

**Is it essential to remove the  
FEV<sub>1</sub> severity in revised  
combined COPD assessment?**

**YES!**

동아대학교 호흡기 내과  
엄수정

# Contents

1. Background of Combined Assessment
2. Predictive role of GOLD classifications
3. 2017 GOLD- Revised Assessment tool
  - 1) Treatment goal and  $FEV_1$
  - 2) Benefit of Revised Assessment tool
4. Summary

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# GOLD 2006

I: Mild	II: Moderate	III: Severe	IV: Very Severe
$FEV_1 \geq 80\% \text{ pred}$	$FEV_1 < 80\% \geq 50\%$	$FEV_1 < 50\% \geq 30\%$	$FEV_1 < 30\% \text{ pred}$ <i>or</i> $FEV_1 < 50\% \text{ pred}$ plus chronic respiratory failure

**Staging by FEV1**

# GOLD 2006

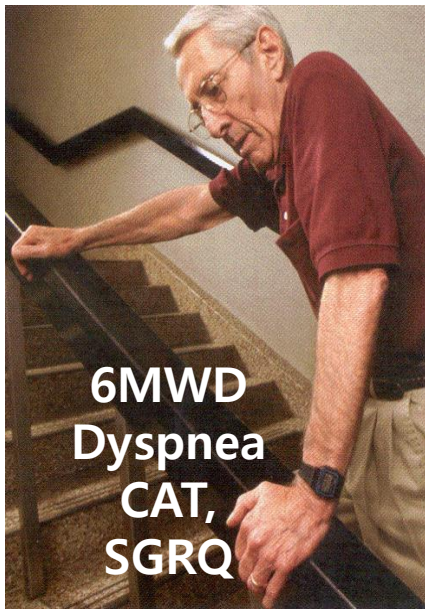
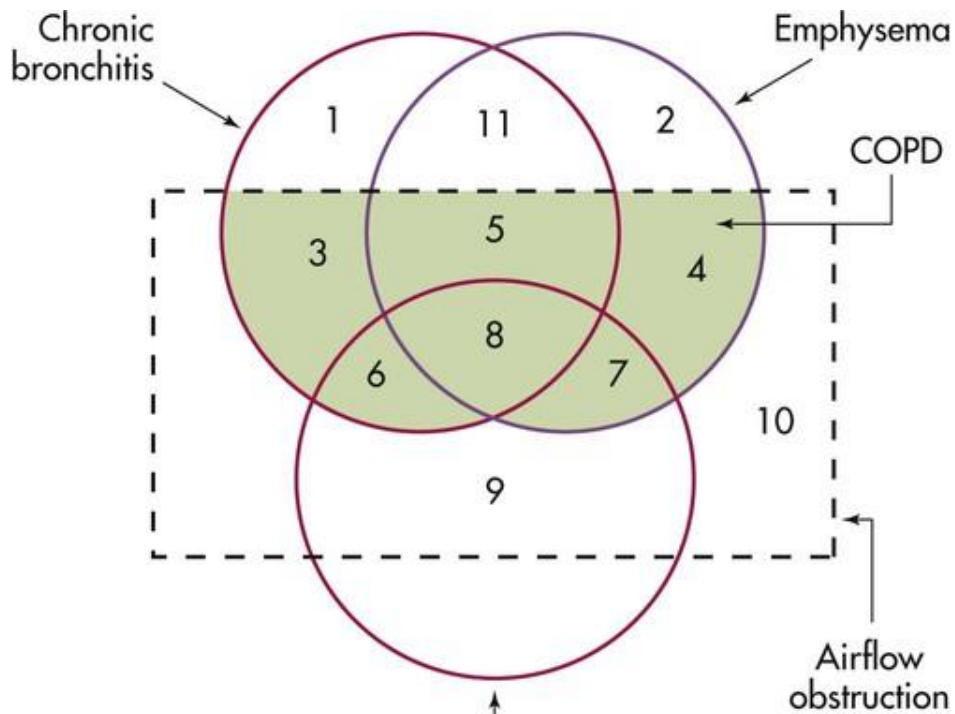
I: Mild	II: Moderate	III: Severe	IV: Very Severe
$FEV_1 \geq 80\%$ pred	$FEV_1 < 80\% \geq 50\%$	$FEV_1 < 50\% \geq 30\%$	$FEV_1 < 30\%$ pred or $FEV_1 < 50\%$ pred plus chronic respiratory failure

Active reduction of risk factor(s); influenza vaccination →  
**Add** short-acting bronchodilator (when needed) →

**Add** regular treatment with one or more long-acting bronchodilators (when needed); **Add** rehabilitation

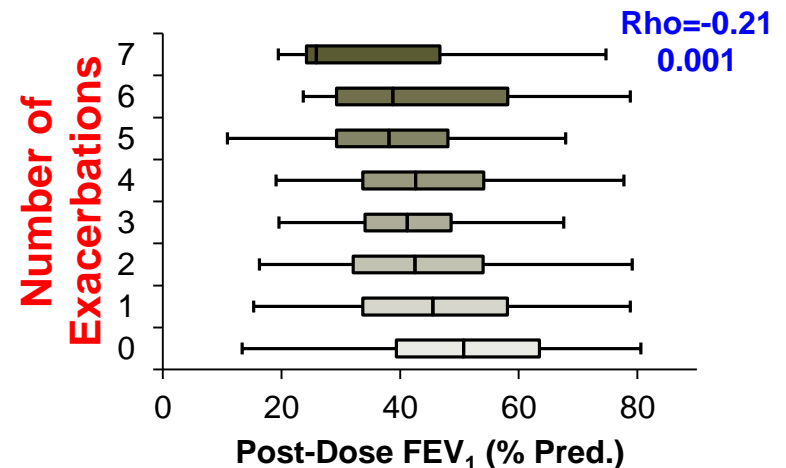
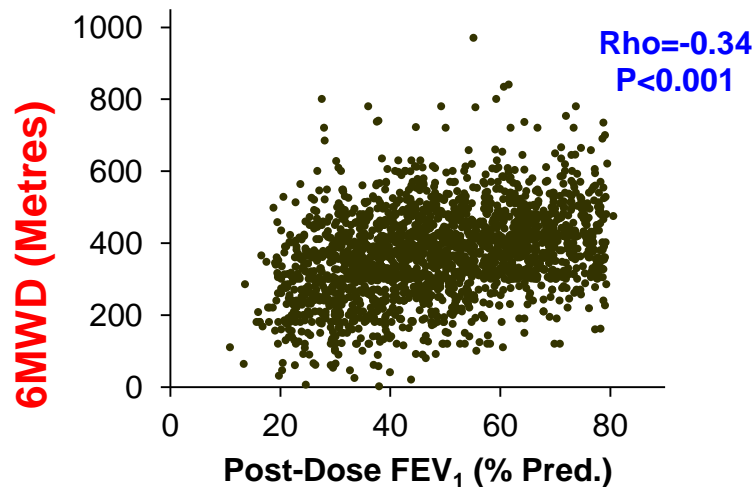
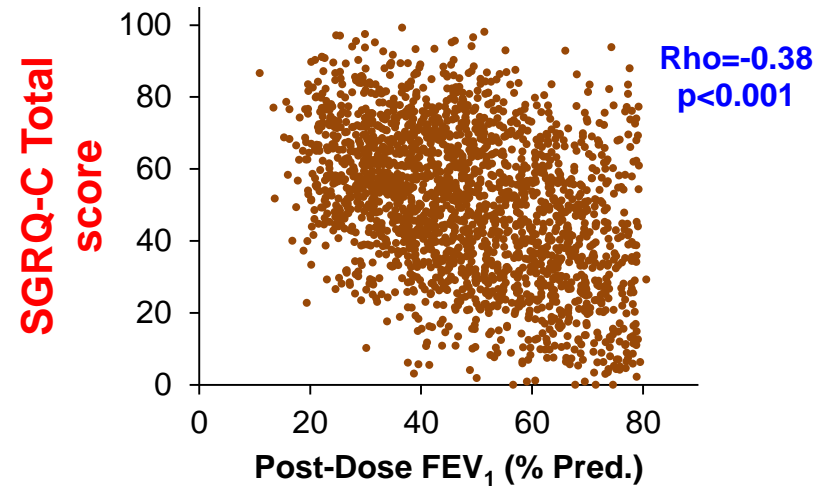
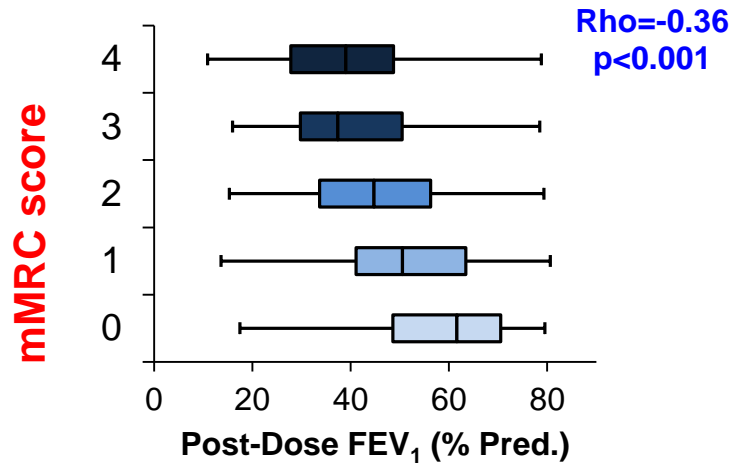
**Add** inhaled glucocorticosteroids if repeated exacerbations

