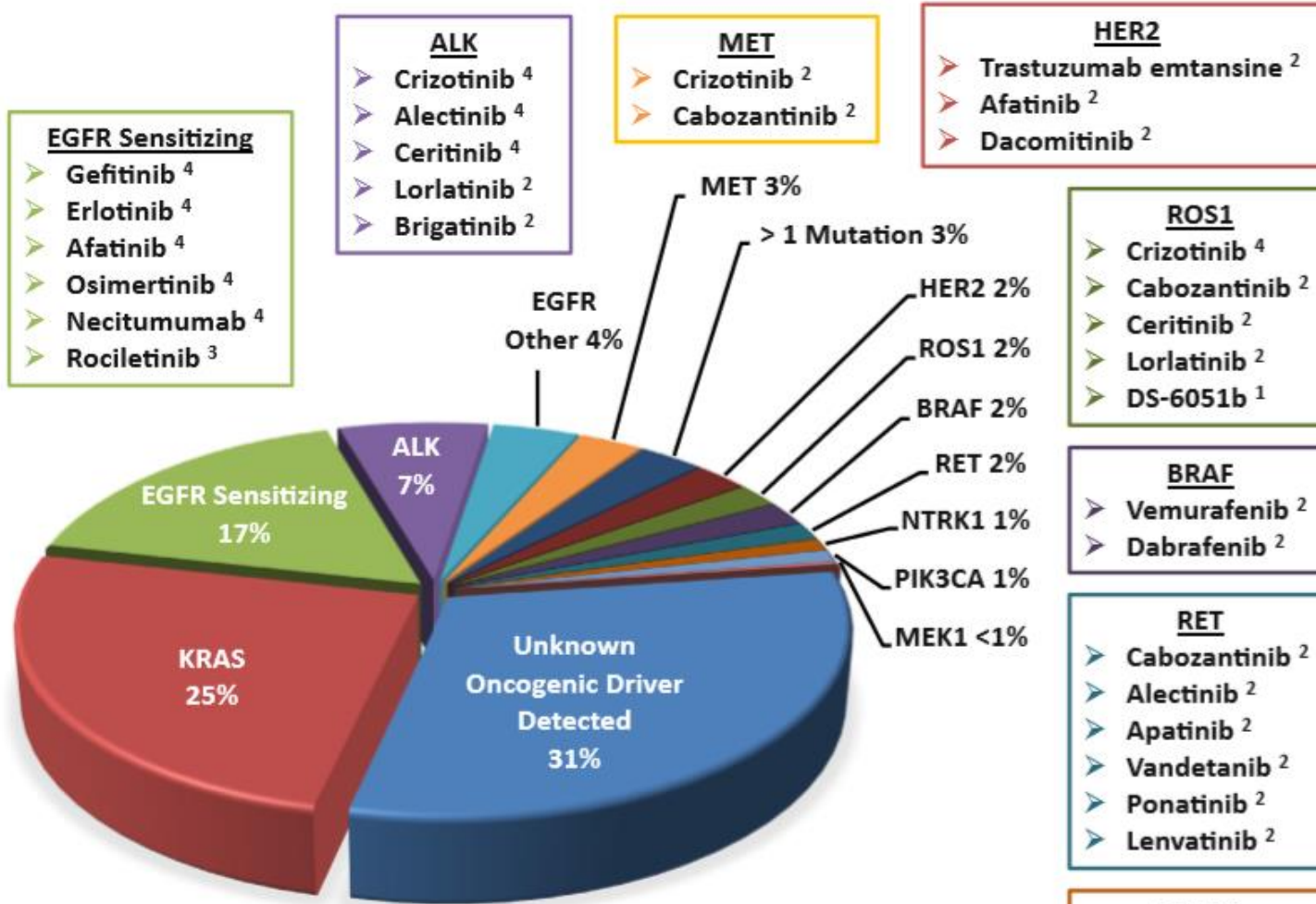
a. Detection of genetic alteration

b. Disease monitoring

c. Relapse; Resistance mechanism

III. Summary

# Driver oncogenes in lung adenocarcinoma

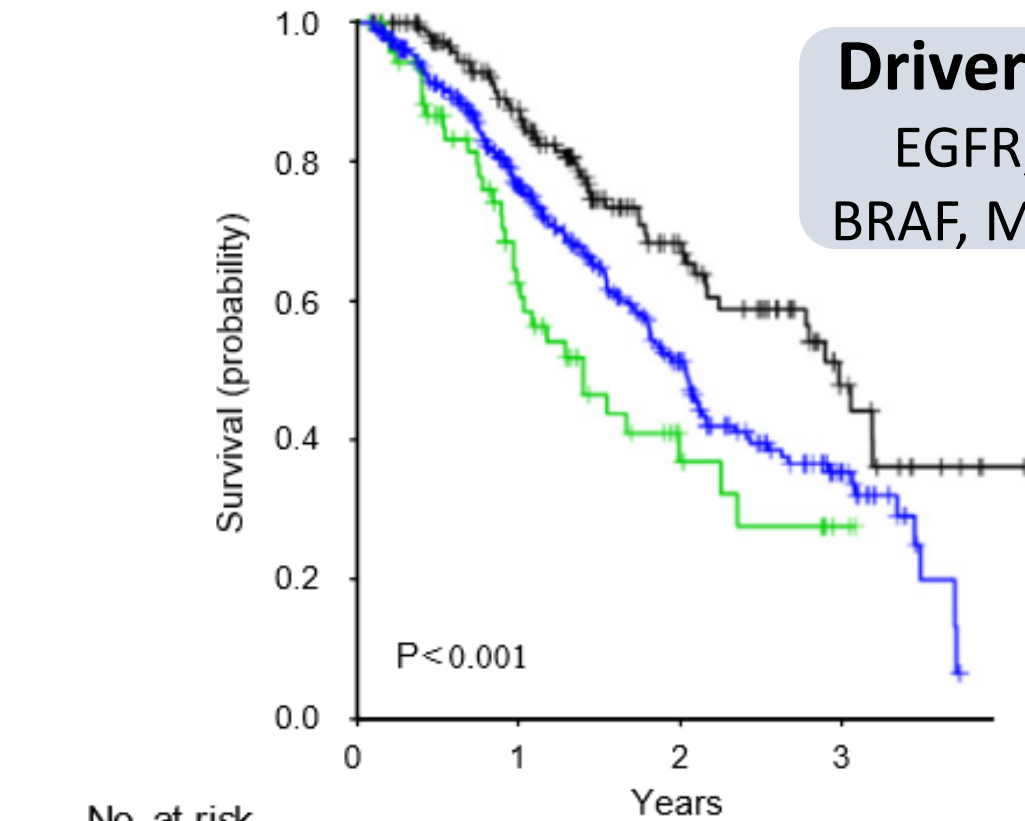
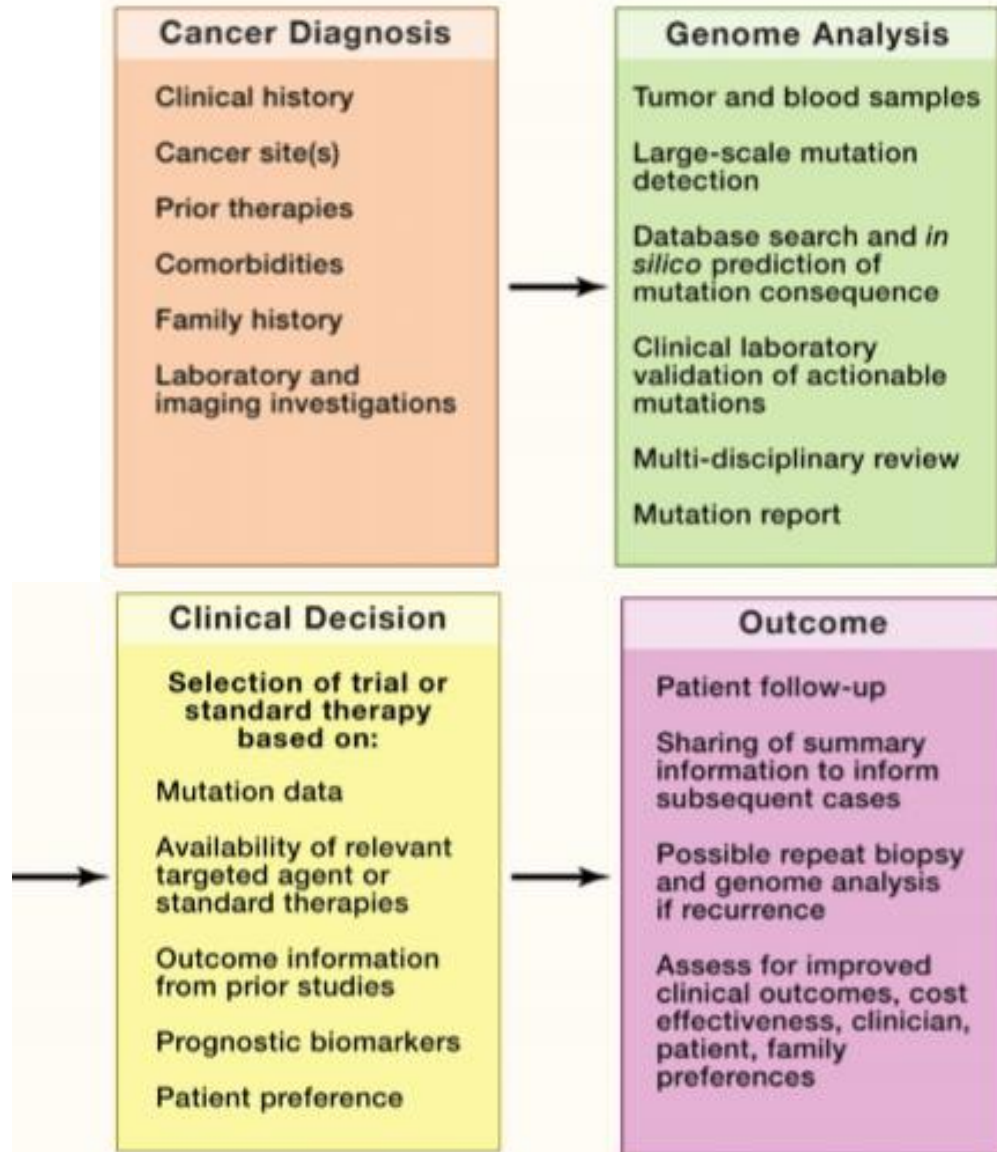


Key	
1 - Phase I	3 -Phase III
2 - Phase II	4 - Approved

► Addictive driver oncogenes

**Targets : Biomarkers**

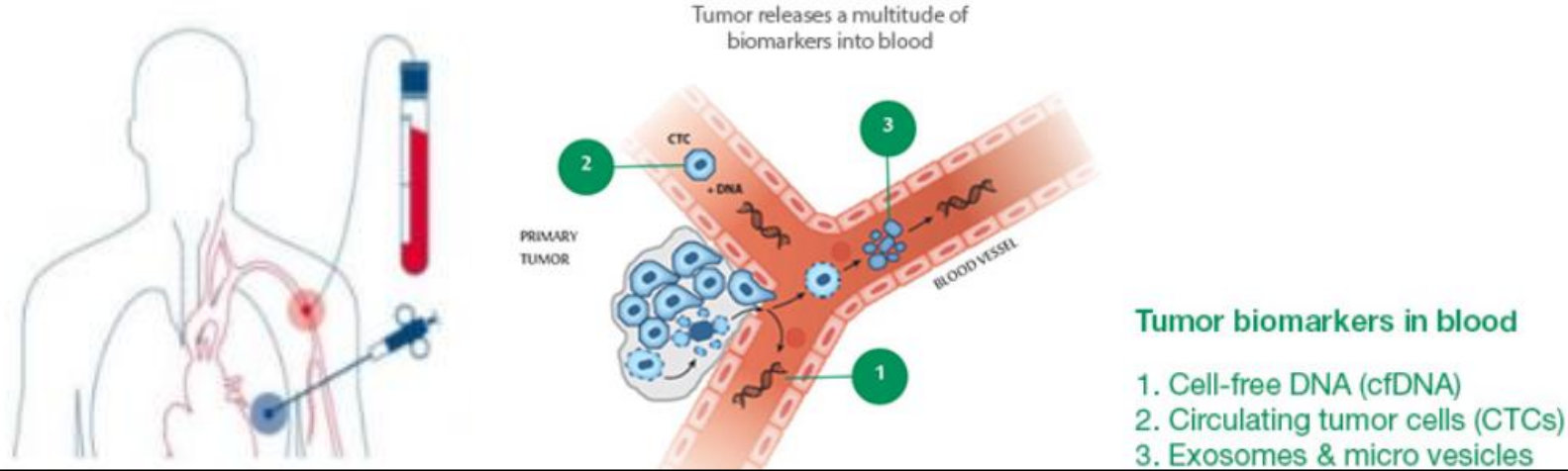
# Genetic basis for lung cancer treatment



**Driver mutations :**  
 EGFR, ALK, ROS-1,  
 BRAF, MET, ERBB2, RET

	No. at risk	0	1	2	3
Driver plus Ttx	161	101	41	12	
Driver no Ttx	74	28	8		
No driver	332	178	63	15	

# Tumor biopsy vs. Liquid biopsy



## Tumor biopsy

Gold standard for genotyping  
Diagnostic, prognostic, predictive  
Histologic diagnosis important  
FFPE archived tissue  
Assess tumor content

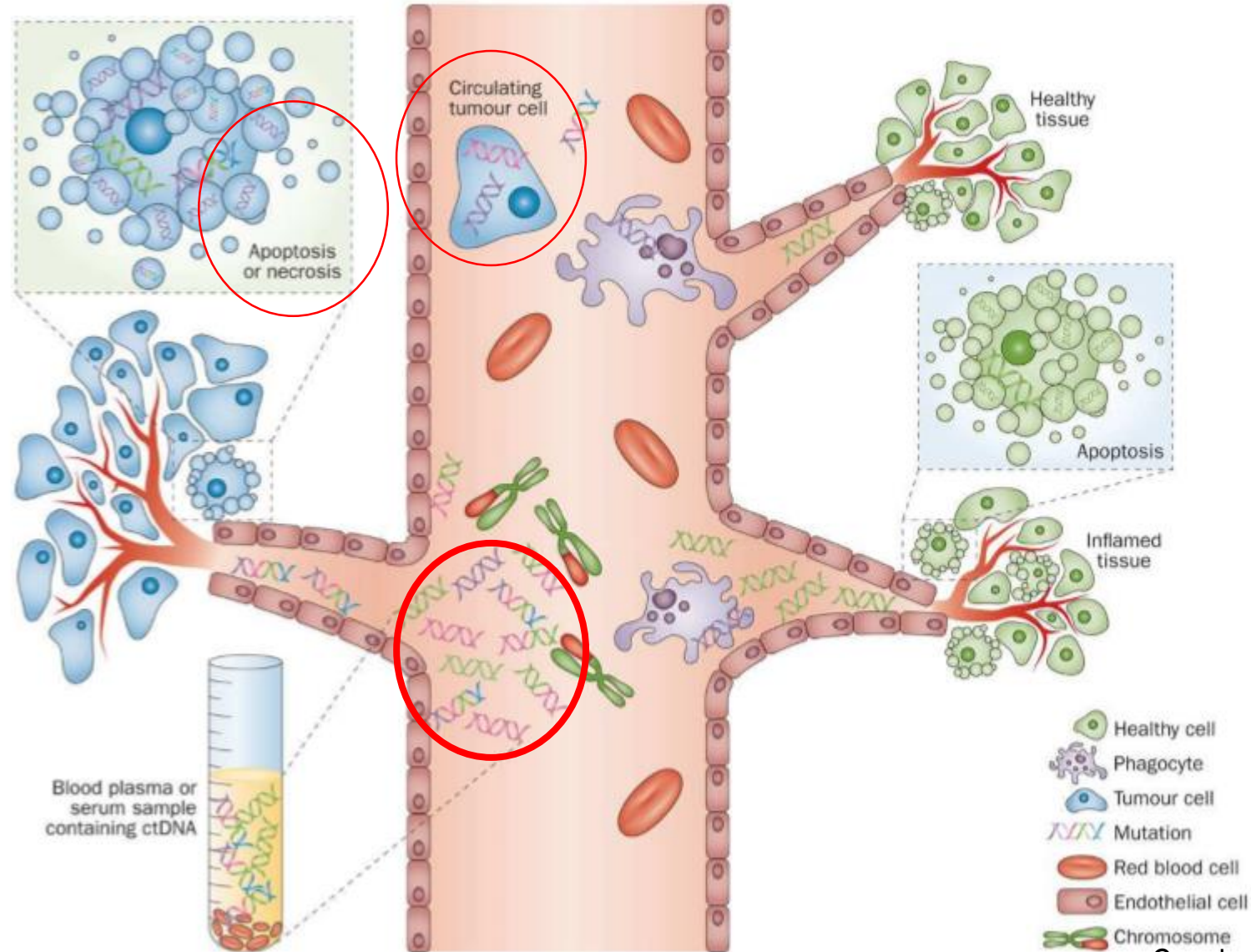
Susceptible to preanalytic factors  
Serial biopsies not practical  
May not represent tumor heterogeneity  
Invasive procedure; complications

## Liquid biopsy

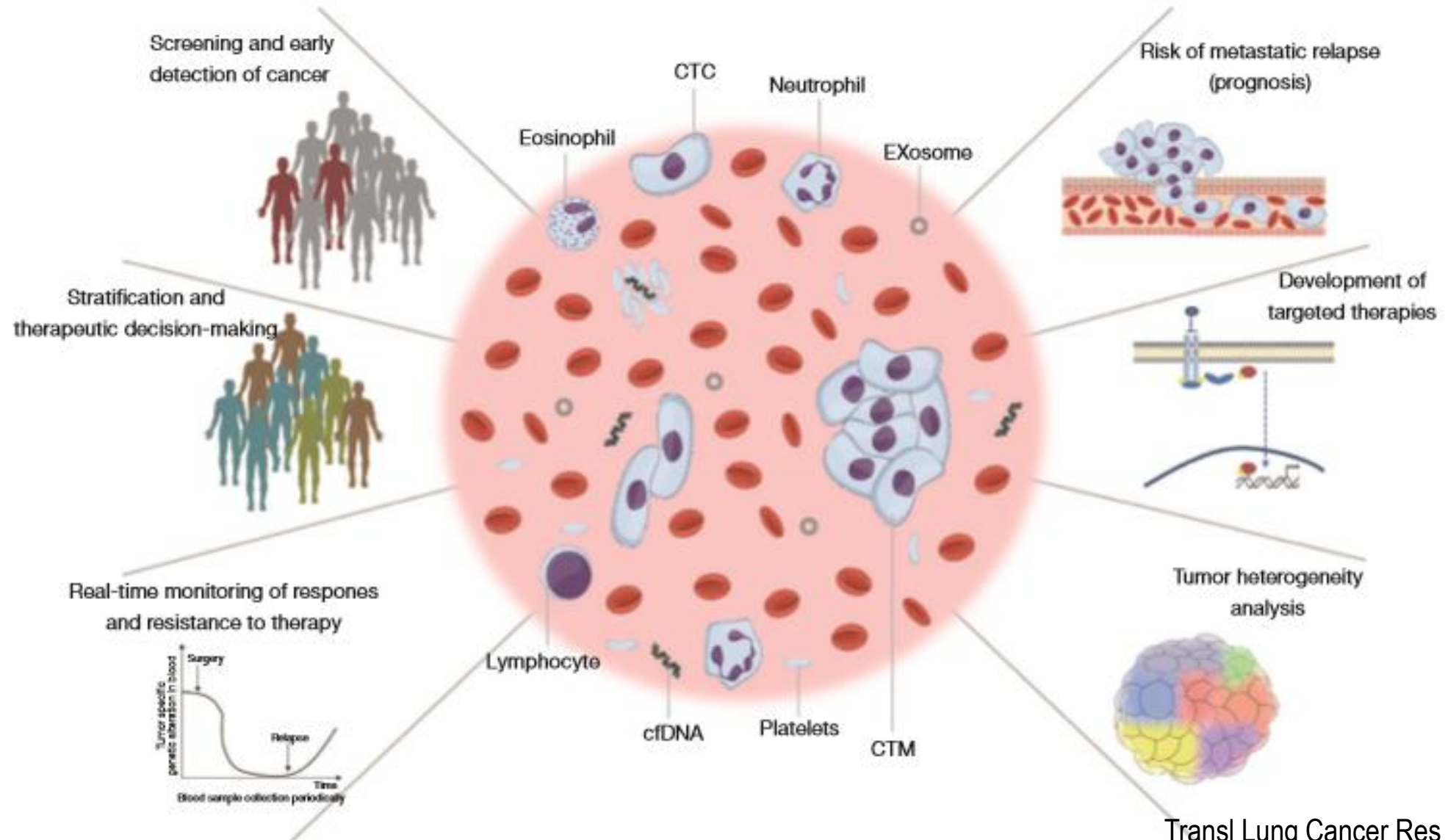
**Less invasive**, more accessible  
**Serial testing** over time/treatment  
Avoidance of additional biopsies  
Minimal processing; turn around time  
May better capture tumor heterogeneity  
Potentially quantifiable