**Add** long term oxygen if chronic respiratory failure. **Consider** surgical treatments

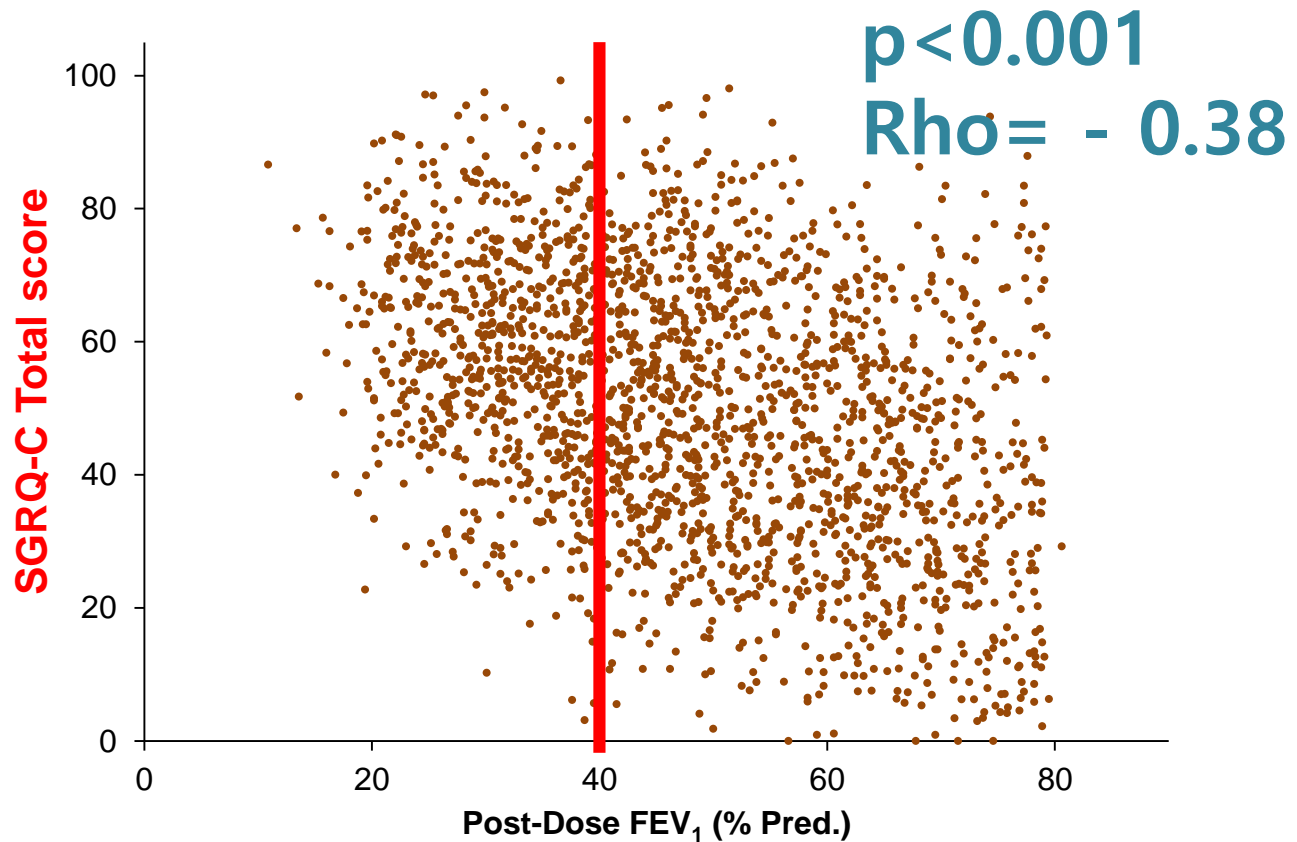


# Disease Outcome Parameters & FEV<sub>1</sub>

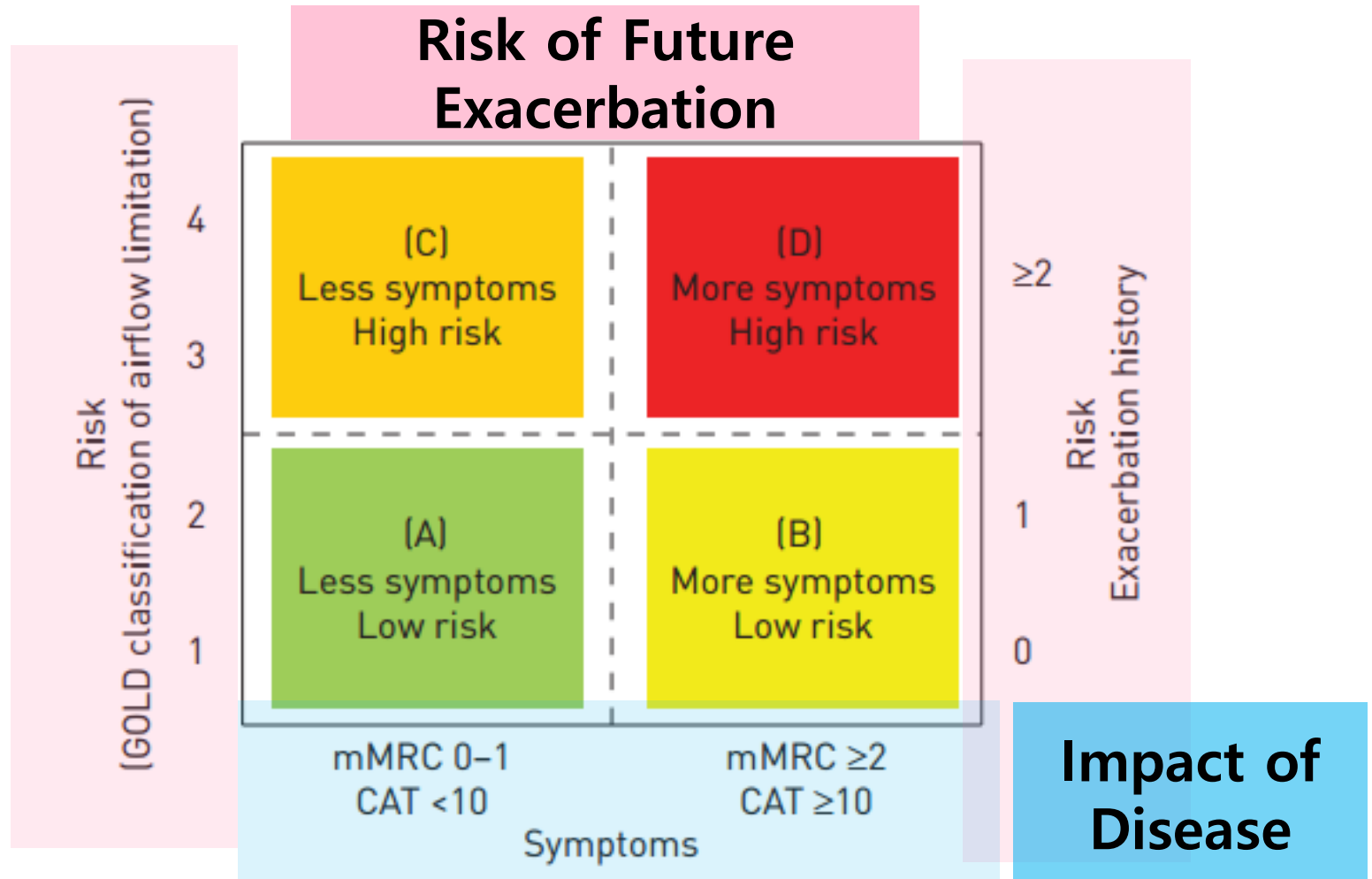
## ECLIPSE



# Individual state and FEV1



# GOLD 2011



# Distribution of the patient groups

GOLD 2007(stage) vs 2011(group)

## ECLIPSE

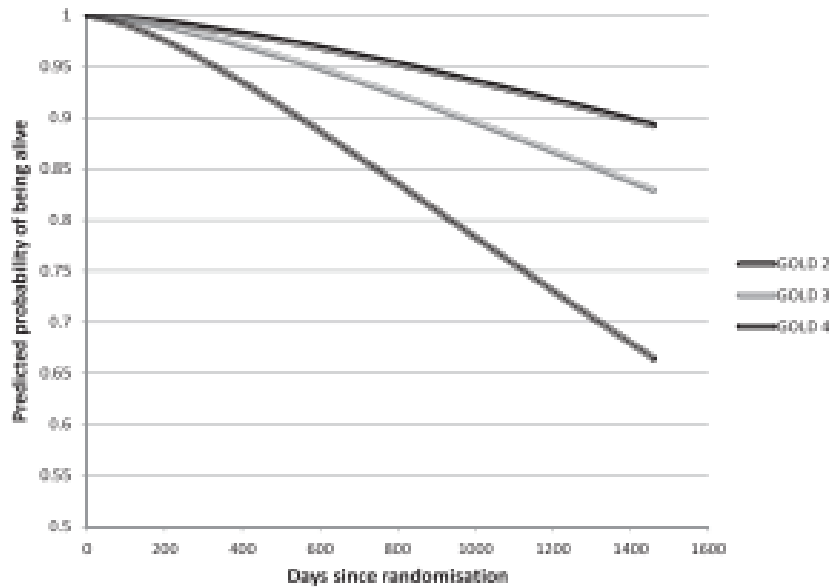


# Contents

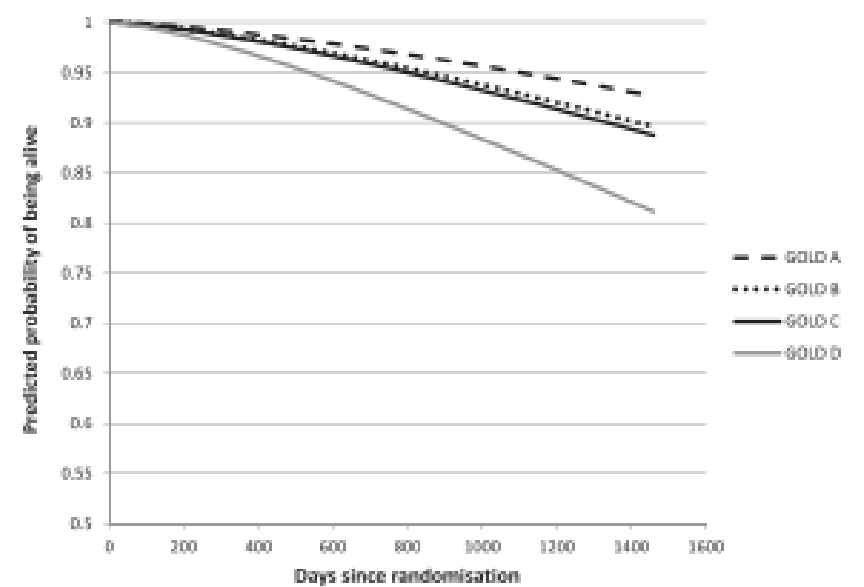
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# Survival Prediction

## UPLIFT



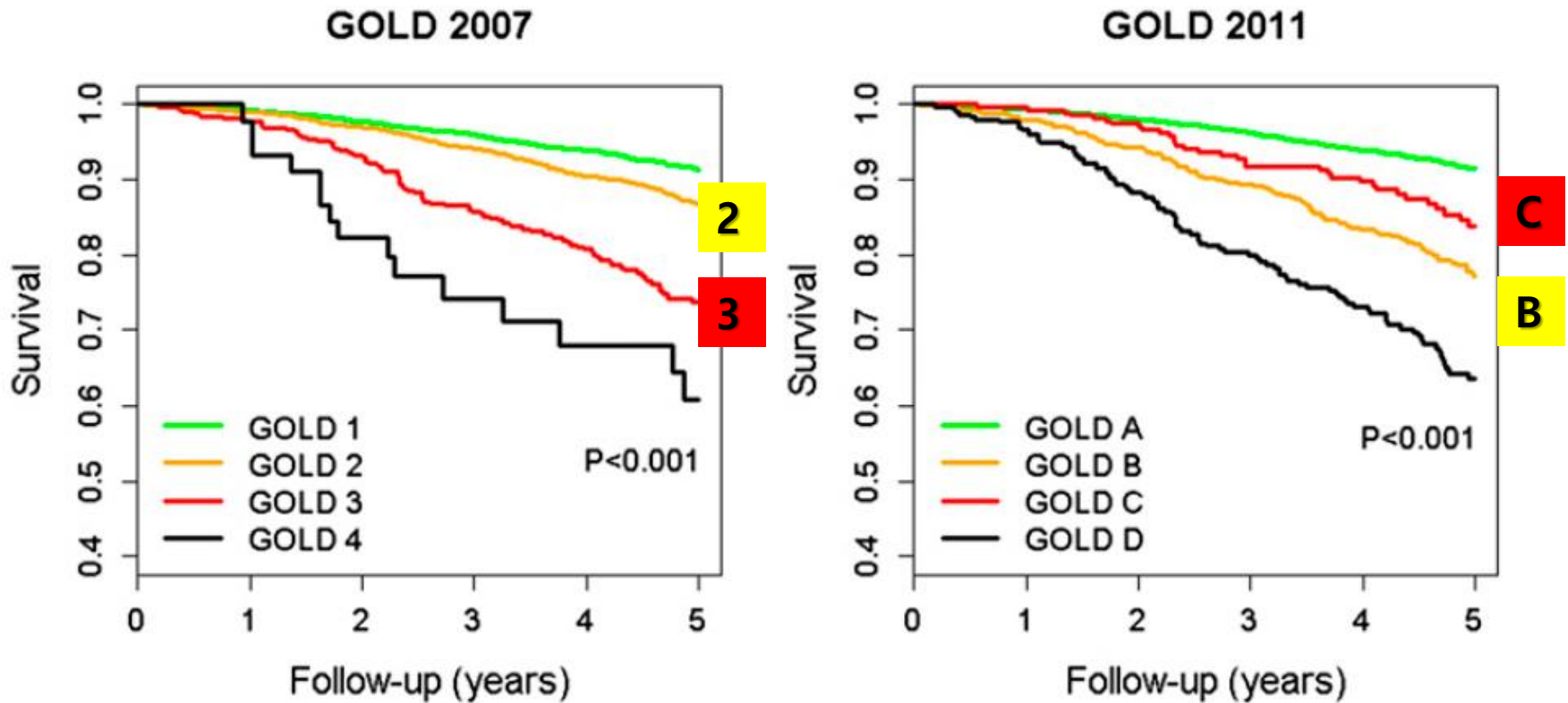
GOLD stage 2,3,4



GOLD group A,B,C,D

# Survival Prediction

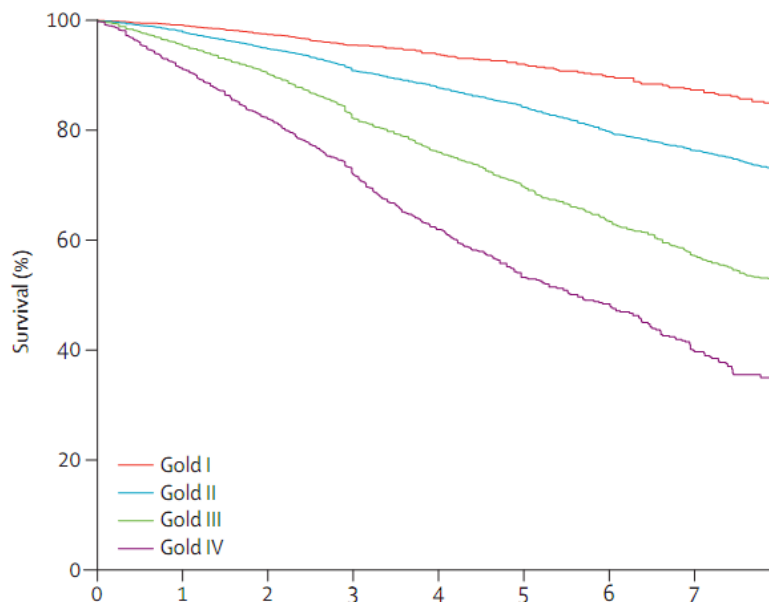
CCHS (Copenhagen City Heart Study) cohort