Susceptible to preanalytic factors (plasma half-life < 2h)  
Low concentration of ctDNA in background of genomic DNA

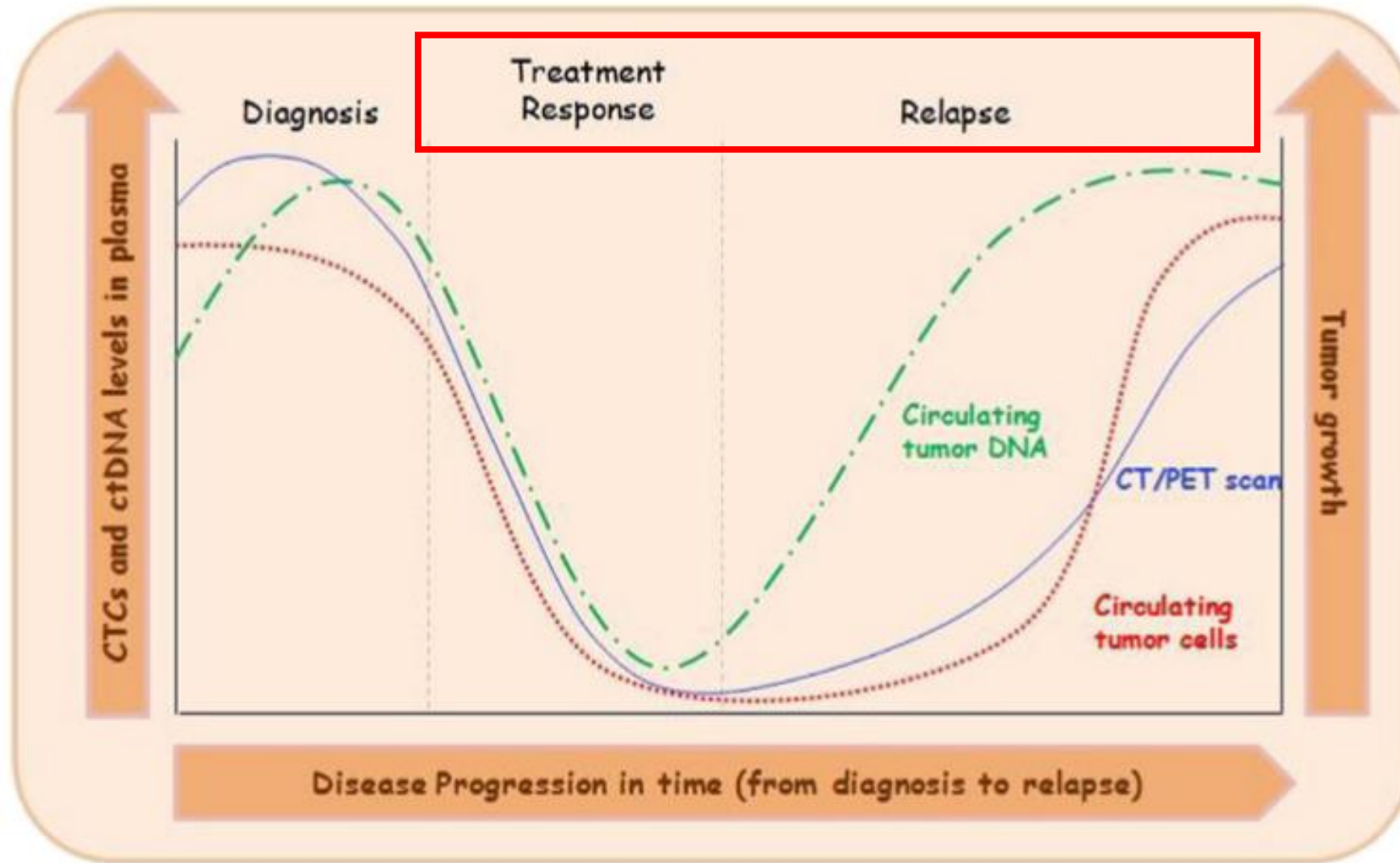
# Liquid biopsy - 3 main areas



# Clinical applications of Liquid biopsy



# Dynamic monitoring of CTC and ctDNA



# Early stage lung cancer → Resection?

## The NEW ENGLAND JOURNAL of MEDICINE

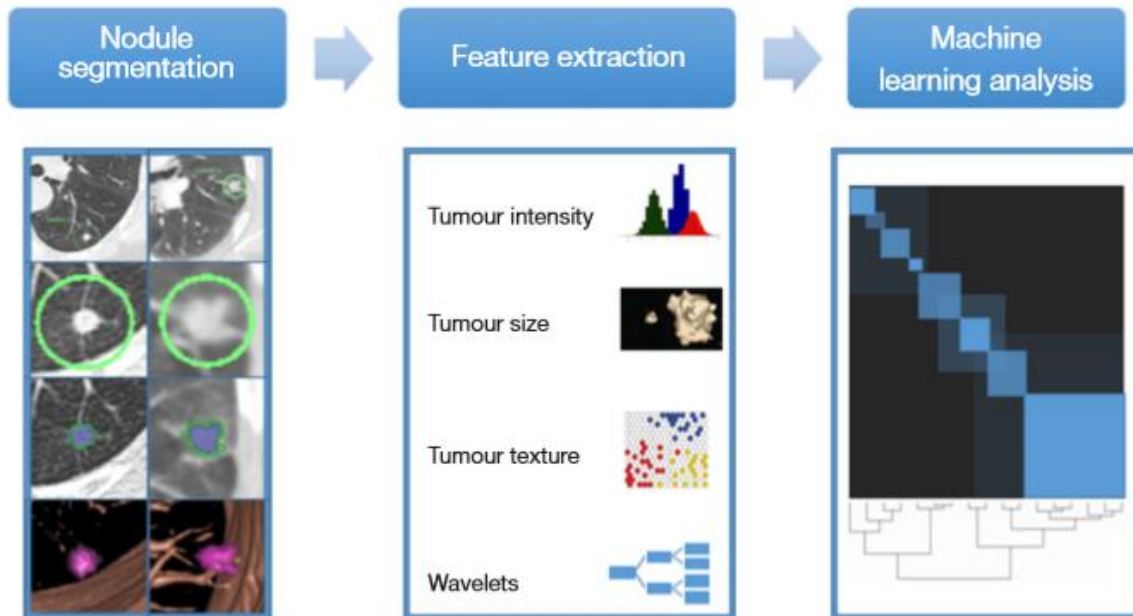
ESTABLISHED IN 1812

AUGUST 4, 2011

VOL. 365 NO. 5

### Reduced Lung-Cancer Mortality with Low-Dose Computed Tomographic Screening

The National Lung Screening Trial Research Team\*



### Clinical Utility of a Plasma Protein Classifier for Indeterminate Lung Nodules

Anil Vachani<sup>1</sup> · Zane Hammoud<sup>2</sup> · Steven Springmeyer<sup>7</sup> · Neri Cohen<sup>3</sup> · Dao Nguyen<sup>4</sup> · Christina Williamson<sup>5</sup> · Sandra Starnes<sup>6</sup> · Stephen Hunsucker<sup>7</sup> · Scott Law<sup>7</sup> · Xiao-Jun Li<sup>7</sup> · Alexander Porter<sup>7</sup> · Paul Kearney<sup>7</sup>

### A Blood-Based Proteomic Classifier for the Molecular Characterization of Pulmonary Nodules

Xiao-jun Li<sup>1,\*</sup>, Clive Hayward<sup>1</sup>, Pui-Yee Fong<sup>1</sup>, Michel Dominguez<sup>1,†</sup>, Stephen W. Hunsucker<sup>1</sup>, Lik Wee Lee<sup>1</sup>, Matthew McLean<sup>1,‡</sup>, Scott Law<sup>1</sup>, Heather Butler<sup>1,§</sup>, Michael Schirm<sup>2</sup>, Olivier Gingras<sup>2</sup>, Julie Lamontagne<sup>2</sup>, Rene Allard<sup>2</sup>, Daniel Chelsky<sup>2</sup>, Nathan D. Price<sup>3</sup>, Stephen Lam<sup>4</sup>, Pierre P. Massion<sup>5</sup>, Harvey Pass<sup>6</sup>, William N. Rom<sup>7</sup>, Anil Vachani<sup>8</sup>, Kenneth C. Fang<sup>1</sup>, Leroy Hood<sup>3</sup>, and Paul Kearney<sup>1,\*</sup>

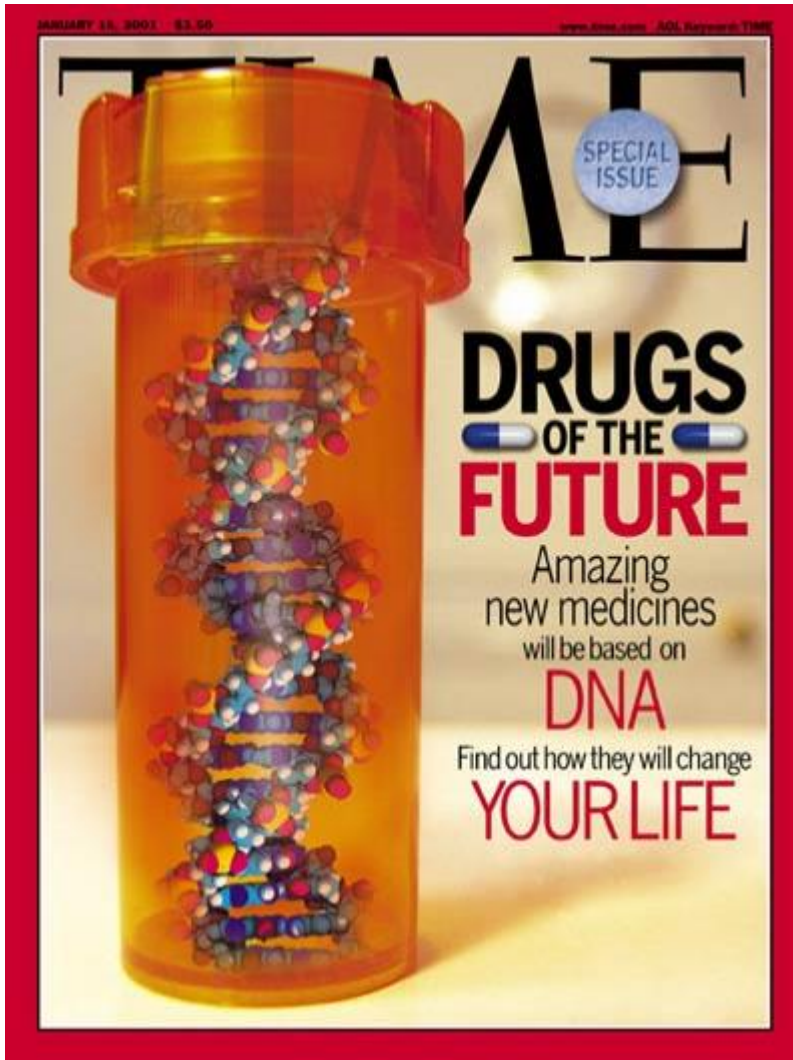
### Assessment of Plasma Proteomics Biomarker's Ability to Distinguish Benign From Malignant Lung Nodules

Results of the PANOPTIC (Pulmonary Nodule Plasma Proteomic Classifier) Trial

Vachani A, et al. Lung. 2015;193(6):1023-7.  
Li XJ et al. Sci Transl Med. 2013;5(207):207ra142.  
Silvestri GA et al. Chest. 2018 Mar 1. pii: S0012-3692(18)30307-6.  
Wilson R, et al. Transl Lung Cancer Res. 2017 Feb;6(1):86-91.

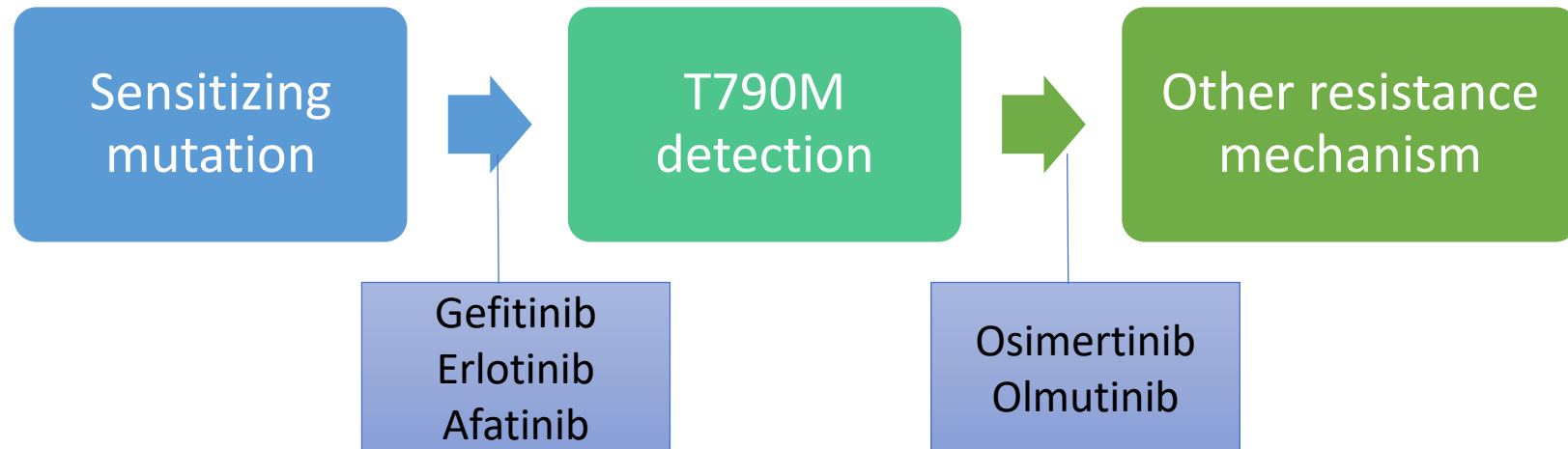
National Lung Screening Trial Research Team, et al. N Engl J Med. 2011;365(5):395-409.

# Advanced stage lung cancer



- ▶ Personalized medicine offers
  - The right treatment
  - To the right patient
  - For the right disease
  - At the right time
  - With the right dose

▶ Ex.) EGFR mutated tumor



# Molecular testing in advanced NSCLC

## CLINICAL PRESENTATION

Metastatic Disease →

- Establish histologic subtype<sup>a</sup> with adequate tissue for molecular testing (consider rebiopsy<sup>gg</sup> if appropriate)
- Smoking cessation counseling
- Integrate palliative care<sup>c</sup> ([See NCCN Guidelines for Palliative Care](#))

## HISTOLOGIC SUBTYPE<sup>a</sup>

- Adenocarcinoma
- Large cell
- NSCLC not otherwise specified (NOS)

Squamous cell carcinoma

## TESTING<sup>hh</sup>

- Molecular testing
  - ▶ **EGFR mutation testing (category 1)**
  - ▶ **ALK testing (category 1)**
  - ▶ **ROS1 testing**
  - ▶ **BRAF testing**
- ▶ Testing should be conducted as part of broad molecular profiling<sup>ii</sup>
- PD-L1 testing<sup>ll</sup>

- Molecular testing
  - ▶ Consider **EGFR mutation and ALK testing<sup>jj</sup>** in never smokers or small biopsy specimens, or mixed histology<sup>kk</sup>
  - ▶ Consider **ROS1 testing**
  - ▶ Consider **BRAF testing**
- ▶ Testing should be conducted as part of broad molecular profiling<sup>ii</sup>
- PD-L1 testing<sup>ll</sup>

## TESTING RESULTS<sup>hh</sup>

- Sensitizing **EGFR** mutation positive ([see NSCL-18](#))
- **ALK** positive ([see NSCL-21](#))
- **ROS1** positive ([see NSCL-24](#))
- **BRAF V600E** positive ([see NSCL-25](#))
- PD-L1 positive<sup>ll</sup> and **EGFR**, **ALK**, **ROS1**, **BRAF** negative or unknown ([see NSCL-26](#))
- **EGFR**, **ALK**, **ROS1**, **BRAF** negative or unknown, PD-L1 <50% or unknown ([see NSCL-27](#))
- Sensitizing **EGFR** mutation positive ([see NSCL-18](#))
- **ALK** positive ([see NSCL-21](#))
- **ROS1** positive ([see NSCL-24](#))
- **BRAF V600E** positive ([see NSCL-25](#))
- PD-L1 positive<sup>ll</sup> and **EGFR**, **ALK**, **ROS1**, **BRAF** negative or unknown ([see NSCL-26](#))
- **EGFR**, **ALK**, **ROS1**, **BRAF**, negative or unknown, PD-L1 <50% or unknown ([see NSCL-28](#))

If repeat biopsy is not feasible, [plasma biopsy should be](#) considered.

# ctDNA as a surrogate for determination of EGFR status

- ▶ IFUM study; 1<sup>st</sup> line gefitinib in Caucasian EGFR+ advanced NSCLC patients
- ▶ Scorpion ARMS-based EGFR mutation detection kit
  - (Therascreen EGFR RGQ PCR kit; Qiagen, Crawley, UK)

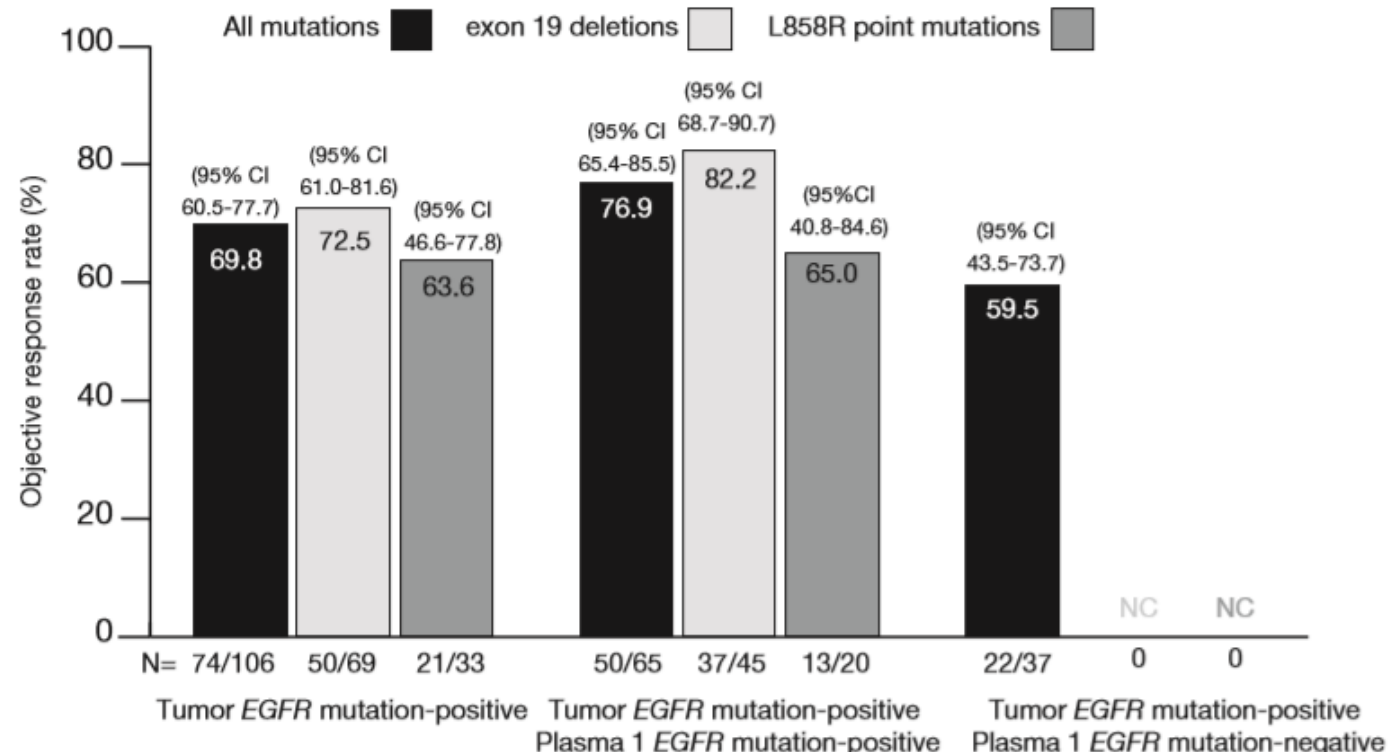
Plasma 1 EGFR Mutation Status, n

Positive Negative Total

Tumor EGFR mutation status, n <sup>a</sup>	Positive	Negative	Total
Positive	69	36	105
Negative	1	546	547
Total	70	582	652

n Rate, % 95% Confidence Interval

Concordance	652	94.3	92.3–96.0
Sensitivity	105	65.7	55.8–74.7
Specificity	547	99.8	99.0–100.0
Positive-predictive value	70	98.6	92.3–100.0
Negative-predictive value	582	93.8	91.5–95.6



Douillard JY et al. J Thorac Oncol. 2014;9(9):1345-53.

Douillard JY et al. Br J Cancer 2014;110:55-62.

# Concordance of EGFR mutation status between matched tissue/cytologic and plasma samples

- ▶ Noninterventional diagnostic study, 56 centers (Europe, Japan)
- ▶ Newly diagnosed locally advanced/ metastatic NSCLC patients
- ▶ 1162 matched tissue/cytologic and blood samples

Characteristic	Concordance Rate		Sensitivity		Specificity	
	n/n (%)	95% CI	n/n (%)	95% CI	n/n (%)	95% CI
Overall (n = 1162)	1035 of 1162 (89)	87.1-90.8	87 of 189 (46)	38.8-53.4	948 of 973 (97)	96.2-98.3
Japan (n = 281)	227 of 281 (81)	75.7-85.2	34 of 86 (40)	29.2-50.7	193 of 195 (99)	96.3-99.9
Europe (n = 881)	808 of 881 (92)	89.7-93.4	53 of 103 (51)	41.4-61.4	755 of 778 (97)	95.6-98.1
Qiagen theascreen EGFR RGQ PCR Kit (n = 138)	131 of 138 (95)	89.8-97.9	16 of 22 (73)	49.8-89.3	115 of 116 (99)	95.3-100
Roche cobas EGFR Mutation Test (n = 23)	22 of 23 (96)	78.1-99.9	3 of 4 (75)	19.4-99.4	19 of 19 (100)	82.4-100
Cycleave (n = 190)	161 of 190 (85)	78.8-89.5	29 of 57 (51)	37.3-64.4	132 of 133 (99)	95.9-100
PNA-LNA PCR Clamp <sup>a</sup> (n = 91)	76 of 91 (84)	74.3-90.5	15 of 29 (52)	32.5-70.6	61 of 62 (98)	91.3-100
Other <sup>b</sup>	20 of 191 (10)		7 of 119 (6)			

# ctDNA for the detection of EGFR mutation in NSCLC

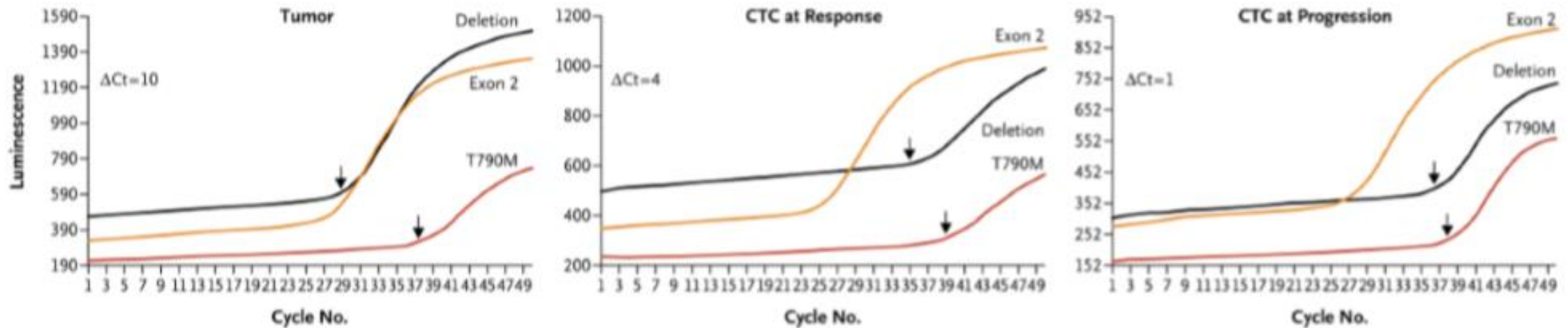
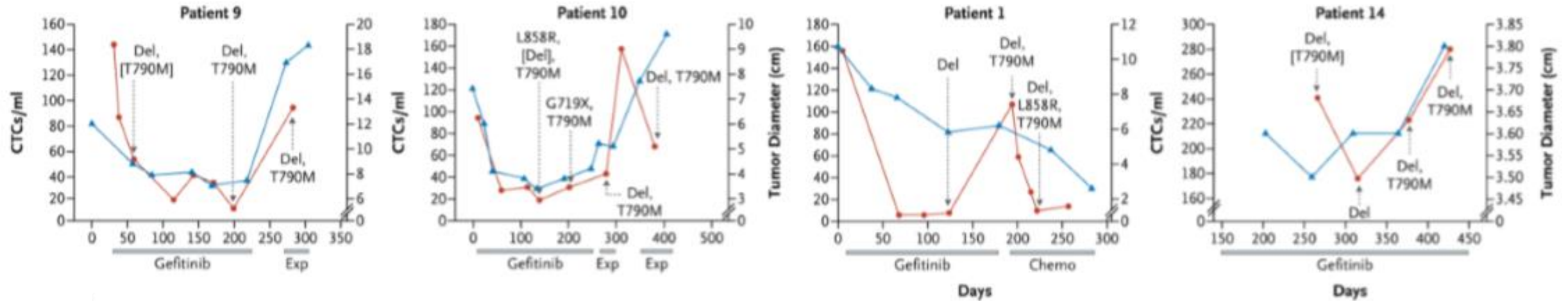
	Studies	AUSROC	Sensitivity	Specificity
Overall	27	0.91 (0.89–0.94)	0.620 (0.513–0.716)	0.959 (0.929–0.977)
Format of blood sample				
Plasma	18	0.92 (0.89–0.94)	0.599 (0.468–0.717)	0.960 (0.925–0.979)
Serum	9	0.90 (0.87–0.92)	0.658 (0.463–0.811)	0.954 (0.864–0.986)
TNM stage				
I-IV	6	0.94 (0.91–0.95)	0.786 (0.420–0.949)	0.921 (0.751–0.978)
Advanced	14	0.96 (0.94–0.97)	0.521 (0.399–0.641)	0.962 (0.940–0.977)
Storage method of tumor tissues				
FFPE	14	0.93 (0.90–0.95)	0.607 (0.484–0.718)	0.957 (0.925–0.975)
Frozen	4	0.84 (0.81–0.87)	0.627 (0.253–0.893)	0.908 (0.479–0.991)
Detection methods				
ARMS	9	0.88 (0.85–0.91)	0.549 (0.419–0.672)	0.975 (0.937–0.991)
AS-APEX	2	0.96 (0.94–0.98)	0.859 (0.189–0.994)	0.935 (0.527–0.995)
DHPLC	2	0.82 (0.78–0.85)	0.628 (0.572–0.681)	0.846 (0.813–0.874)
HRM	2	0.91 (0.88–0.93)	0.887 (0.402–0.989)	0.736 (0.042–0.994)
ME-PCR	3	0.97 (0.95–0.98)	0.556 (0.290–0.794)	0.975 (0.906–0.994)
Collection time of blood sample				
BC	6	0.89 (0.86–0.91)	0.647 (0.375–0.848)	0.967 (0.773–0.996)
AC	2	0.81 (0.78–0.85)	0.307 (0.149–0.528)	0.961 (0.732–0.995)

Abbreviations: BC, before chemotherapy; AC, after chemotherapy.

- ▶ Meta-analysis
- ▶ 3,110 subjects (27 studies)
- ▶ Gold standard; tissue EGFR

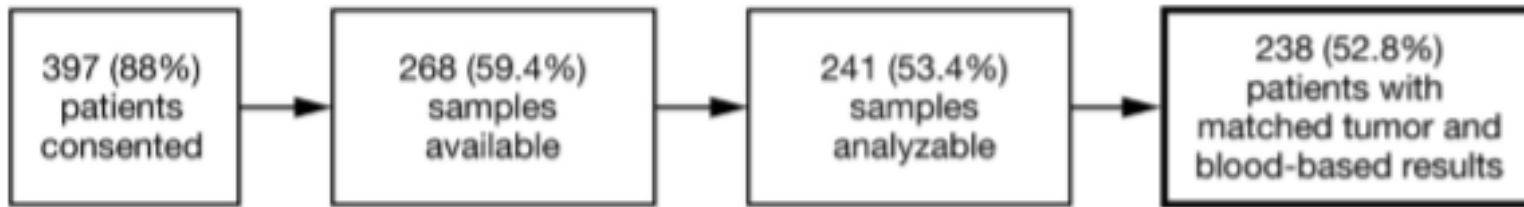
# Disease monitoring; CTCs

— Radiologic tumor burden (cm)  
— Numbers of CTCs/ml

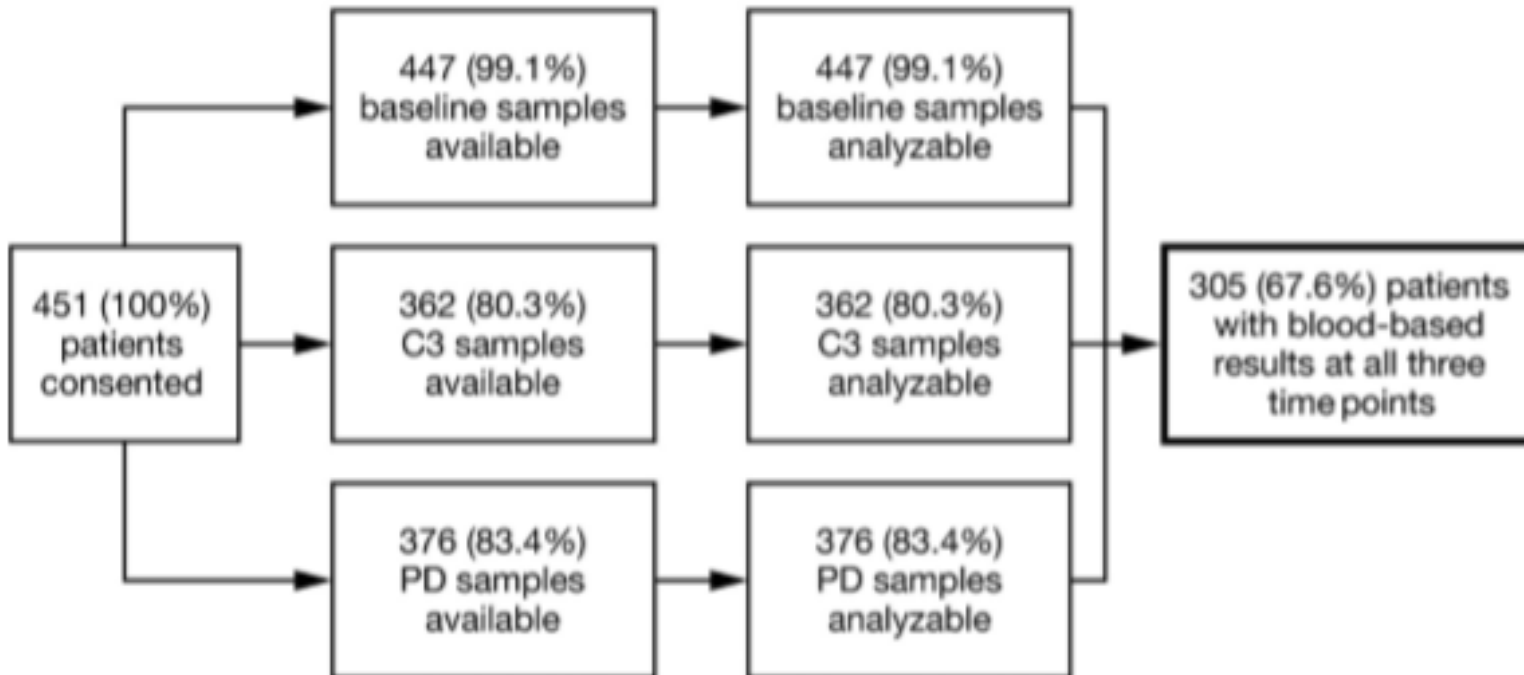


# Serial EGFR mutation analysis

## Baseline tumor samples



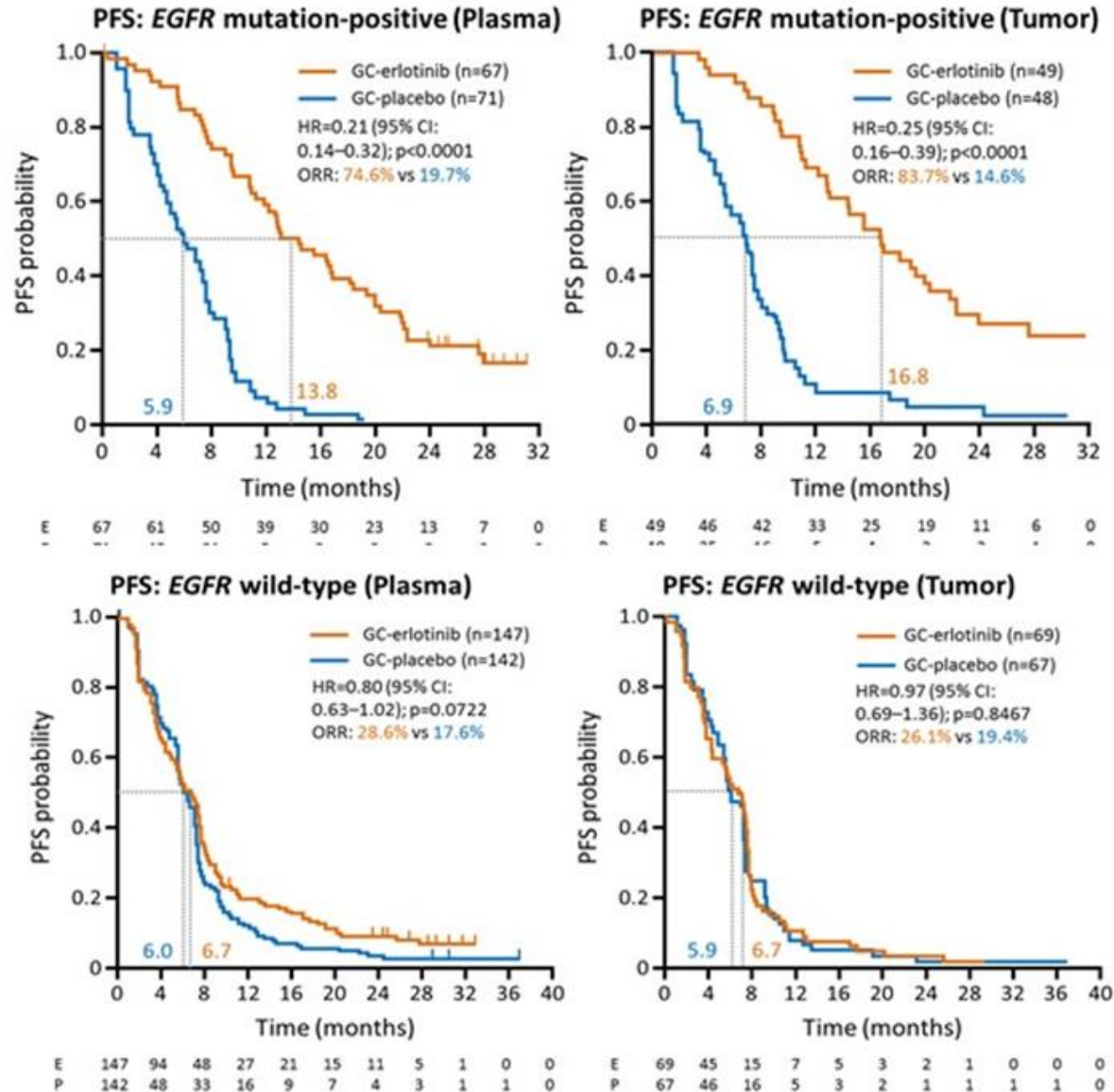
## Blood samples (Cobas 4800)



## ▶ FASTACT-2 study

- Untreated advanced NSCLC
- Gemcitabine+Platinum #6
- Sequential erlotinib vs. placebo

# Plasma EGFR mutation as a predictive marker



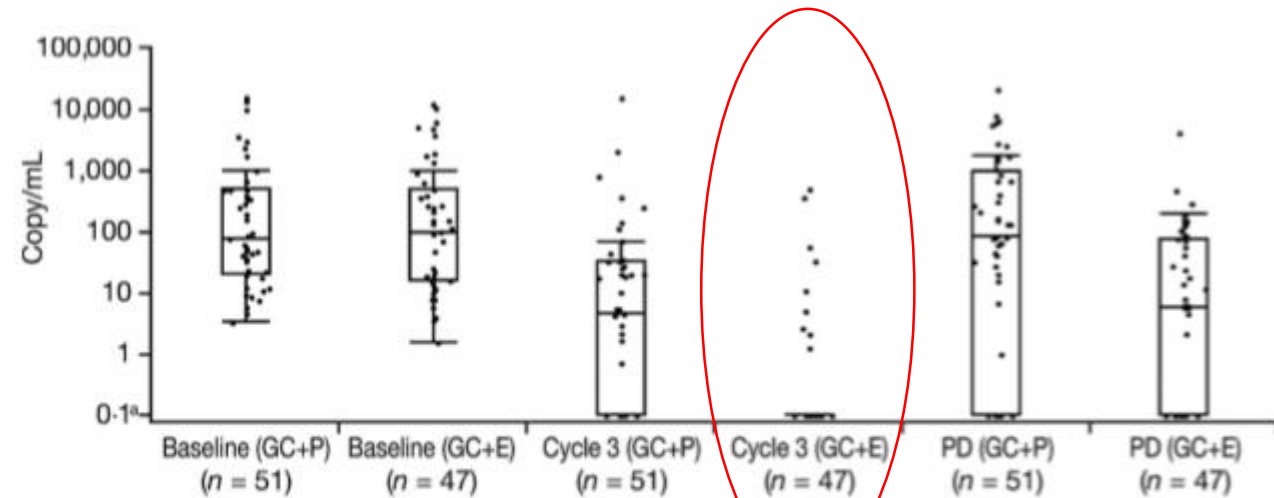
# Serial EGFR mutation analysis

**Table 2.** Concordance between tumor and cfDNA mutation results at baseline

EGFR TKI-sensitive mutations	cfDNA EGFR mut <sup>+</sup>	cfDNA EGFR mut <sup>-</sup>	Total
Tumor tissue EGFR mut <sup>+</sup>	72	24	96
Tumor tissue EGFR mut <sup>-</sup>	5	137	142
Total	77	161	238

NOTE: For concordance calculations only, single resistant mutations found in the tumor were counted as mutation negative.