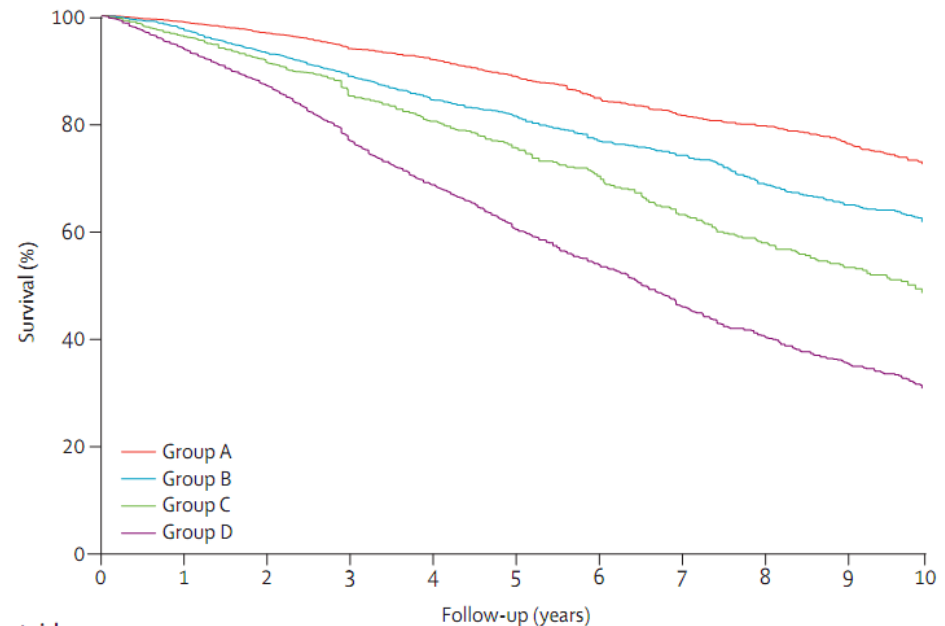
# Mortality Prediction

## GOLD 2007 vs GOLD 2011

15632 patients from 22 COPD cohorts from seven countries



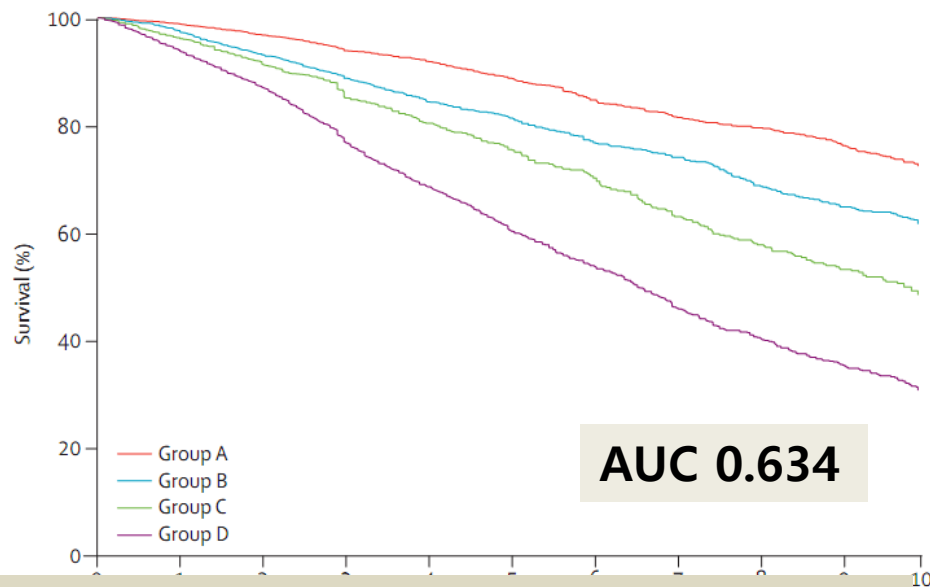
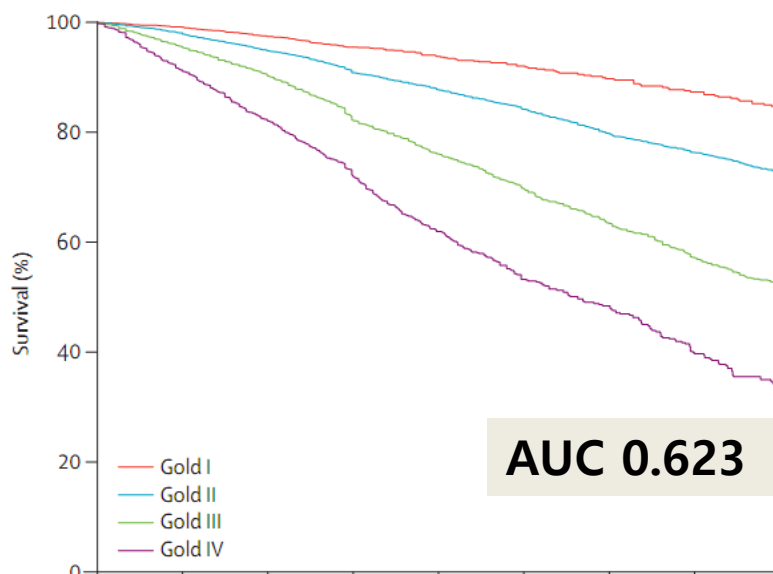
	0	1	2	3	4	5	6	7
Group I	2260	2183	2068	1088	822	541	427	386
Group II	6731	6444	6036	5101	2963	2059	1611	1426
Group III	4131	3877	3537	2779	1538	1005	713	587
Group IV	1576	1417	1217	929	517	322	224	176



	0	1	2	3	4	5	6	7	8	9	10
Group A	5273	5092	4841	4221	2006	1282	994	887	800	741	448
Group B	2530	2412	2218	1877	1095	769	608	552	478	443	383
Group C	1746	1644	1516	1204	676	481	390	322	263	228	145
Group D	4267	3934	3522	2664	1412	821	544	425	315	267	189

# Mortality Prediction in individual level GOLD 2007 vs GOLD 2011

15632 patients from 22 COPD cohorts from seven countries



**Both systems Failed to Predict Individual Mortality**

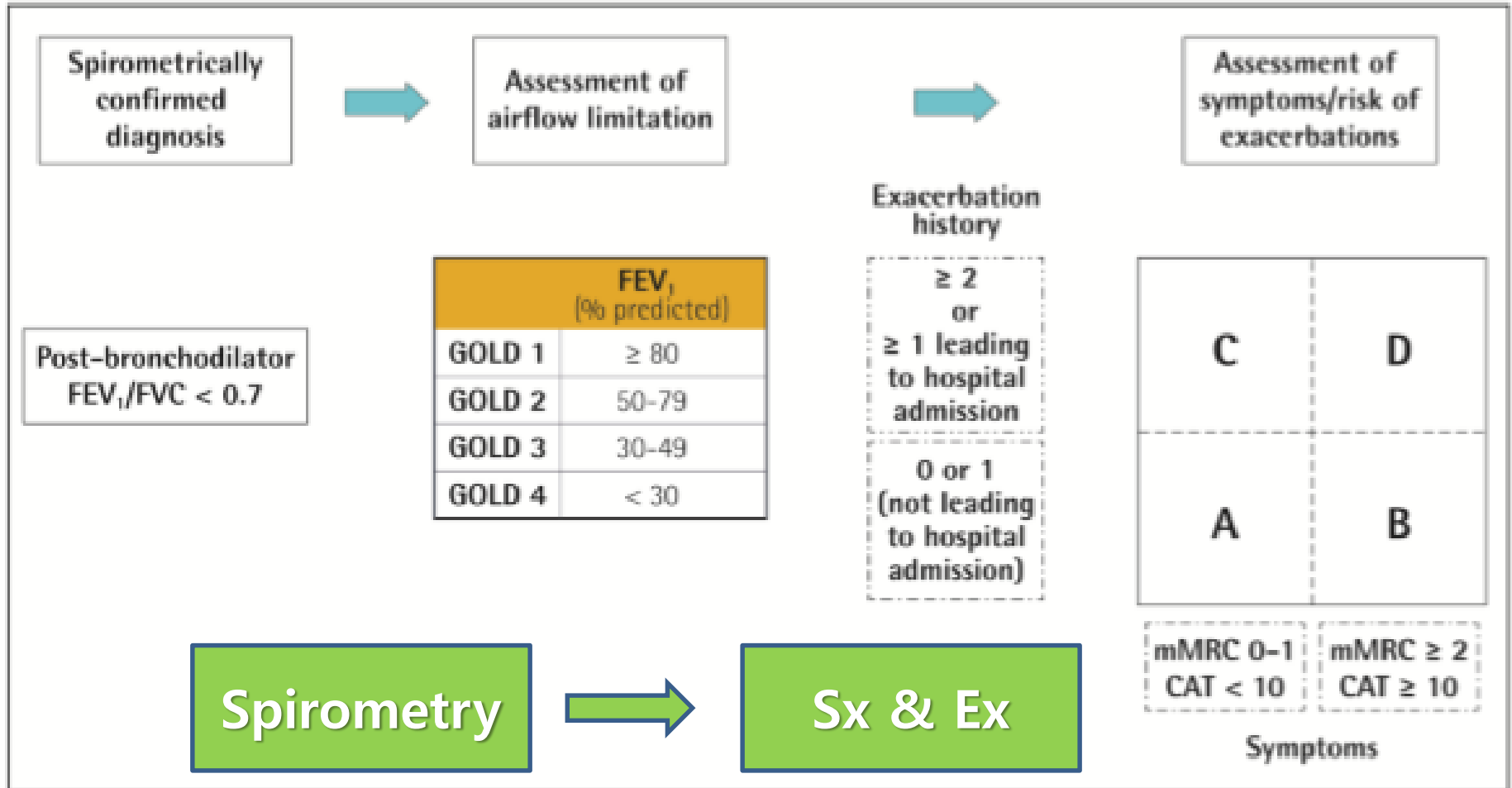
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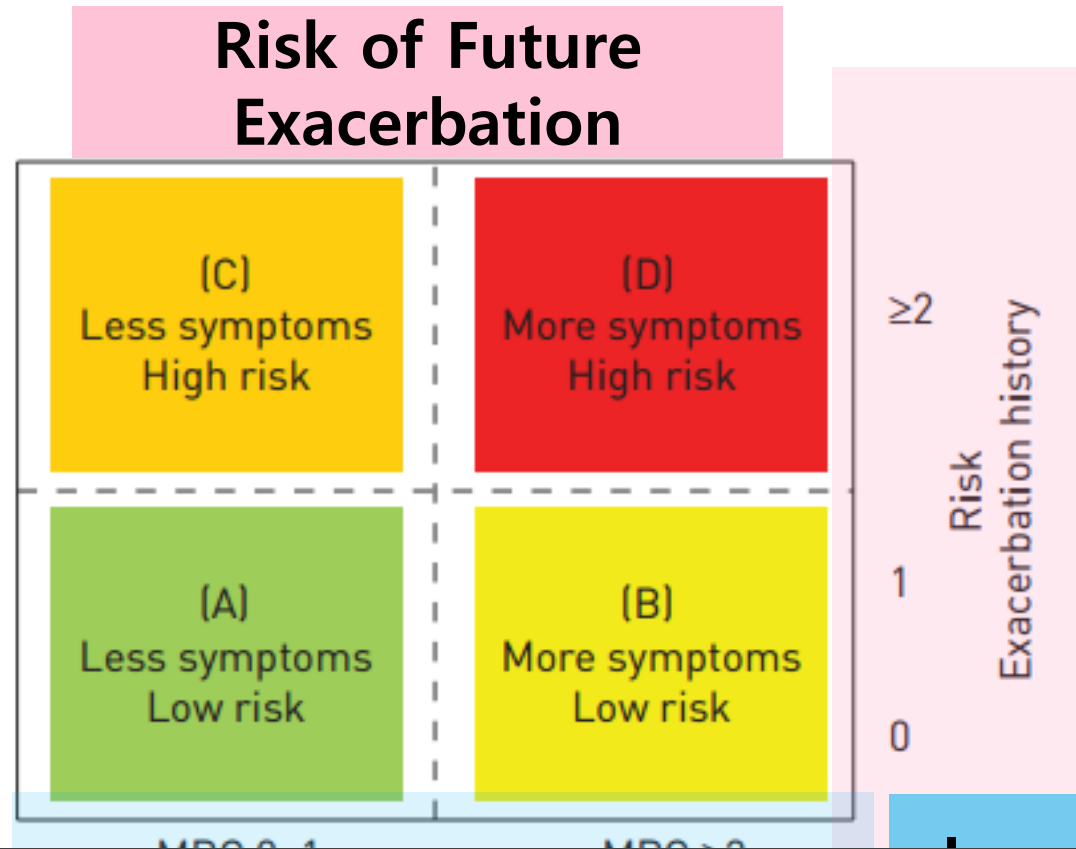
**Is it essential to remove the  
FEV<sub>1</sub> severity in revised  
combined COPD assessment?**