- ▶ Sensitivity : 75.0% (72/96)
- ▶ Specificity : 96.5% (137/142)
- ▶ Positive predictive value : 93.5% (72/77)
- ▶ Negative predictive value : 85.1% (137/161)
- ▶ Overall concordance : 87.8% (209/238)



Median EGFR mut <sup>+</sup> cfDNA (copy/mL of blood)	GC+P	GC+E
Baseline	78	94
C3	5	0
PD	83	6

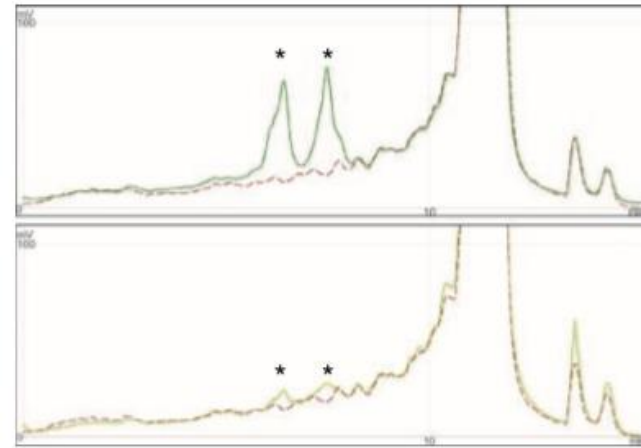
# Efficacy outcomes by C3 cfDNA mutation status

**Table 3.** Efficacy outcomes for baseline cfDNA mut<sup>+</sup> patients by C3 cfDNA mutation status

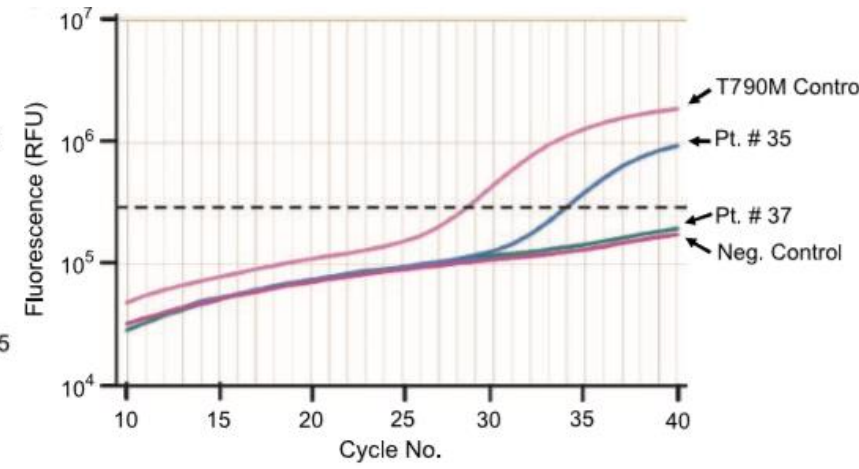
<b>C3</b>	<b>ORR, %</b>	<b>Median PFS, mo</b>	<b>Median OS, mo</b>
<i>EGFR</i> mut <sup>+</sup>			
GC+P ( <i>n</i> = 33)	24.2	6.8	18.8
GC+E ( <i>n</i> = 9)	66.7	7.8	17.7
	OR, 6.25 (95% CI, 1.26–30.90)	HR, 0.38 (95% CI, 0.17–0.90)	HR, 0.98 (95% CI, 0.40–2.42)
<i>EGFR</i> mut <sup>-</sup>			
GC+P ( <i>n</i> = 23)	26.1	7.8	26.3
GC+E ( <i>n</i> = 57)	82.5	16.6	32.4
	OR, 13.32 (95% CI, 4.20–42.23)	HR, 0.23 (95% CI, 0.13–0.41)	HR, 0.61 (95% CI, 0.31–1.21)

# Noninvasive detection of EGFR T790M

No. of patients	n = 54
Gender	
Male	10 (18.5%)
Female	44 (81.5%)
EGFR TKI treatment	
Gefitinib	17 (31.4%)
Erlotinib	33 (61.1%)
None	4 (7.5%)
Response to prior EGFR TKI treatment	
Partial response	28 (56%)
Stable disease	14 (28%)
Progressive disease	8 (16%)
Not treated	4 (7.5%)
Tumor EGFR mutation	
Exon 19 deletion	20 (37.0%)
L858R	7 (12.9%)
L861Q	1 (1.9%)
Exon 20 insertion	2 (3.7%)
Wild type	13 (24.1%)
Unknown	11 (20.4%)



WAVE-HS system/ Surveyor  
**EGFR mutation**                      **Del E746\_A750 L858R T790M**

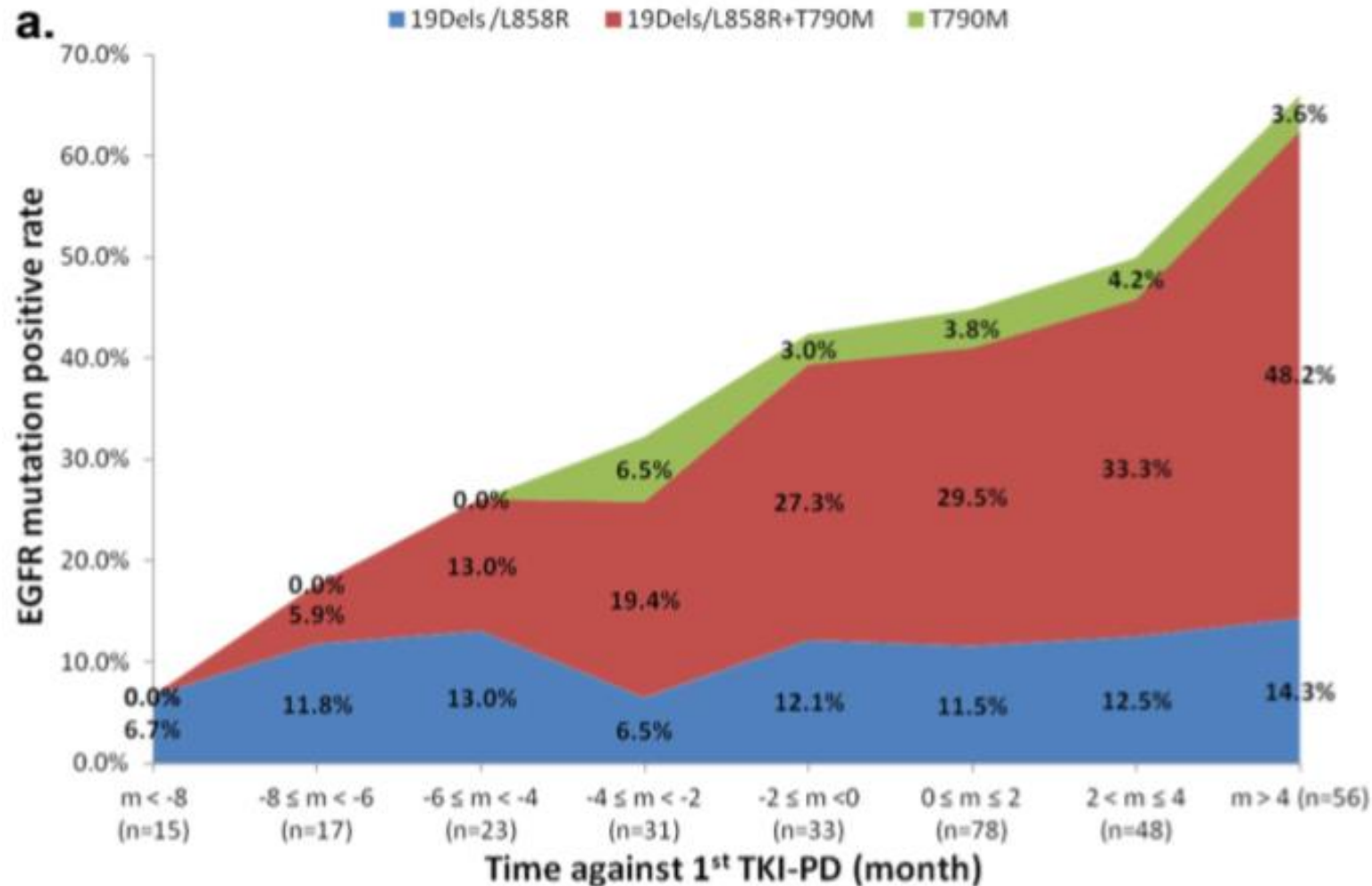


SARMS

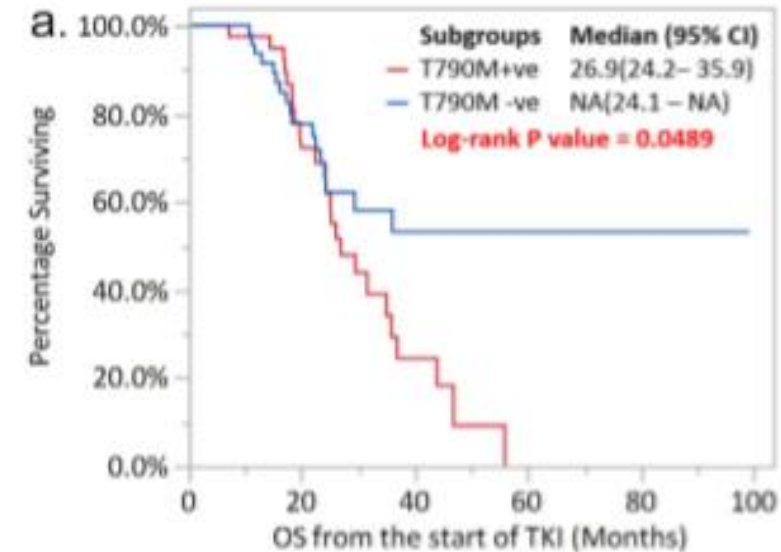
Plasma DNA alone	Plasma EGFR T790M		
	Yes	No	
Total positive patients			
SARMS-positive			
WAVE/Surveyor-positive			
Concordance			
Plasma DNA and whole genome a	Response to prior EGFR TKI Therapy		
Total positive patients			
SARMS-positive			
WAVE/Surveyor-positive			
Concordance			
Tumor EGFR T790M			

Partial response	28	15	13
Stable disease	14	4	10
Progressive disease	8	0	8
Untreated	4	0	4
Tumor EGFR T790M	7	5	2

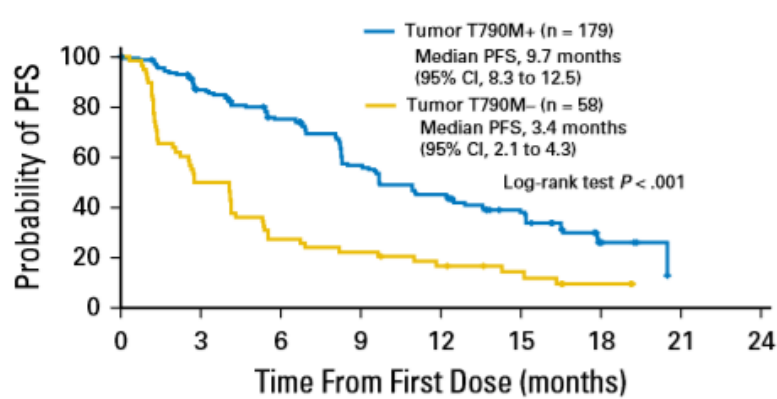
# Dynamic monitoring of EGFR mutant ctDNA



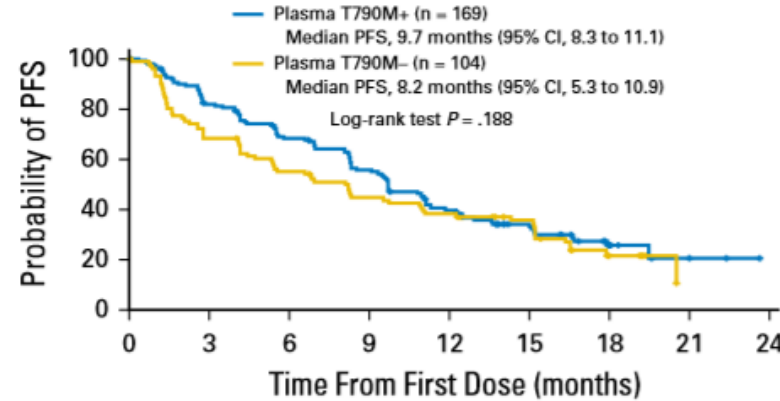
- ▶ 117 patients
- ▶ Before and after EGFR-TKI progression
- ▶ Every 2 months



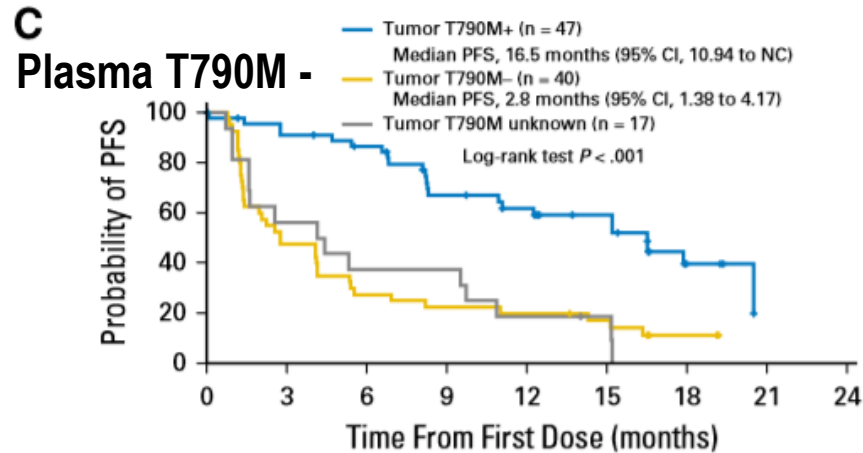
# Response according to tumor/plasma T790M



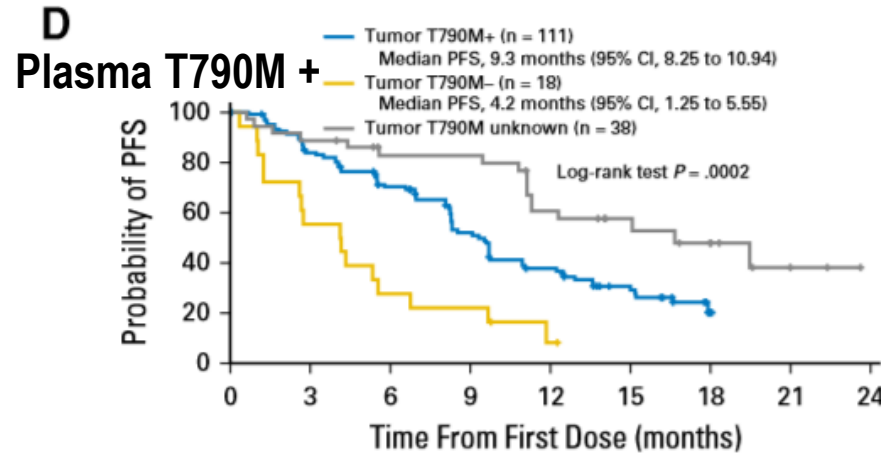
No. at risk	0	3	6	9	12	15	18	21
Tumor T790M+	179	131	107	75	56	36	5	
Tumor T790M-	58	29	16	13	9	6	2	



No. at risk	0	3	6	9	12	15	18	21
Plasma T790M+	167	130	102	79	53	31	9	2
Plasma T790M-	104	69	54	42	34	25	6	



No. at risk	0	3	6	9	12	15	18	21
Tumor T790M+	47	41	37	27	23	17	4	
Tumor T790M-	40	19	11	9	8	6	2	
Tumor unknown	17	9	6	5	3	2		



No. at risk	0	3	6	9	12	15	18	21
Tumor T790M+	111	88	70	48	33	19	1	
Tumor T790M-	18	10	5	4	1			
Tumor unknown	38	32	27	26	19	12	8	2

- ▶ Retrospective
- ▶ 216 patients
- ▶ BEAMing

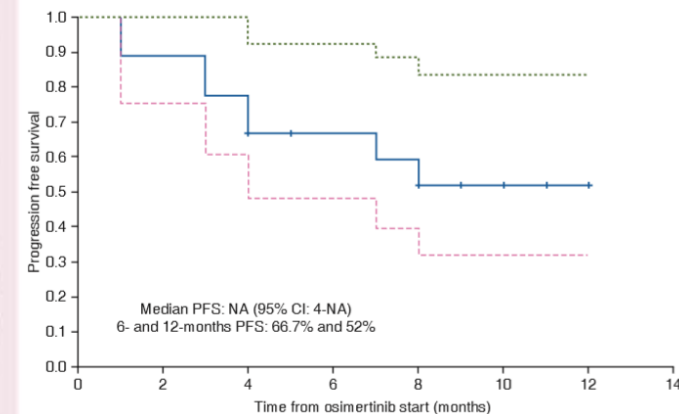
- ▶ Limitation of plasma T790M
  - Low sensitivity (false negative)

# Response to osimertinib in ctDNA T790M+ patients

Patient	Gender	Age (years)	Pack-years	EGFR mutation	T790M AF (%)	Previous systemic treatments	Previous EGFR TKI	Other mutations <sup>a</sup>	Last treatment before osimertinib	RECIST osimertinib
1	M	51	0	Del19	0.41	3	1	TP53 (P151X, R273H)	Erlotinib	NE
2	F	56	0	Del19	15.96	3	2	TP53 (Q331*, V225A)	Erlotinib	SD (-10%)
3	M	54	6	Del19	0.86	3	1	TP53 (R337C) STK11 (P179L)	Erlotinib -BVZ	PR (-50%)
4	F	37	0	Del19	1.06	3	2	TP53 (Q165*)	Erlotinib	PR (-84%)
5	M	67	6	Del19	1.60	4	2	CTNBB1 (S37S)	Pem/Cis	PR (-50%)
6	F	83	10	Del19	6.96	2	2	CTNNB1 (S33C)	Erlotinib	SD (0%)
7	F	67	0	Del19	19.60	1	1	CDKN2A (frameshift) TP53 (frameshift)	Erlotinib	PR (-50%)
8	F	70	0	L858R	0.25	2	1	NRAS (A59G)	Pem	SD (-26%)
9	F	66	5	L858R	0.07	10	3 <sup>b</sup>	-	Erlotinib	PR (-65%)
10	F	81	0	L858R	5.38	4	3	TP53 (P60X, splice) PIK3CA (E545K)	Pem	PR (-33%)
11	F	70	0	Del19	0.31	3	1	TP53 (R282W)	Pem	NE
12	F	58	0	Del19	0.24	6	2	-	Erlotinib	PR (-68%)
13	F	54	0	Del19	2.24	3	2	-	Pem/Cb	SD (9%)
14	F	59	10	Del19	0.14	2	1	TP53 (I232S)	Gefitinib	PR (-50%)
15	F	67	2	L858R	0.30	3	1	EGFR (K860I)	Erlotinib	SD (-20%)
16	M	61	20	Del19	0.70	5	3 <sup>c</sup>	TP53 (E343*, C238Y, C135X)	Afatinib	SD (-18%)
17	F	54	3	Del19	3.95	2	1	TP53 (R249S)	Gefitinib	PR (-32%)
18	F	65	0	Del19	0.68	1	1	CTNNB1 (S37C)	Gefitinib	PR (-32%)

► ctDNA

► Inivata InVision™  
(eTAm-Seq™) assay



# Clinical outcomes of third generation EGFR TKIs

Study (author, Year)	Patients' (or Tumor) characteristics	Treatment	Sample (plasma or tissue), volume, if possible	Testing platform	Clinical outcomes	
					T790M +	T790M-
Janne et al., 2015 [19]	Adenocarcinoma and Squamous cell carcinoma patients	Osimertinib (AZD9291)	Tissue	Cobas (Roche)	ORR: 61% (95% CI, 52–70) DCR: 95% (95% CI, 90–98) Median PFS: 9.6 months (95% CI, 8.3 to not reached)	ORR: 21% (95% CI, 12–34) DCR: 61% (95% CI, 47–73) Median PFS: 6 months (95% CI, 2.1–4.3)
Oxnard GR et al., 2016 [35]	Adenocarcinoma and Squamous cell carcinoma patients	Osimertinib (AZD9291)	Tissue	Cobas (Roche)	ORR: 62% (95% CI, 54–70) Median PFS: 9.7 months (95% CI, 8.3–12.5)	ORR: 26% (95% CI, 15–39) Median PFS: 3.4 months (95% CI, 2.1–4.3)
			Plasma (10–20 ml blood)	BEAMing	ORR: 63% (95% CI, 55–70) Median PFS: 9.7 months (95% CI, 8.3–11.1)	ORR: 46% (95% CI, 36–56) Median PFS: 8.2 months (95% CI, 5.3 to 10.9)
Yang J et al., 2016 [95]	Advanced NSCLC	Osimertinib (AZD9291)	Tissue	Cobas (Roche)	ORR: 71% (95% CI 57–82) DoR: 9.6 months (95% CI 7.7–15.6) Median PFS: 9.7 months (95% CI 8.3–13.6)	NR
Wu YL et al., 2016 [94]	Advanced NSCLC	Avitinib (AC0010)	Tissue	Cobas (Roche)	ORR: 52% DCR: 94%	NA
Mok et al., 2017 [18]	Advanced NSCLC	Osimertinib (AZD9291)	Plasma	Cobas (Roche)	ORR: 71% Median PFS: 10.1 months	NA

# Concordance of EGFR T790M mutation

Study group	Sample	Method		Parameters		
		Plasma detection	Tissue detection	Sensitivity/Positive percent agreement (PPA)	Specificity/Negative percent agreement (NPA)	Concordance with tissue
Thress et al. [44]	Plasma N = 38	Cobas (Roche)	Cobas (Roche)	41%	100%	57%
		ddPCR (Bio-rad)		71%	83%	74%
		BEAMing		71%	67%	70%
		ARMS (Qiagen)		29%	100%	48%
Zheng et al. [50]	Plasma N = 117	ddPCR (Bio-rad)	ARMS (AmoyDx)	81%	100%	88%
Karlovich C et al. [41]	Plasma N = 153	Cobas (Roche)	Cobas (Roche)	64% <sup>a</sup>	98% <sup>b</sup>	86%
		BEAMing		73% <sup>a</sup>	50%	67%
Ishii H et al. [77]	Plasma N = 18	ddPCR (Bio-rad)	ddPCR (Bio-rad)	81.8%	85.7%	83.3%
Reckamp KL et al. [62]	Plasma N = 60	NGS (Illumina, MiSeq)	Cobas (Roche) and ARMS (Qiagen)	93%	94%	Good, but percentage NR
Takahama T et al. [72]	Plasma N = 41	ddPCR (Bio-Rad)	ddPCR (Bio-Rad)	64.5%	70.0%	65.9%
Han J Y et al. [63]	Plasma N = 39	PANAMutyper R EGFR kit	Ion Torrent NGS	58%	68%	63%
Chai X et al. [78]	Plasma N = 61	cSMART	ARMS (AmoyDx)	100%	NR	98.4%
Wu YL et al. [79]	Plasma N = 399	Cobas (Roche)	Cobas (Roche)	51%	77%	NR

# FDA approval of cobas<sup>®</sup> EGFR MUTATION TEST V2

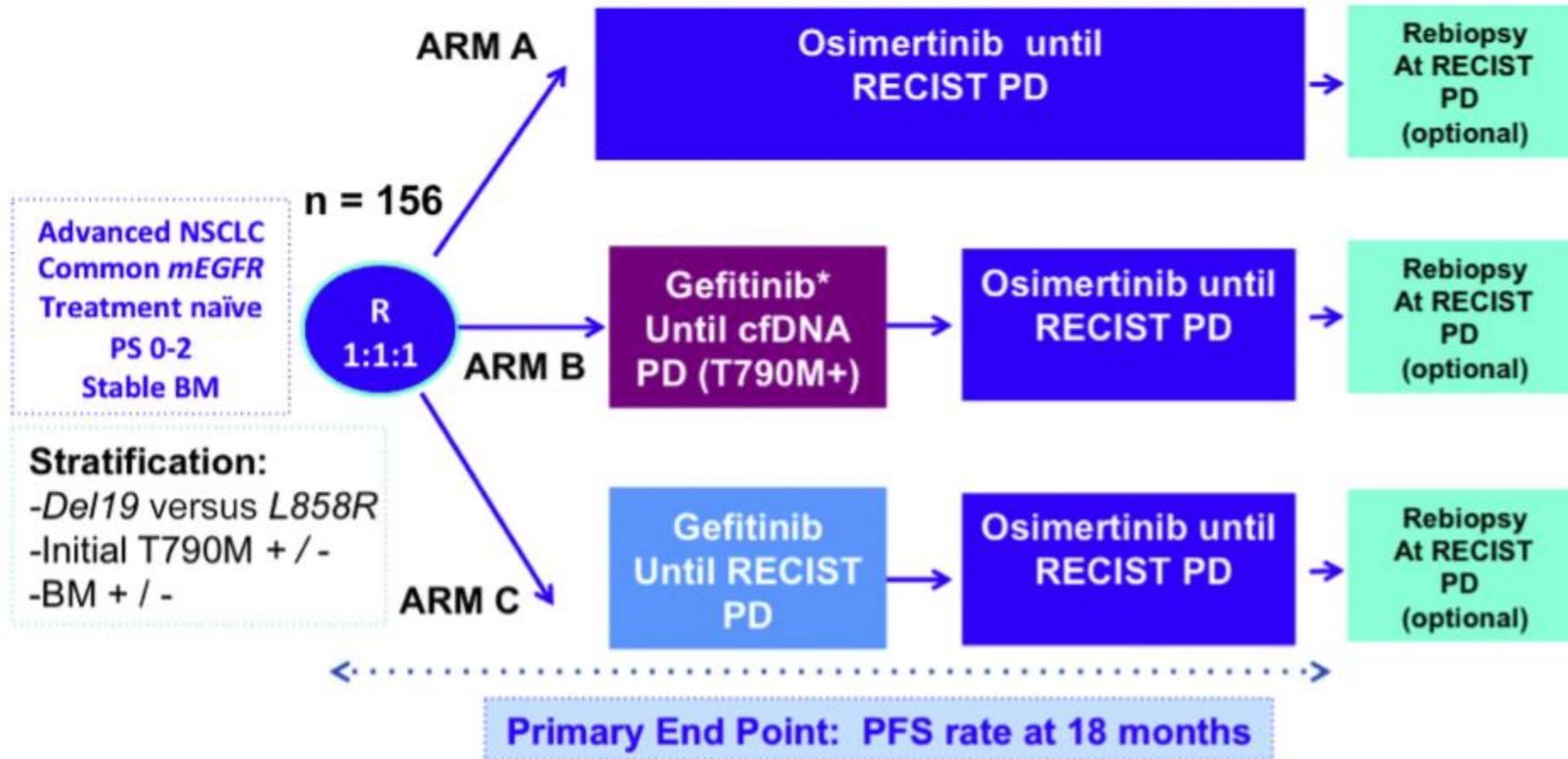
► Date of approval : September 28, 2016



Drug	FFPET	Plasma
TARCEVA <sup>®</sup> (erlotinib)	Exon 19 deletions and L858R	Exon 19 deletions and L858R
TAGRIS <sup>™</sup> SO (osimertinib)	T790M	T790M*

Drug	FFPET	Plasma
TARCEVA <sup>®</sup> (erlotinib)	G719X, exon 20 insertions, T790M, S768I and L861Q	G719X, exon 20 insertions, T790M, S768I and L861Q
TAGRIS <sup>™</sup> SO (osimertinib)	G719X, exon 19 deletions, L858R, exon 20 insertions, S768I, and L861Q	G719X, exon 19 deletions, L858R, exon 20 insertions, S768I, and L861Q

# Feasibility and Activity of Osimertinib on Positive Plasma T790M in EGFR-mutant NSCLC Patients

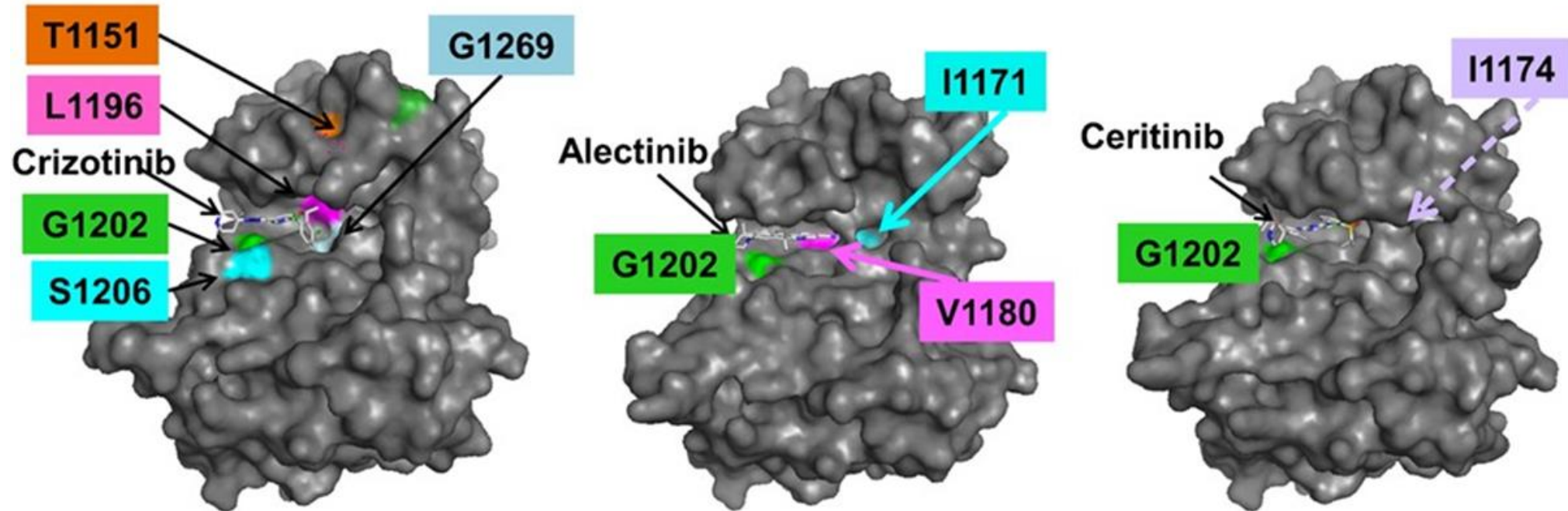


(cfDNA using cobas every 4 weeks and CT scan of the brain-thorax-abdomen every 8 weeks all arms

\*In case of RECIST progression without T790M+, patients will be switched

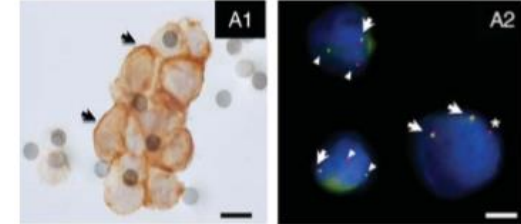
# ALK gene rearrangement : Target agents

Various mutations in ALK confer resistance to Crizotinib, Alectinib or Ceritinib



Crizotinib resistance mutations	Alectinib resistance mutations	Ceritinib resistant mutations
L1196M, G1269A, C1156Y, L1152R, I1171T, F1174V, G1202R, E1210K	I1171T/N/S, G1202R, V1180L	F1174C/V, G1202R, G1202del

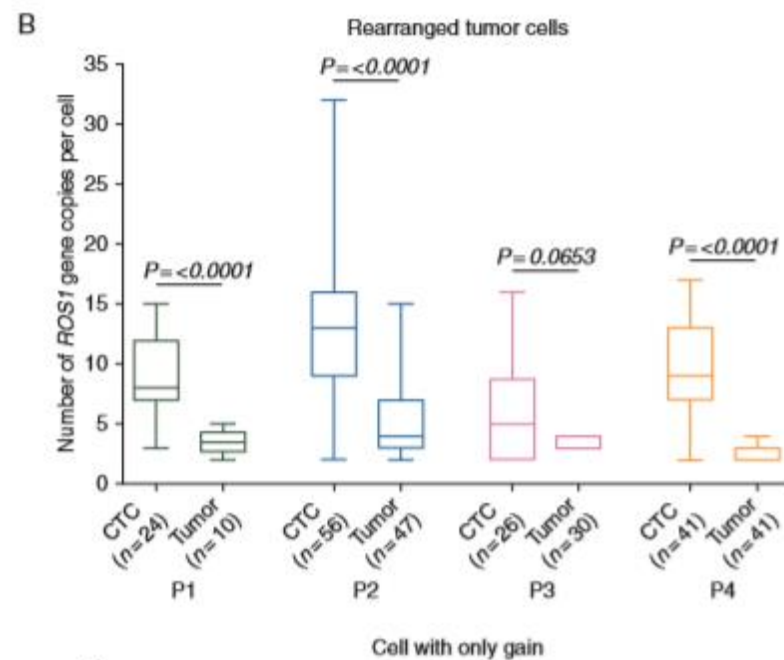
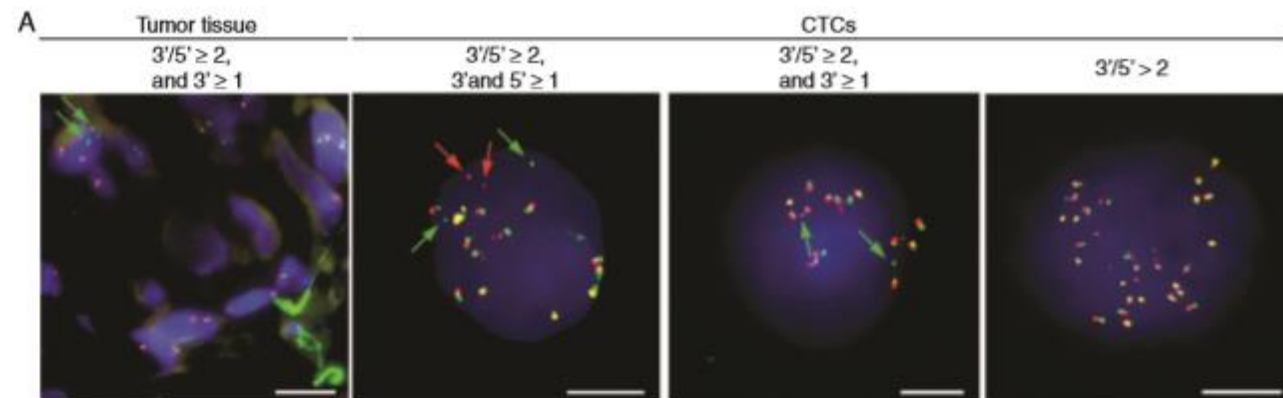
# Detection of ALK rearrangement; CTCs