**NO!**

# 2017 GOLD ASSESSMENT



# GOLD 2017



**empirical proposal mostly based on expert opinion**

# GOLD 2017

## Revised combined COPD assessment

An understanding of the impact of COPD on an individual patient combines the symptomatic assessment with the patient's spirometric classification and/or risk of exacerbations. The "ABCD" assessment tool of the 2011 GOLD update was a major step forward from the simple spirometric grading system of the earlier versions of GOLD because it incorporated patient-reported outcomes

**FEV<sub>1</sub> , for Patient Assessment , Groups and  
NOT FOR PHAMACOLOGIC MANAGEMENT**

**Spirometry, in conjunction with patient symptoms and exacerbation history, remains vital for the diagnosis, prognostication and consideration of other important therapeutic approaches.**

exacerbation history, remains vital for the diagnosis, prognostication and consideration of other important therapeutic approaches. This new approach to assessment is illustrated in **Figure 2.4.**

# Spirometry Role

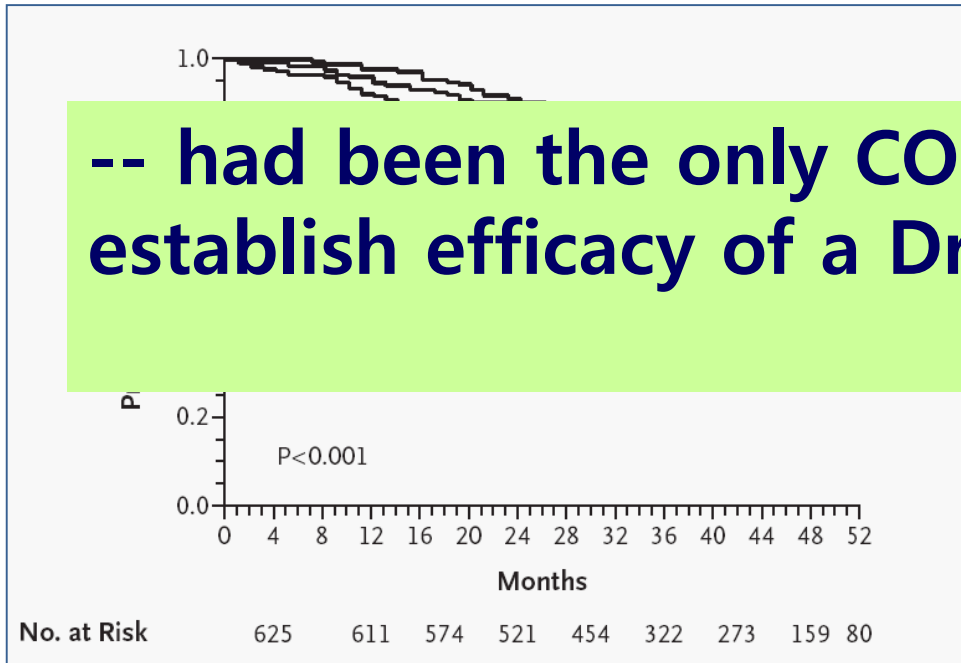
- Diagnosis
- Assessment of severity of airflow obstruction (for prognosis)
- Follow-up assessment
  - Therapeutic decisions
    - Pharmacological in selected circumstances
    - Consider alternative diagnoses
    - Non-pharmacological (e.g., interventional procedures)
  - Identification of rapid decline

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# FEV<sub>1</sub>

- Define the disease
- Characterize the severity of the disease
- Predictor of mortality
- Indicator of future exacerbation
- Response to therapy



-- had been the only COPD biomarker to establish efficacy of a Drug for COPD - US FDA-

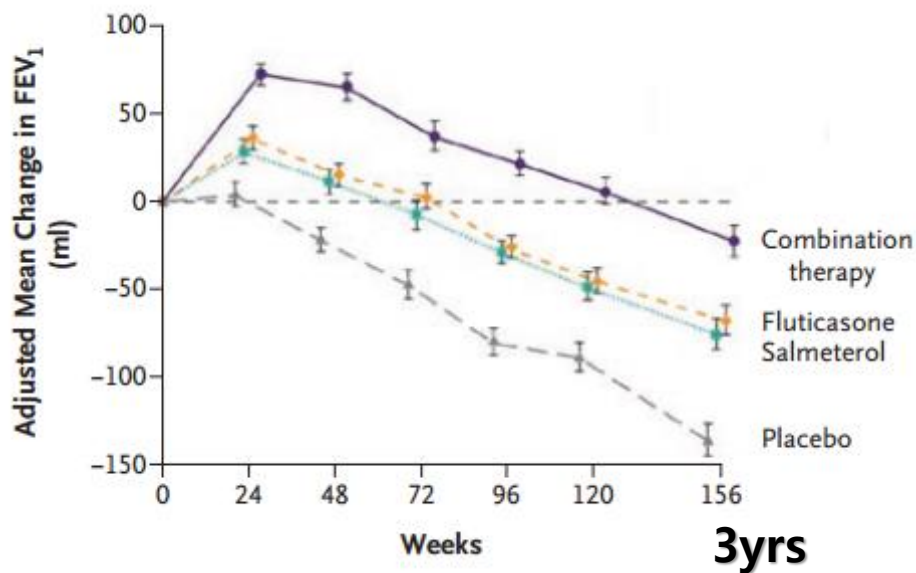
Very Severe

< 30% pred  
FEV<sub>1</sub> < 50% pred  
is chronic  
respiratory failure

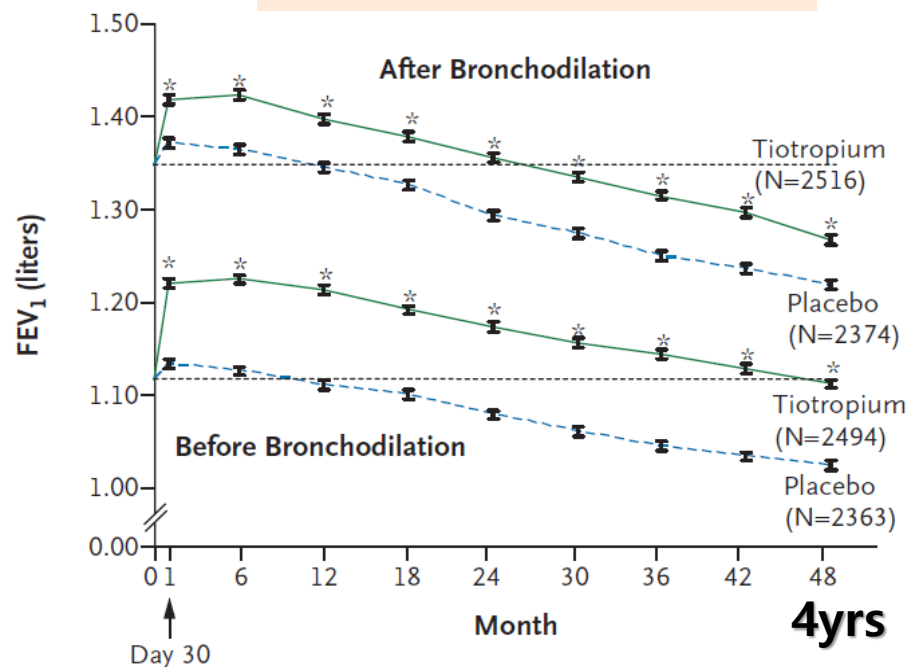
Previous GOLD stage

Celli BR. NEJM 2004;350:1005  
Cote CG Am J Med 2006;119:s54  
Donaldson GC Thorax 2006;61:164

## ICS/LABA (TORCH)



## LAMA (UPLIFT)



**No Proved Pharmacologic Option to Alter Disease Progression**

Calverley et al. N Engl J Med 2007;356:775-89.  
Tashkin DP et al. N Engl J Med 2008;359:1543-54.