Case number	1	2	3	4	5
Sex	Male	Male	Female	Male	Female
Age (years)	45	48	47	52	43
Smoking status	Never smoked	Never smoked	Never smoked	Never smoked	Never smoked
Ethnicity	Caucasian	Caucasian	Caucasian	Caucasian	Caucasian
pTNM stage	IIA	IIA	IIIB	IV	IV
Histology	Adenocarcinoma with solid architecture	Adenocarcinoma with solid architecture	Adenocarcinoma with solid architecture	Adenocarcinoma with solid architecture	Adenocarcinoma with solid architecture
Status for <i>EGFR</i> , <i>KRAS</i> , <i>BRAF</i> mutations	Wild-type	Wild-type	Wild-type	Wild-type	Wild-type
ALK FISH (tumour)	Positive (40% of cells)	Positive (50% of cells)	Positive (60% of cells)	Positive (40% of cells)	Positive (50% of cells)
ALK IHC (tumour)	Positive (100% of cells)	Positive (100% of cells)	Positive (100% of cells)	Positive (100% of cells)	Positive (100% of cells)
Number of CNHC-MF	≥50 cells (70–90 cells)	≥50 cells (60–150 cells)	≥50 cells (70–100 cells)	≥50 cells (60–100 cells)	≥50 cells (80–120 cells)
ALK FISH (CTCs)	Positive (100% of cells)	Positive (100% of cells)	Positive (100% of cells)	Positive (100% of cells)	Positive (100% of cells)
ALK ICC (CTCs)	Positive (100% of cells)	Positive (100% of cells)	Positive (100% of cells)	Positive (100% of cells)	Positive (100% of cells)
Follow-up (5 years)	Alive (no relapse)	Alive (no relapse)	Deceased	Deceased	Deceased

# ROS1 rearrangement; CTCs

Patients	Sex	Age	Smoking status	BOR	PFS	Tumor		CTCs		
						Biopsy origin <sup>a</sup>	% of rearranged cells	Sample	Rearranged CTCs detected by ISET (/3 ml)	CTCs counts by Cellsearch (/7.5 ml)
P1	F	74	0	SD	3.3	Lung (MT)	20%	Day 1 Day 75 <sup>b</sup>	24 39	NA 0
P2	M	66	0	PR	6.8+	Pleura (MT)	44%	Day 1 Day 40	55 32	0 0
P3	F	37	16	PD	1.5	Node (MT)	60%	Day 1 Day 45	28 29	2 6
P4	M	63	2	PR	7.1+	Node (MT)	82%	Day 1 Day 80	41 22	2 0
PN1	M	35	0	-	-	Pleura (MS)	-	-	7	0
PN2	M	55	0	-	-	Lung (PT)	-	-	8	6
PN3	F	43	10	-	-	Lung (PT)	-	-	7	0
PN4	F	68	40	-	-	Node (MS)	-	-	11	1



# Deep sequencing of circulating free DNA

	n	cfDNA+		cfDNA-		Mutation detection rate (sensitivity)	Concordance rate
		tDNA+	tDNA-	tDNA-	tDNA+		
PI3KCA exon 9	61		1	59	1	—	97%
PI3KCA exon 20	60			60		—	100%
EGFR exon 18	59	3		56		100%	100%
EGFR exon 19	59	11	2	37	9	55%	81%
EGFR exon 20	60			58	2	—	97%
EGFR exon 21	61	6		53	2	75%	97%
BRAF exon 11	60			60		—	100%
BRAF exon 15	61			58	3	—	95%
KRAS exon 2	59	3		55	1	75%	98%
KRAS exon 3	58			58		—	100%
ERBB2 exon 19	59			59		—	100%
ERBB2 exon 20	63	3		58	2	60%	97%
Overall (95%CI; all patients, at least one amplicon)	68	26	3	20	19	58% (43%; 71%)	68% (56%; 78%)
All 12 amplicons together (95% CI)	56	21	3	16	16	57% (41%; 71%)	66% (53%; 77%)

▶ 107 plasma samples

▶ Matched tumor DNA; 68 cases

▶ Multiplex PCR-based assays vs.

NGS IonTorrent Personal Genome Machine Platform

	n	cfDNA+		cfDNA-		Specificity
		tDNA+	tDNA-	tDNA-	tDNA+	
PI3KCA exon 9	7		1	6		86%
PI3KCA exon 20	6			6		100%
EGFR exon 18	8	2		6		100%
EGFR exon 19	33	7	2	15	9	88%
EGFR exon 20	13			11	2	100%
EGFR exon 21	18	3		13	1	100%
BRAF exon 11	8			8		100%
BRAF exon 15	31			29	2	100%
KRAS exon 2	9	2		6	1	100%
KRAS exon 3	6			6		100%
ERBB2 exon 19	6			6		100%
ERBB2 exon 20	28	3		23	2	100%
Overall (95%CI; all patients, at least one amplicon)	51	17	2	13	19	87% (62%; 96%)

# Validation of a digital sequencing panel

a) Biopsy-free Blood Sample



Sample Requirements:  
 > 5 ng cell-free DNA

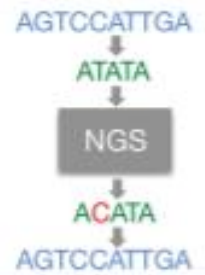
Custom collection kit with two 10 ml Streck tubes

No local centrifugation, refrigeration or cold bricks

Proprietary, high-yield cfDNA extraction

Fraction of samples with sufficient content for analysis: 99.8%

b) Digital Sequencing



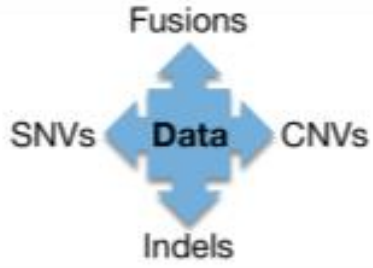
Conversion of single DNA molecules to robust Digital Sequences

Optimized NGS (paired-end SBS of both 160-170 bp DNA strands to 8,000x average coverage (99% at > 2,000x))

Digital Sequence decoding to genomic sequences

>100X reduction in error rates

c) Analysis pipeline



Sensitivity to single-molecule alterations with >99.9999% accuracy

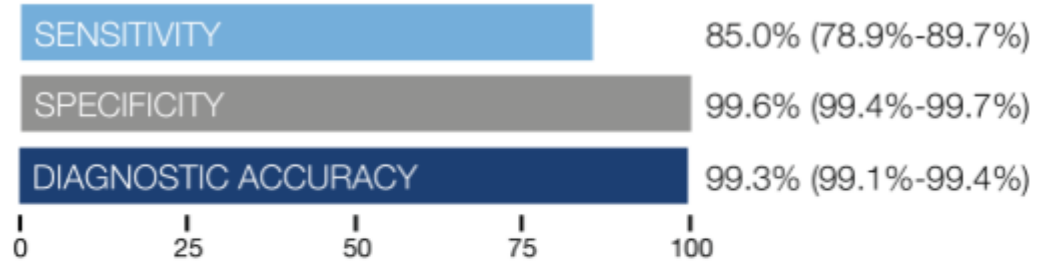
Detection of Single Nucleotide Variants (SNVs), Copy Number Variants (CNVs), Indels and Fusions by the same technology

d) Clinical report

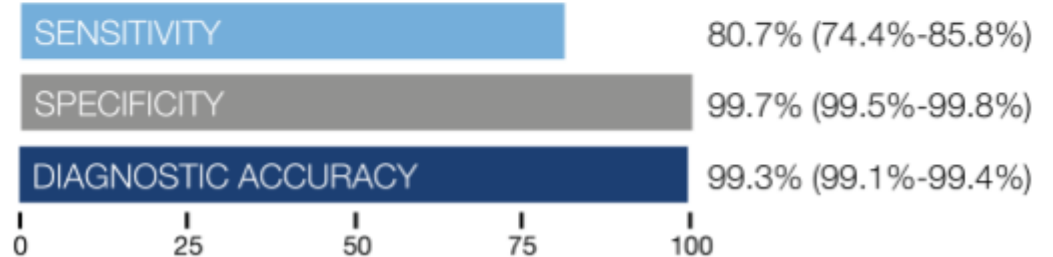


- ▶ Guardant360 cfDNA assay
- ▶ Illumina Hiseq 2500
- ▶ 165 paired samples
- ▶ 5 centers

## Cell-free DNA vs. Tissue NGS

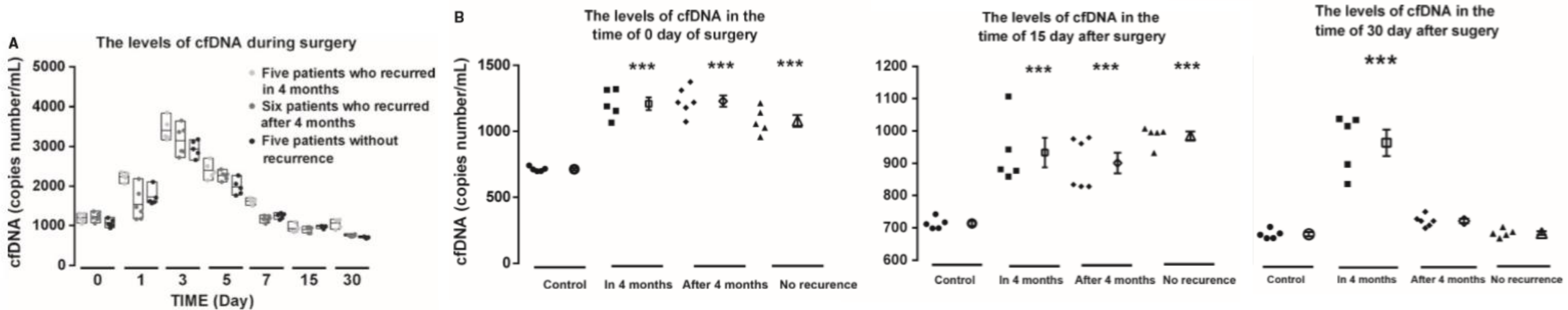


## Tissue vs. Cell-free DNA NGS

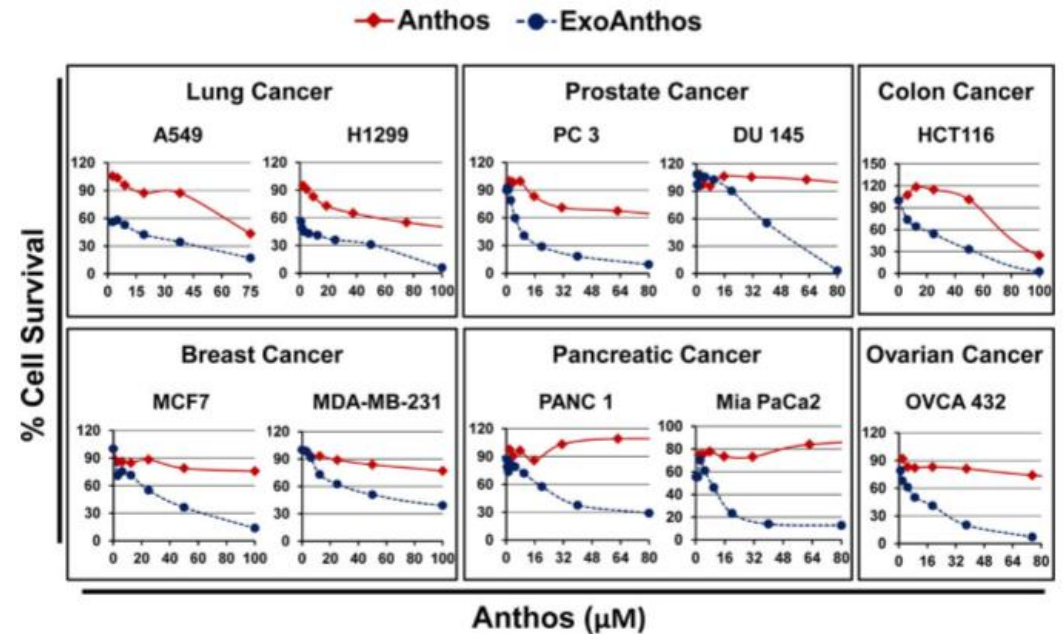
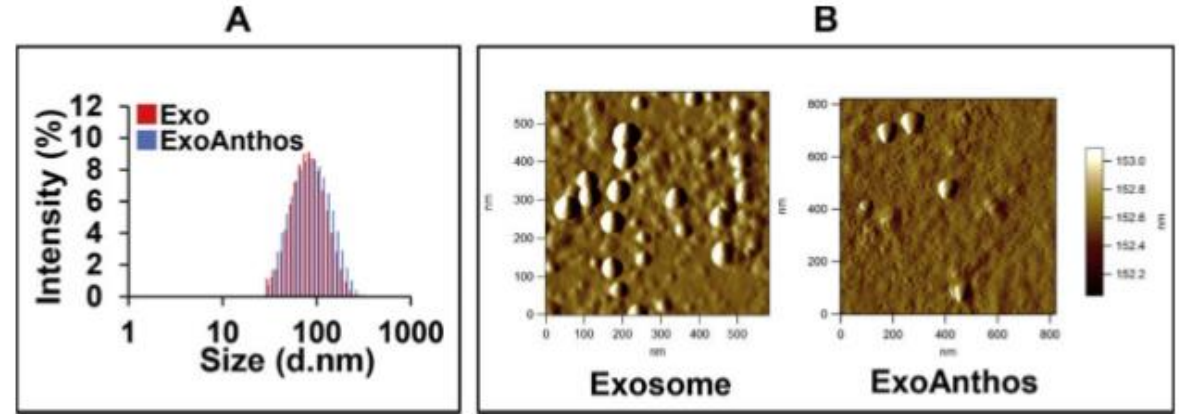
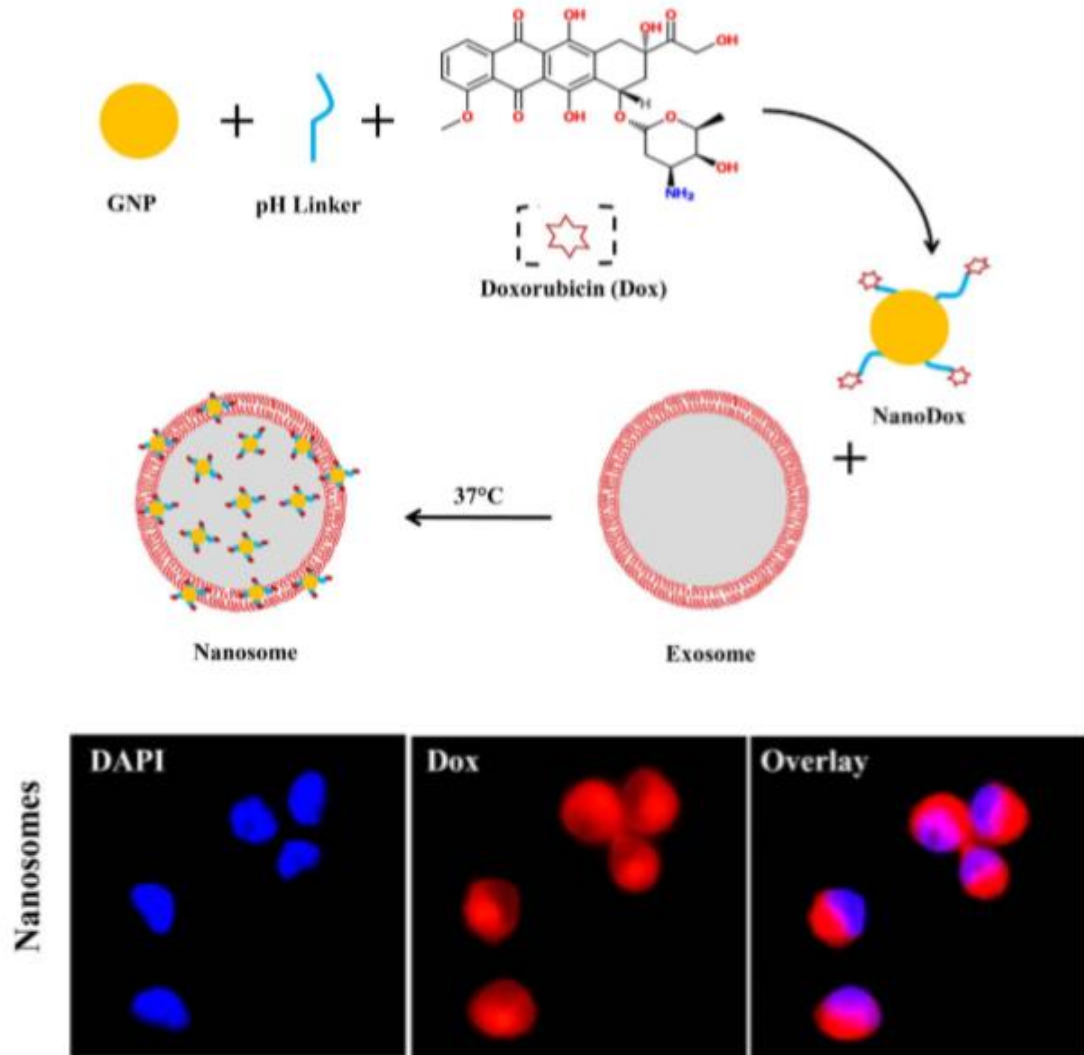


# Post surgery ctDNA for relapse of lung cancer

- ▶ 168 lung cancer patients
- ▶ Copy number of Kras and EGFR : competitive allele- specific TaqMan PCR (CAST- PCR)
- ▶ Five patients who recurred in 4 months had significantly higher circulating cell-free DNA ( $P < 0.001$ )

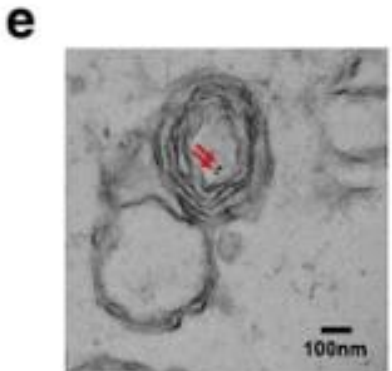
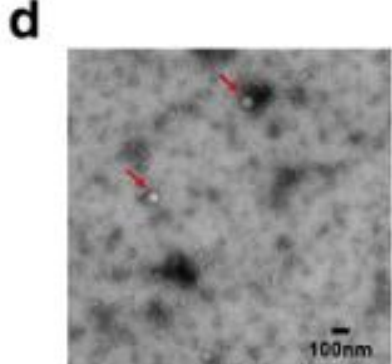
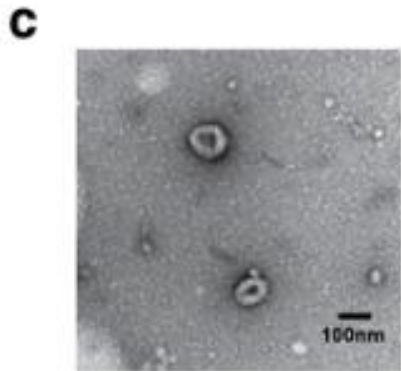
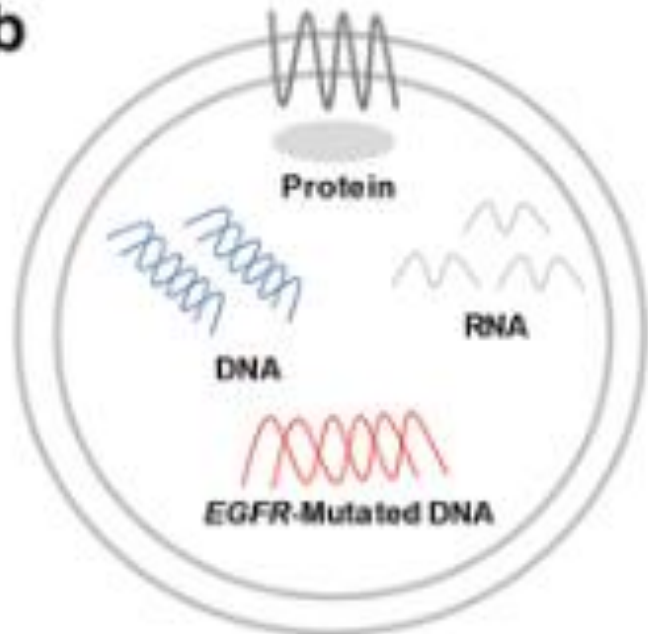
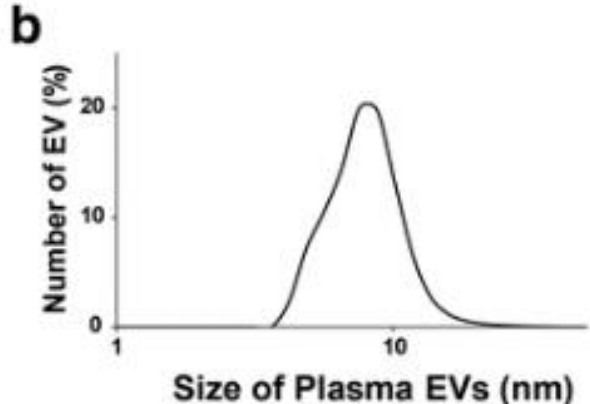
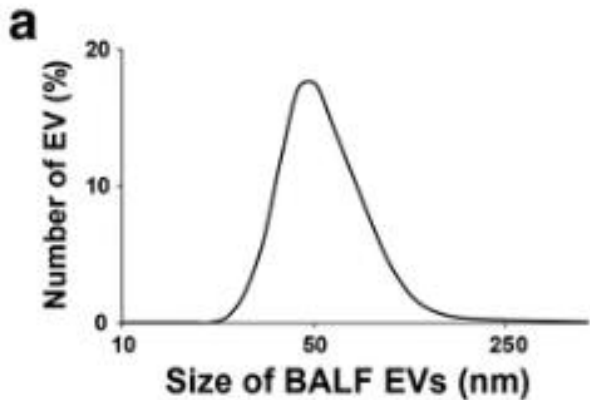


# Exosomes as a drug delivery system



# Clinical experiences in KUMC

► Bronchoalveolar lavage fluid (BALF), Extracellular vesicles (EVs)



# Case #1 F/65

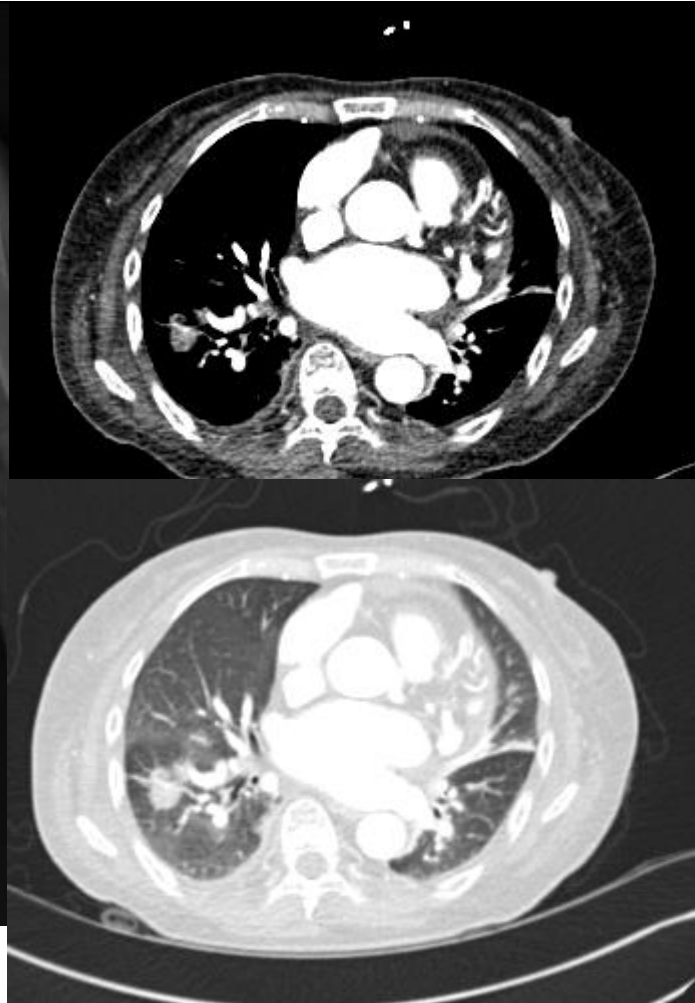
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- ▶ 2018.2.1. dizziness 주소로 신경과 입원
- ▶ cerebral infarction 진단 하에 원인 질환 감별 위한 검사 진행
- ▶ coronary CT에서 RML nodule 발견되어 의뢰됨.
  
- ▶ 기저 질환 : hypertension, thyroid cancer, ESRD on HD

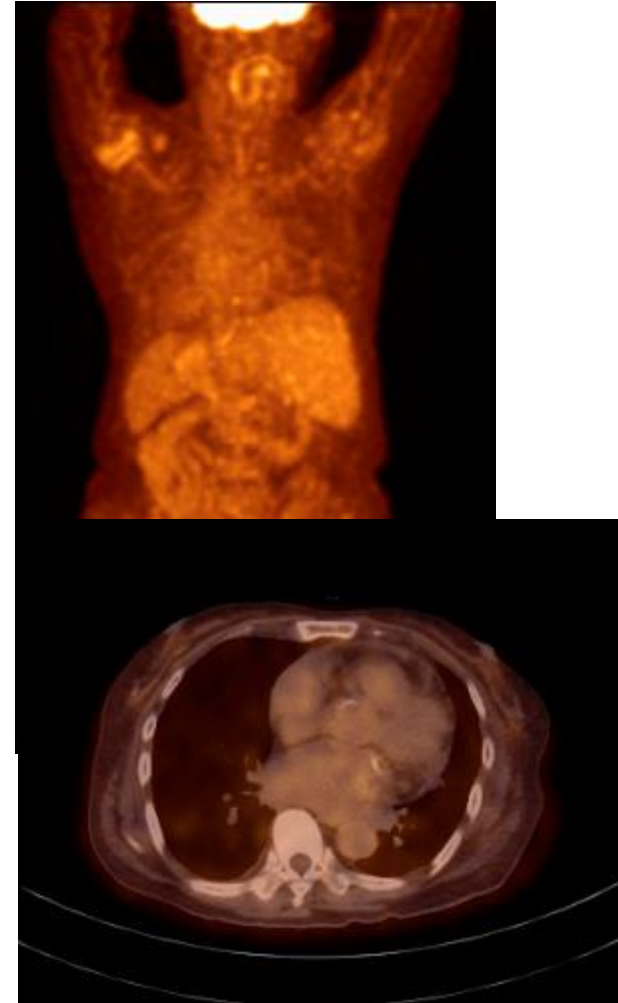
# Case #1 F/65



2018-02-02



2018-02-02



2018-02-07

▶ 2.5 cm RLL nodule, SUVmax 2.0

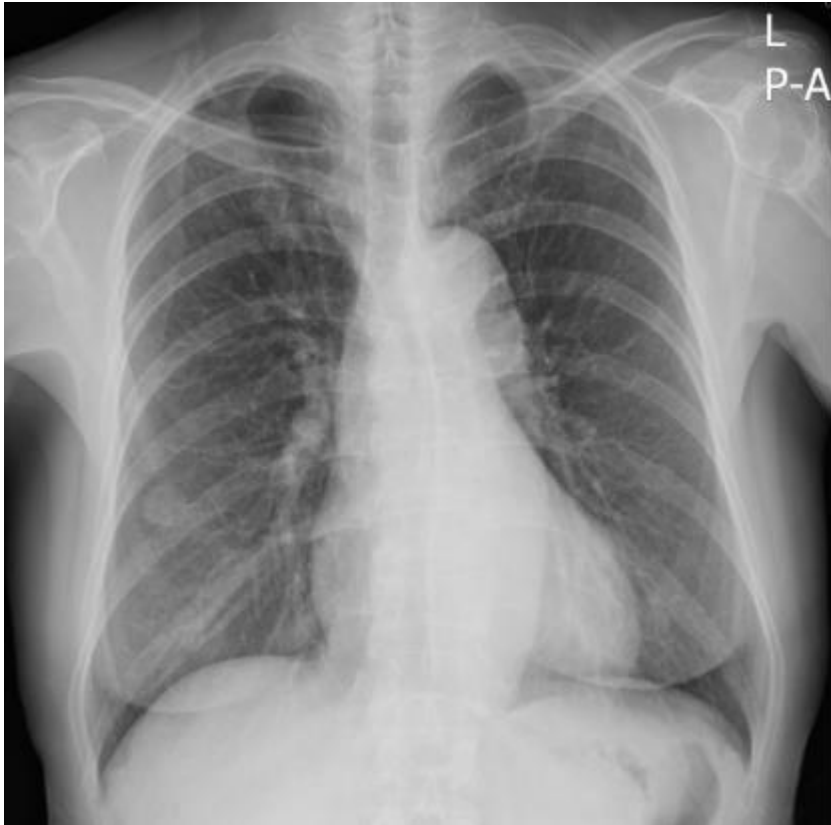
# Case #1

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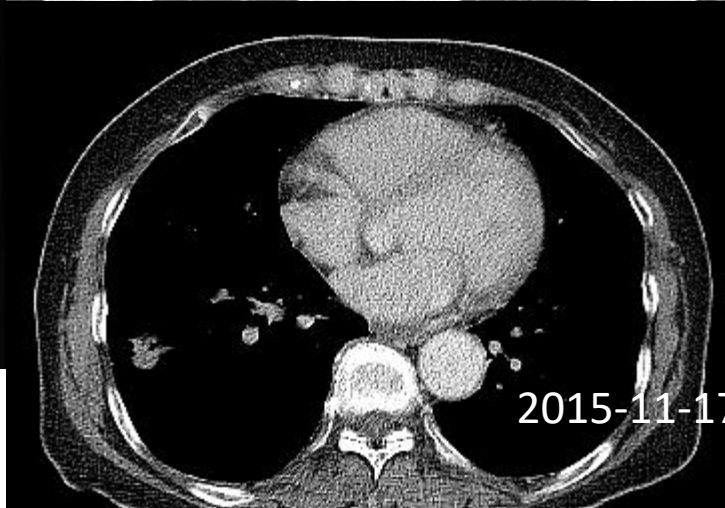
- ▶ 2018-02-12 Bronchoscopy
- ▶ BAL fluid Extracellular vesicles (EV) EGFR mutation : 19 del +
  
- ▶ 2018-03-28 VATS biopsy : adenocarcinoma  
Lobectomy
- ▶ EGFR mutation : 19 del +

# Case #2 F/73

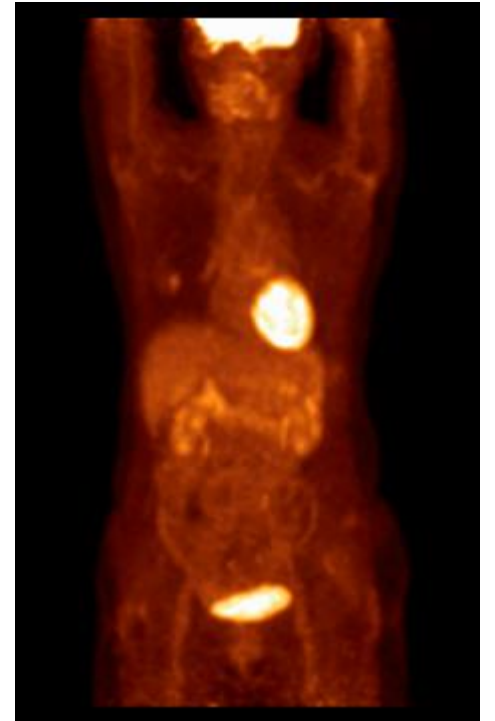
- ▶ 2015.10. Lt. shoulder mass with pain 주소로 내원
- ▶ 수술 전 평가에서 RLL nodule 발견



2015-11-05



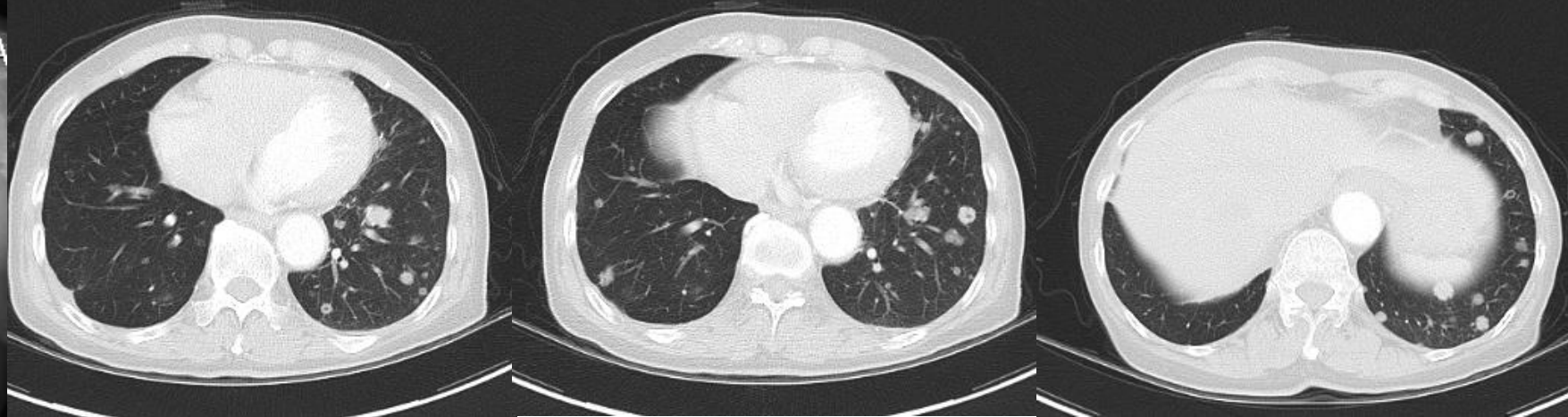
2015-11-17



2015-11-20

# Case #2

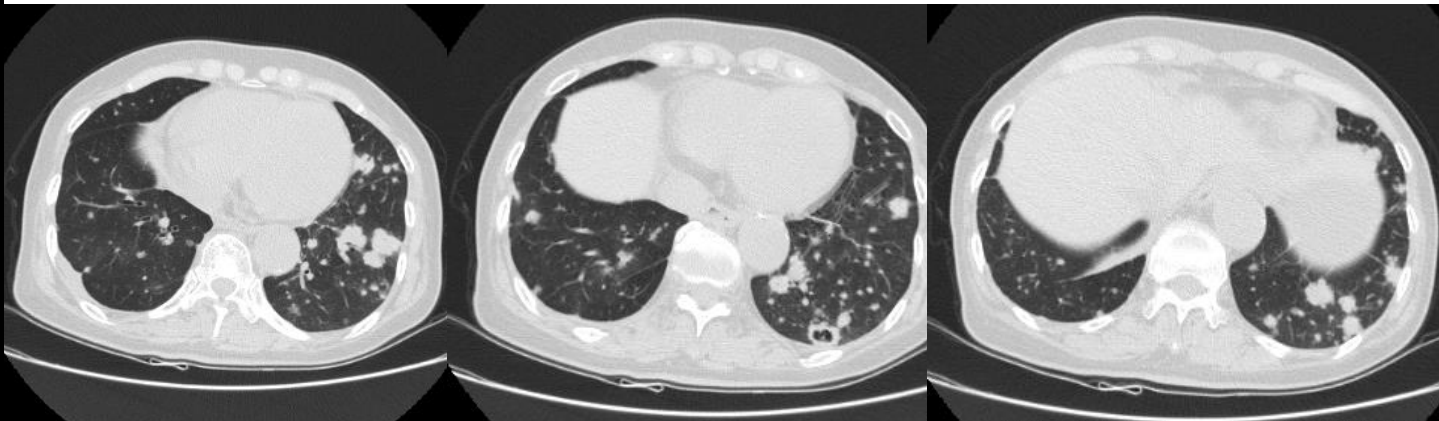
- ▶ 2015-11-27 RLLobectomy  
adenocarcinoma, T1aN0M0, stage IA
- ▶ Relapse after 22 months



2017-09-12

# Case #2

- ▶ BAF fluid EV EGFR mutation : exon 21 L861Q
- ▶ 수술 조직 EGFR mutation : wild type
- ▶ Palliative chemotherapy : pemetrexed #6 (+ cisplatin #4), SD for 5 mon