# Manage Stable COPD: Goals of Therapy

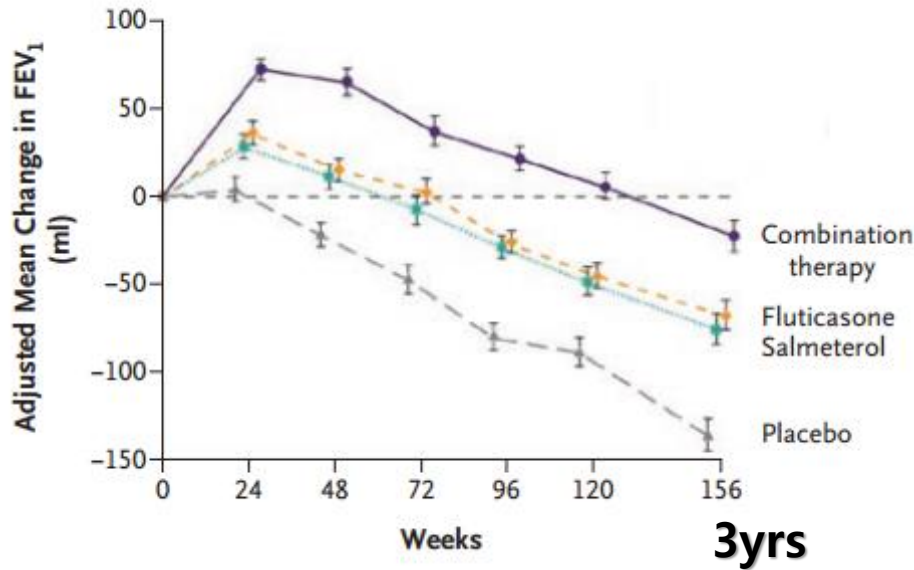
- Relieve symptoms
  - Improve exercise tolerance
  - Improve health status
- Reduce symptoms**
- Prevent disease progression
  - Prevent and treat exacerbations
  - Reduce mortality
- Reduce risk**



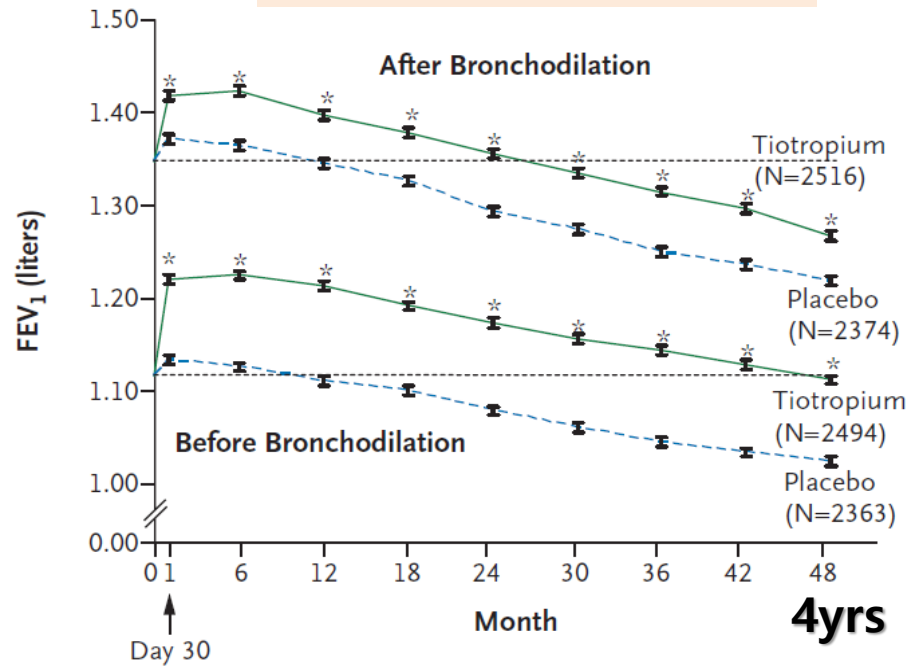
# Manage Stable COPD: Goals of Therapy

- Relieve symptoms
  - Improve exercise tolerance
  - Improve health status
- } **Reduce symptoms**
- Prevent disease progression
  - Prevent and treat exacerbations
  - Reduce mortality
- } **Reduce risk**

## ICS/LABA (TORCH)



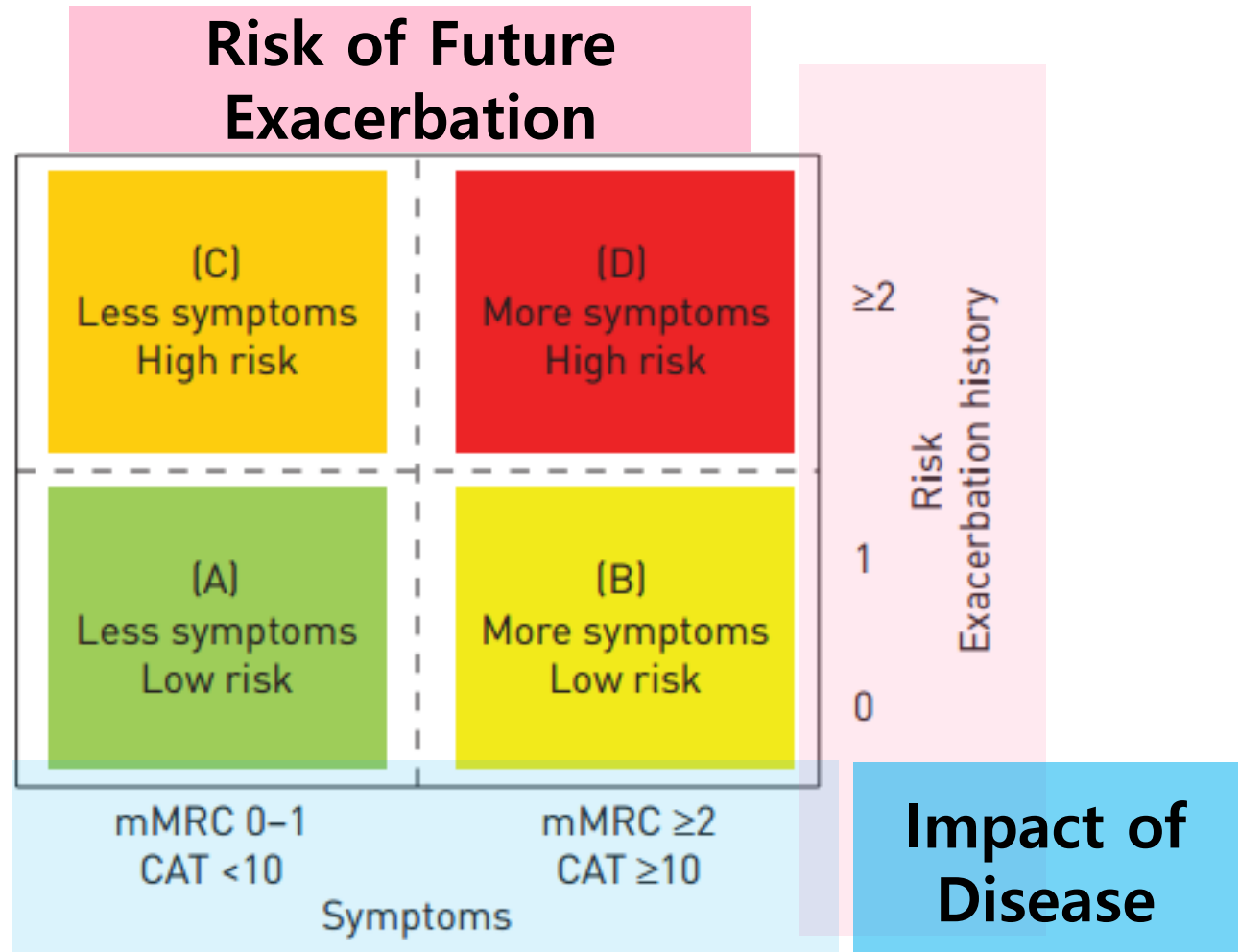
## LAMA (UPLIFT)



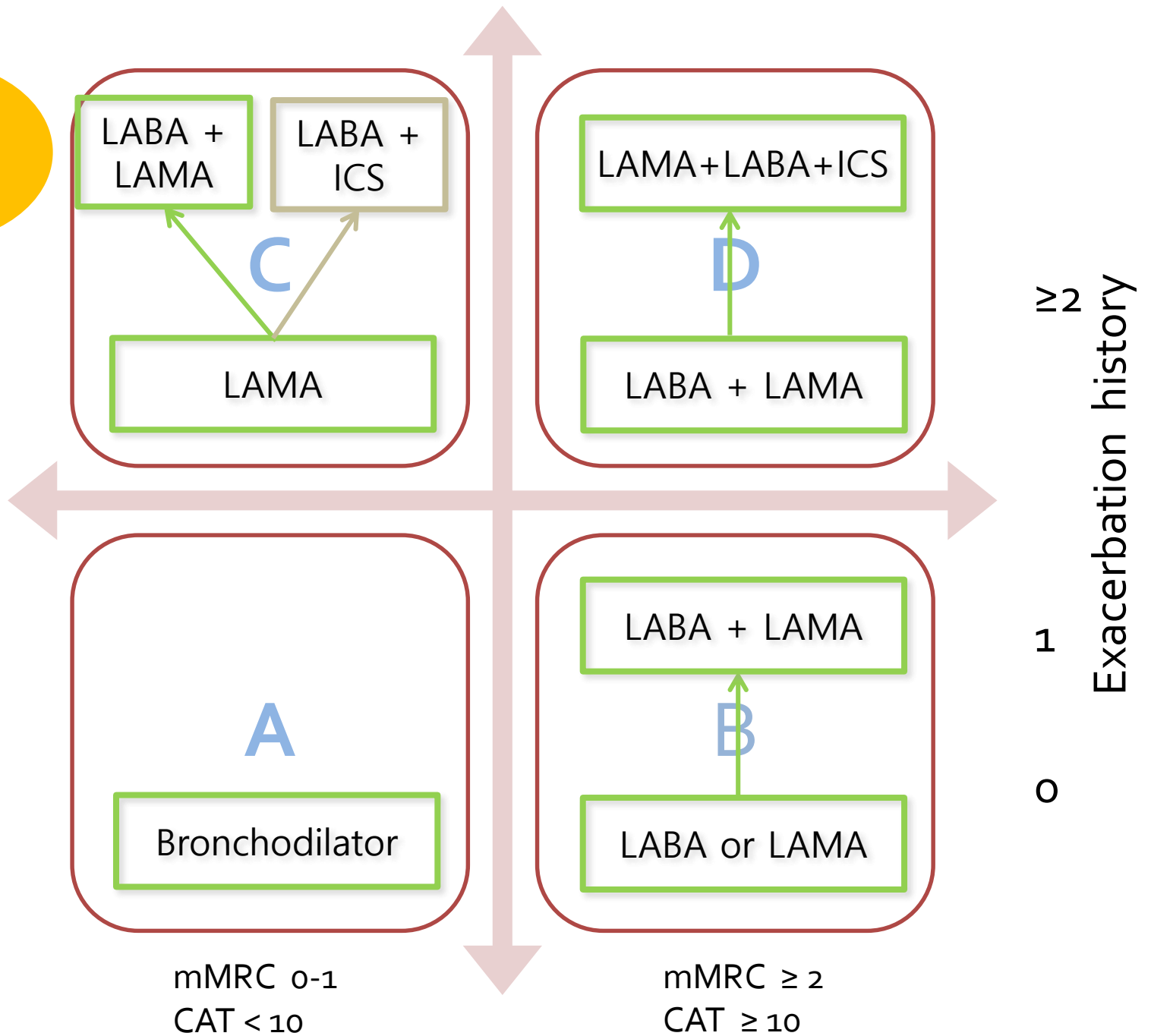
No Proved Pharmacologic Option to Alter Disease Progression