2018-02-01

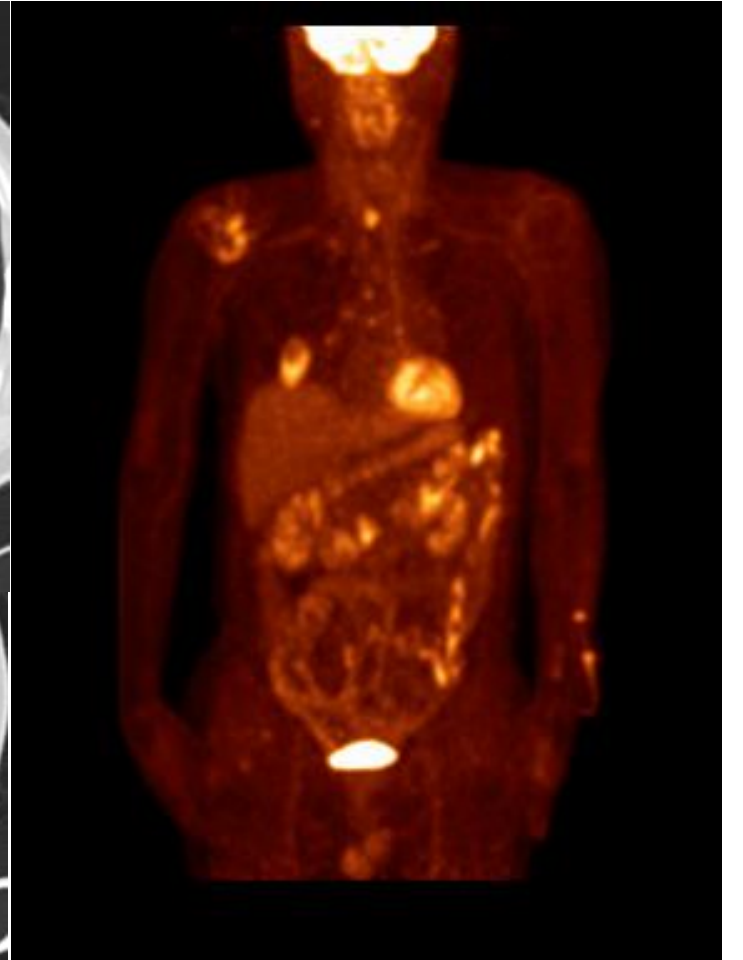
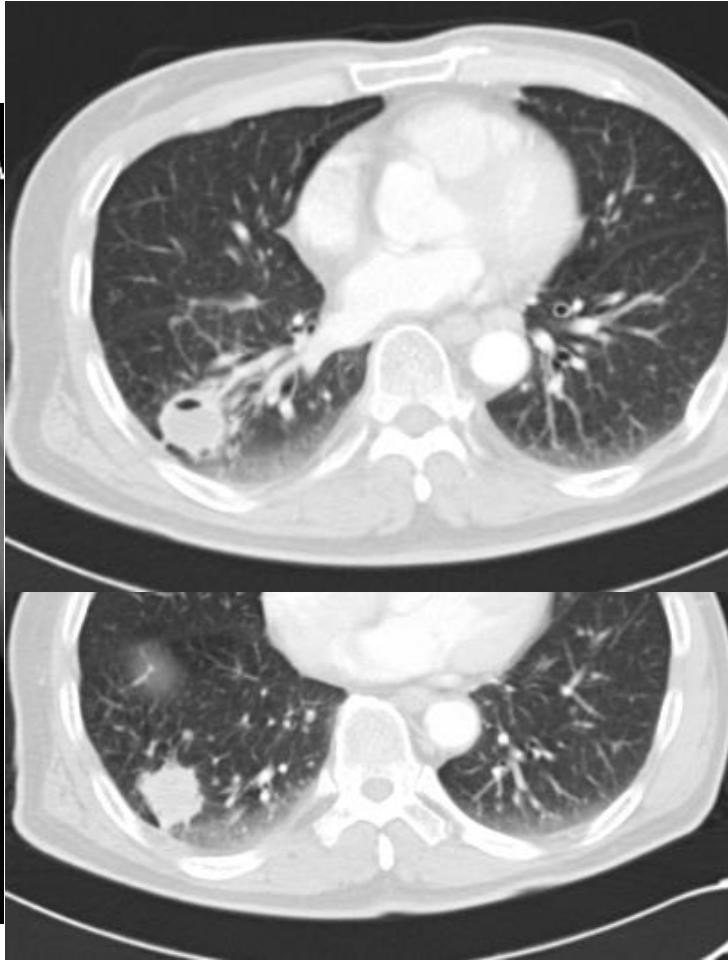
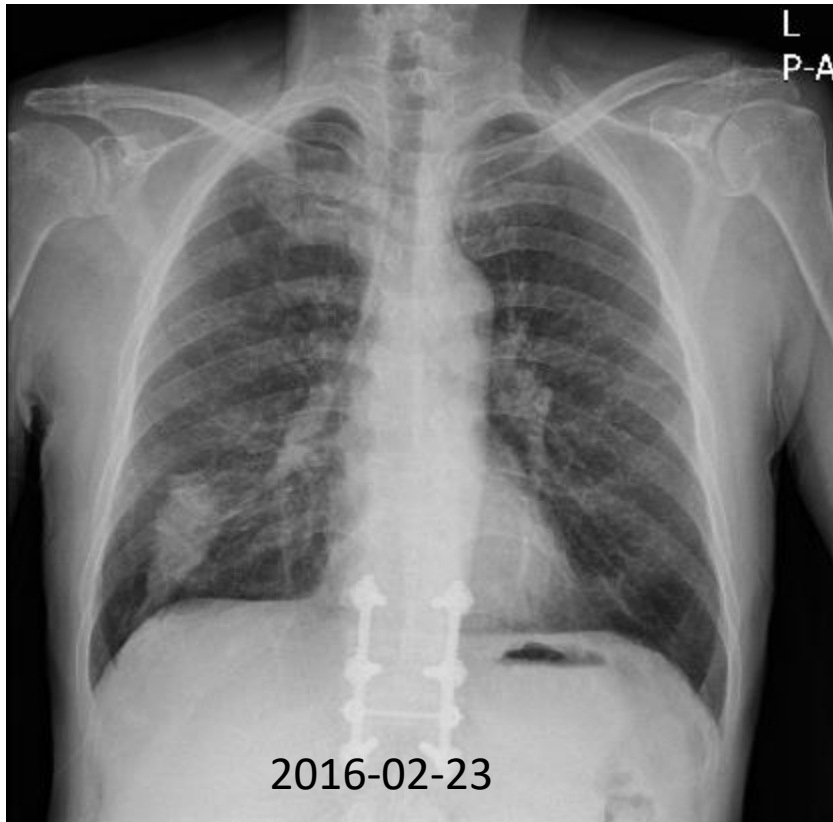
# Case #2

▶ PR to erlotinib



# Case #3 - M/67

- ▶ Spinal stenosis 수술 시행 전 평가에서 이상 소견 보여 내원
- ▶ 호흡기계 증상 호소 없음.
- ▶ 흡연 : 40 갑년



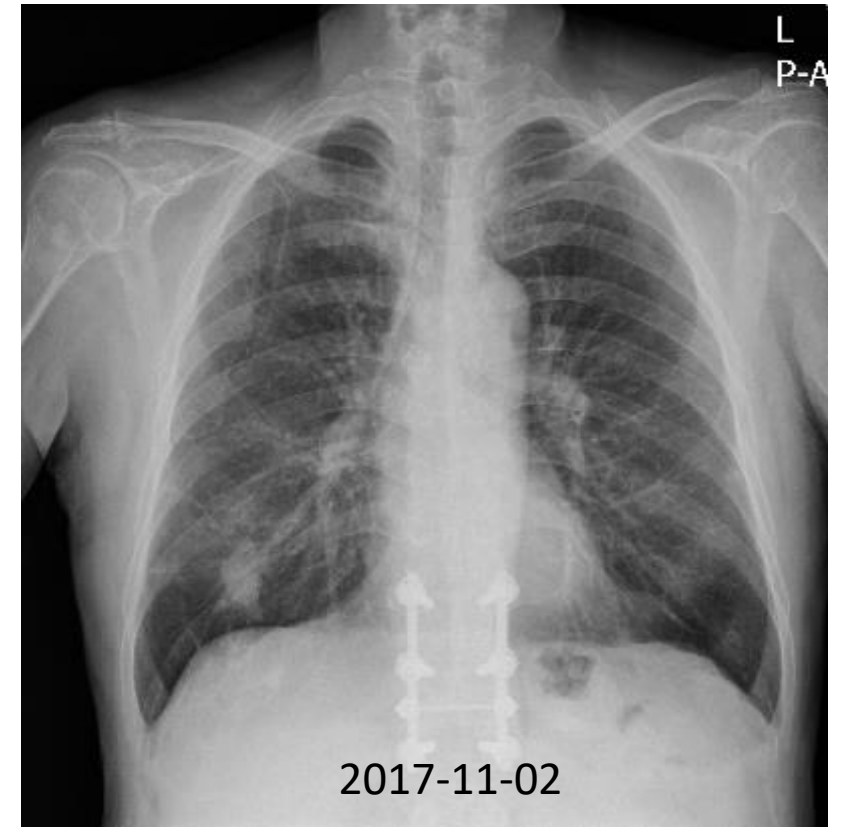
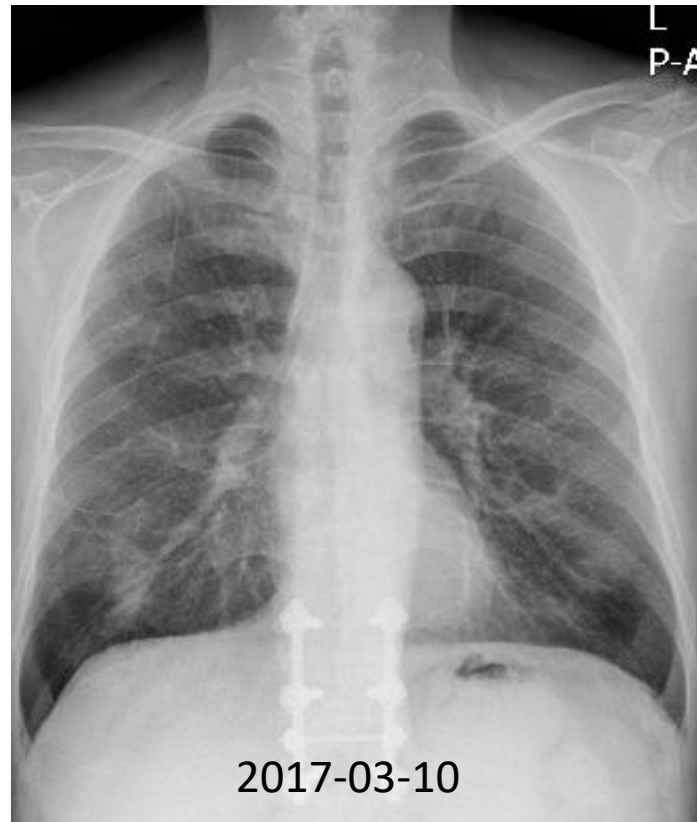
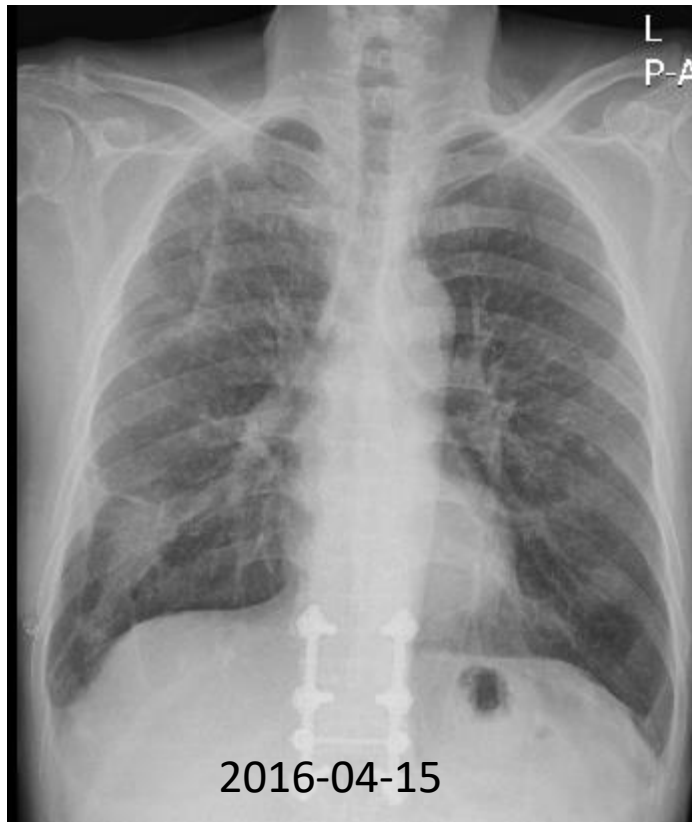
# Case #3 Diagnosis

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- ▶ PCNBx. : Non-neoplastic lung parenchyme with (1) interstitial wall thickness (2) lymphoplasmacytic infiltration  
“Failed”
- ▶ 2016-03-17 Lumbar and lumbosacral fusion
- ▶ 2016-03-31 Wedge resection
  - ADENOCARCINOMA
  - Acinar (100%), ) Invasion to visceral pleura: PRESENT
- ▶ **Exon 19 : Deletion** : c.2240\_ 2257 del., p.del L747\_P753 ins

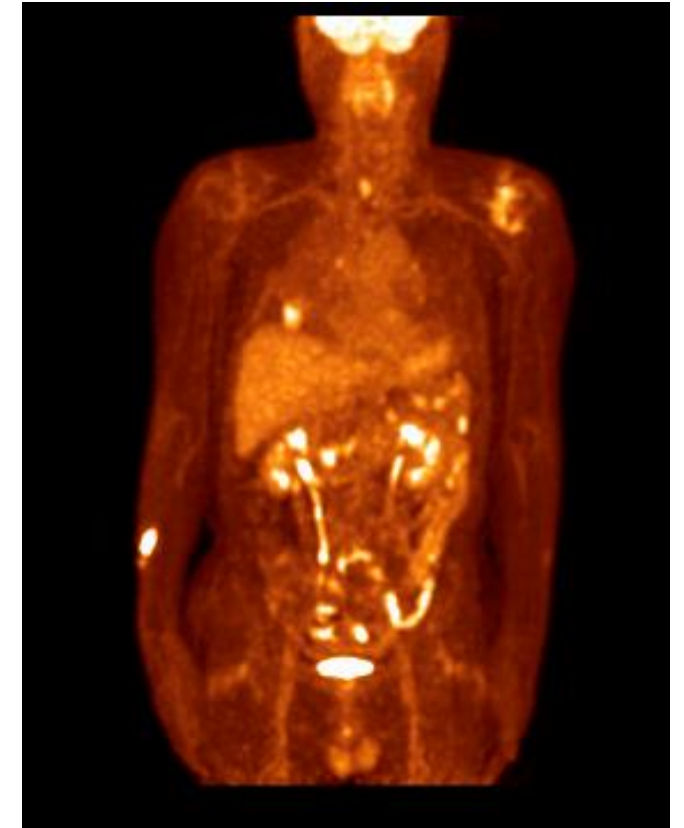
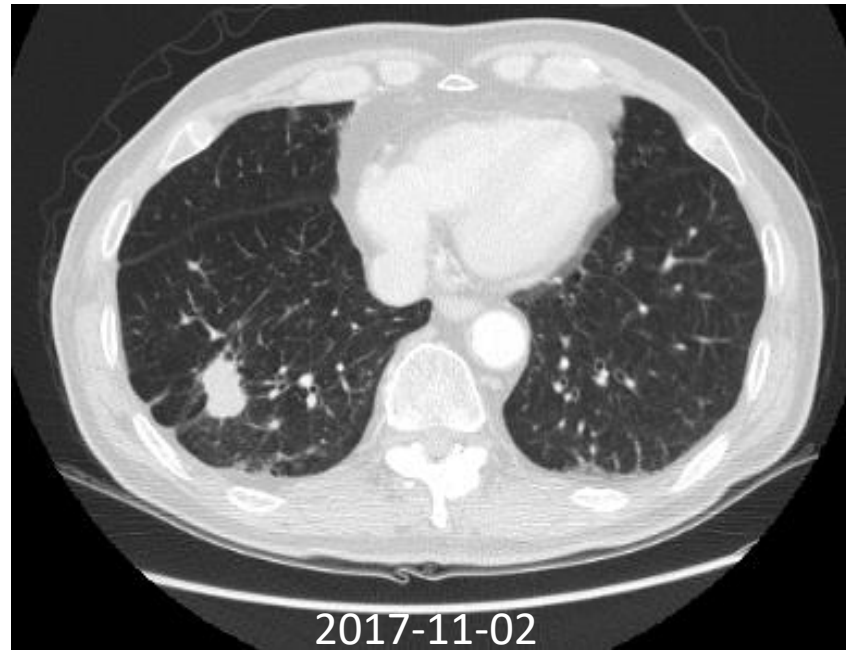
# Case #3 Treatment

- ▶ Adenocarcinoma, 19 del, Stage IV (lung to lung metastasis)
- ▶ 2016-04-15 - 2017-11-13 : Gefitinib (PR), 19 months



# Case #3 Rebiopsy

- ▶ Rebiopsy
- ▶ PCNBx : adenocarcinoma → EGFR mutation : 19 del
- ▶ BAL EV DNA : 19 del + **T790M**

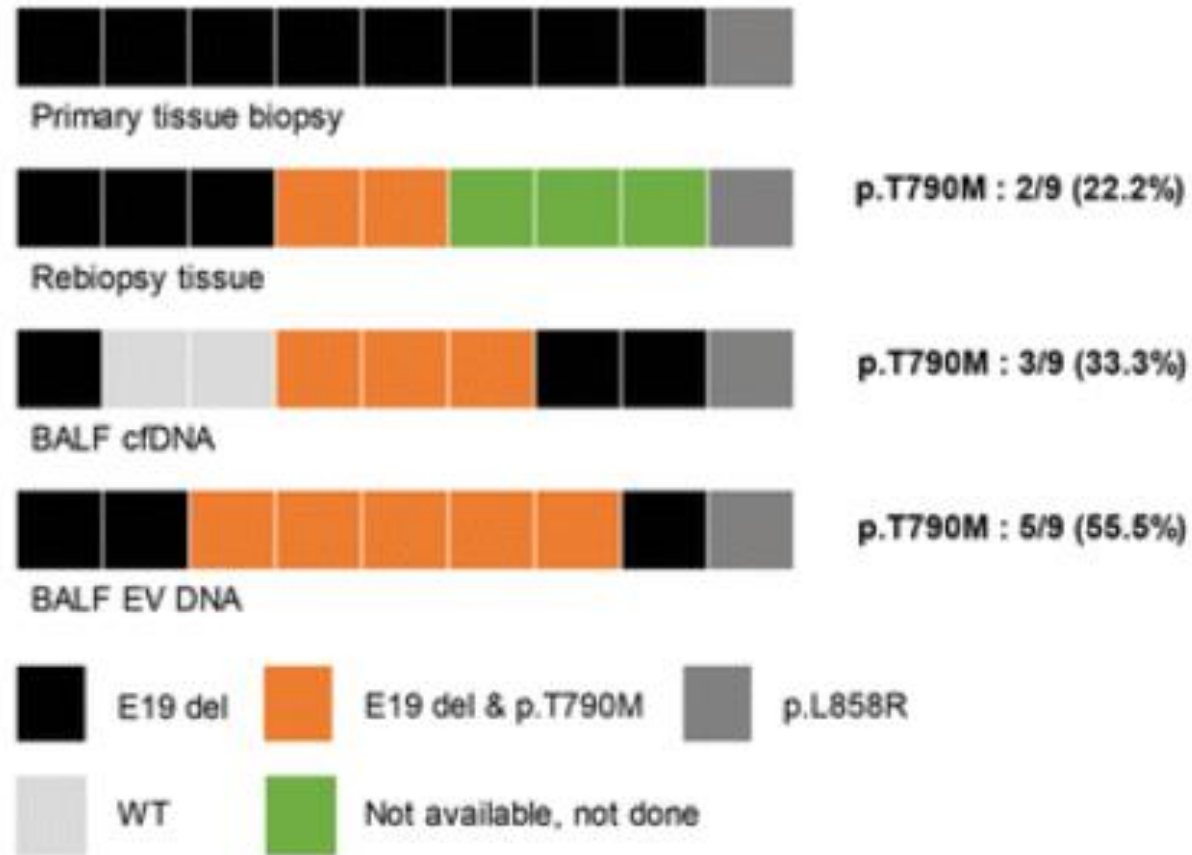


# Case #3 Response evaluation

► 2017-11-28 : Olmutinib 800mg QD



# BAL fluid extracellular vesicles



# Can liquid biopsy replace tissue biopsy?

## ► Strengths

- No tissue biopsy needed
- No FFPE fixation
- Profiling the overall genotype of cancer
  - primary cancer
  - circulating cells
  - metastases
- Better evaluation of :
  - reaction to therapy
  - development of resistance

## ► Weaknesses

- **Sensitivity** : sometimes extremely low levels of ctDNA (1.0%) are present
- **DNA extraction** : the pre-analytical phases of cfDNA need to be better defined
- **Assay standardization** : procedures need to be standardized
- **Quantification** : accurate quantification is needed
- **Variability of assay platform** : different platform assays have different assay sensitivity and specificity and analytical approach

# Summary

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- ▶ Liquid biopsy
  - Non invasive, rapid, serial testing
- ▶ Detection of genetic alteration
  - High concordance rate, good predictive marker
- ▶ Disease monitoring
  - Mutation clearance – Good predictive marker
- ▶ Resistance mechanism
  - T790M: sensitivity about 60-70%
  - Early detection of T790M; insufficient data for making a decision
- ▶ Rare oncogenes: NGS using ctDNA

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감사합니다

Thank you!