Proved Pharmacologic Option to Reduce Disease Exacerbations and Improve symptom

# GOLD 2017



**GOLD  
2017**



**GOLD  
2017**

**C**

LAMA

**D**

LABA + LAMA

**For Initial Treatment Option**

**A**

Bronchodilator

**B**

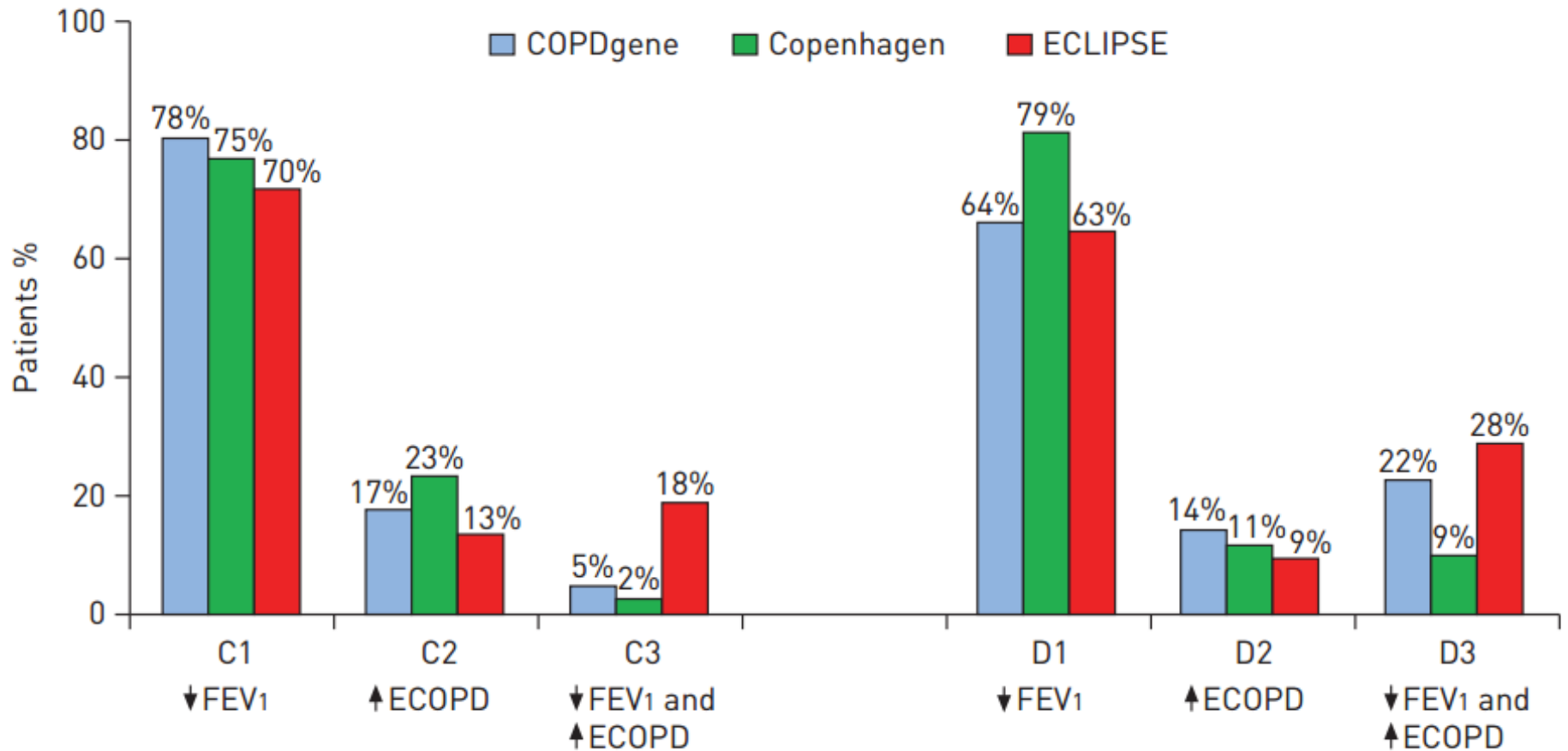
LABA or LAMA

mMRC 0-1  
CAT < 10

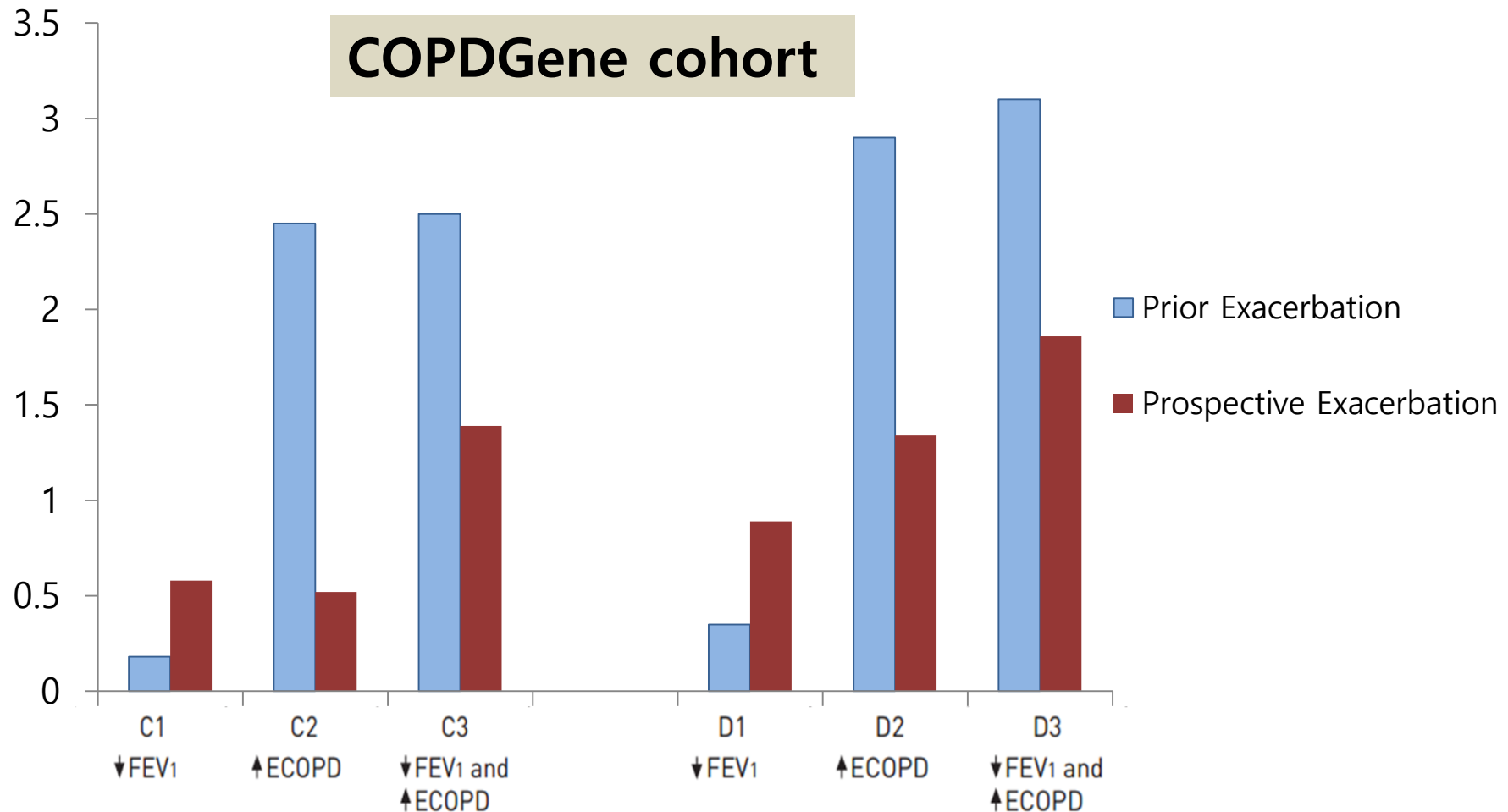
mMRC  $\geq 2$   
CAT  $\geq 10$

Exacerbation history  
0  
1  
 $\geq 2$

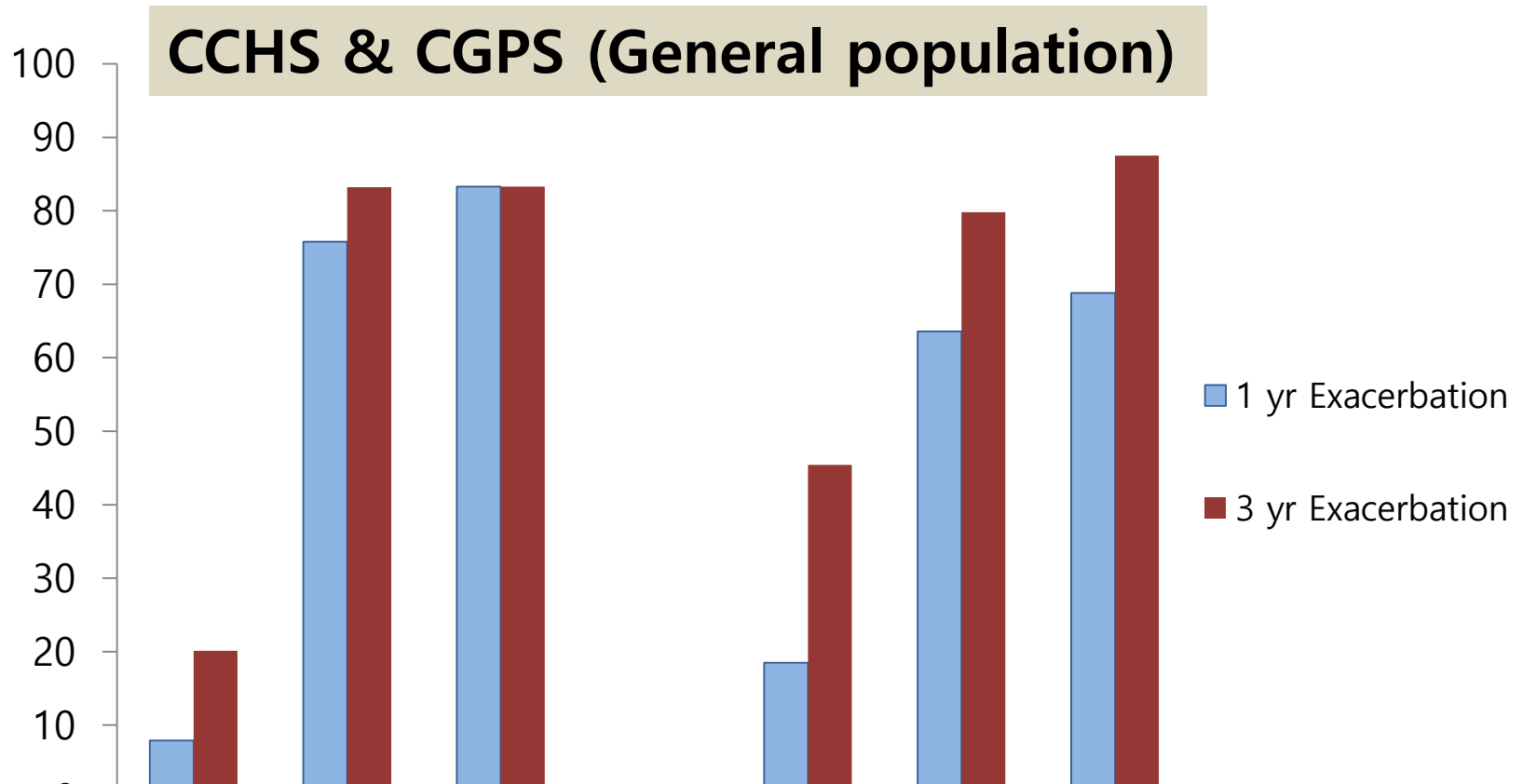
# Heterogeneity of previous GOLD categorization



# Prospective Exacerbation Prediction



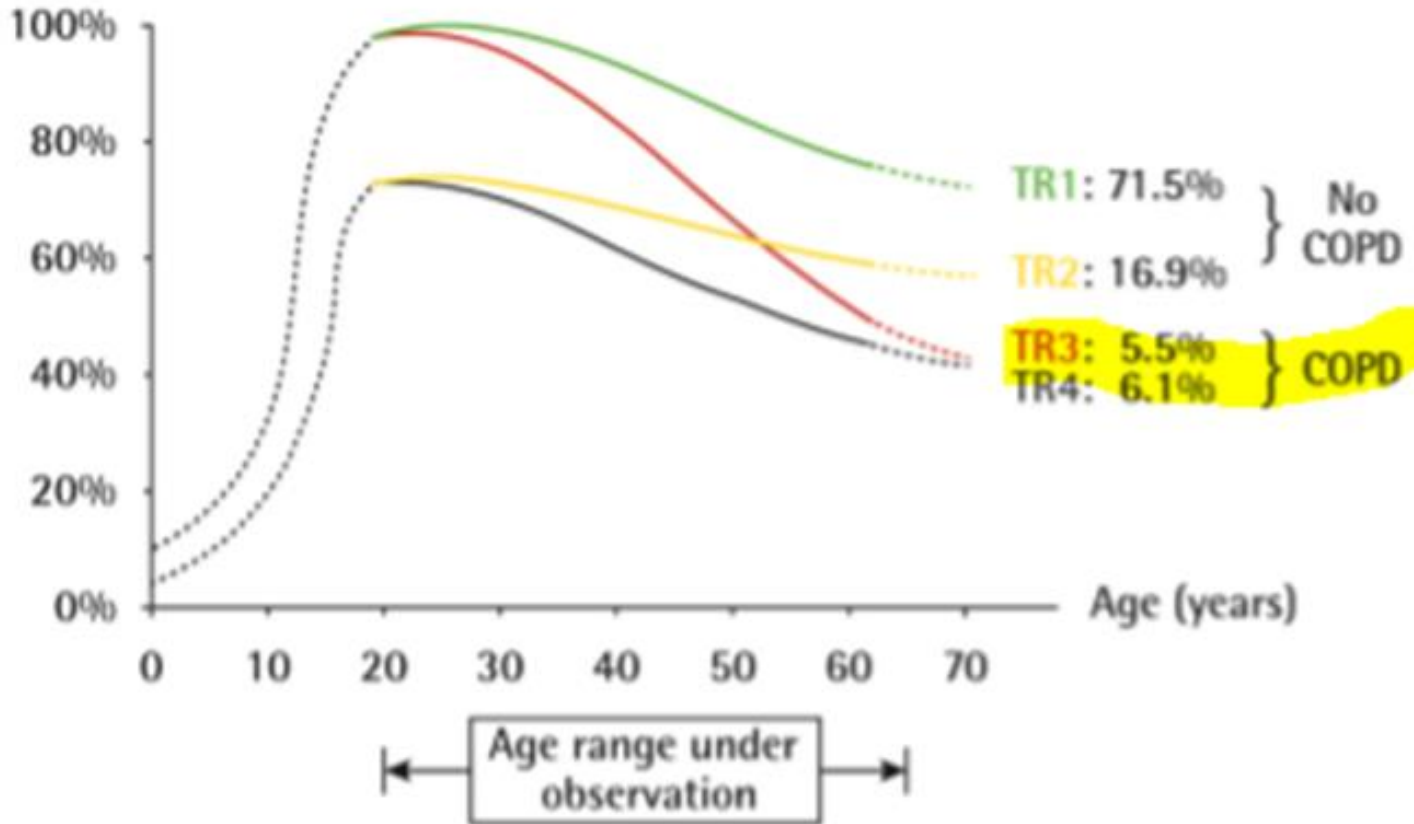
# Prospective Exacerbation



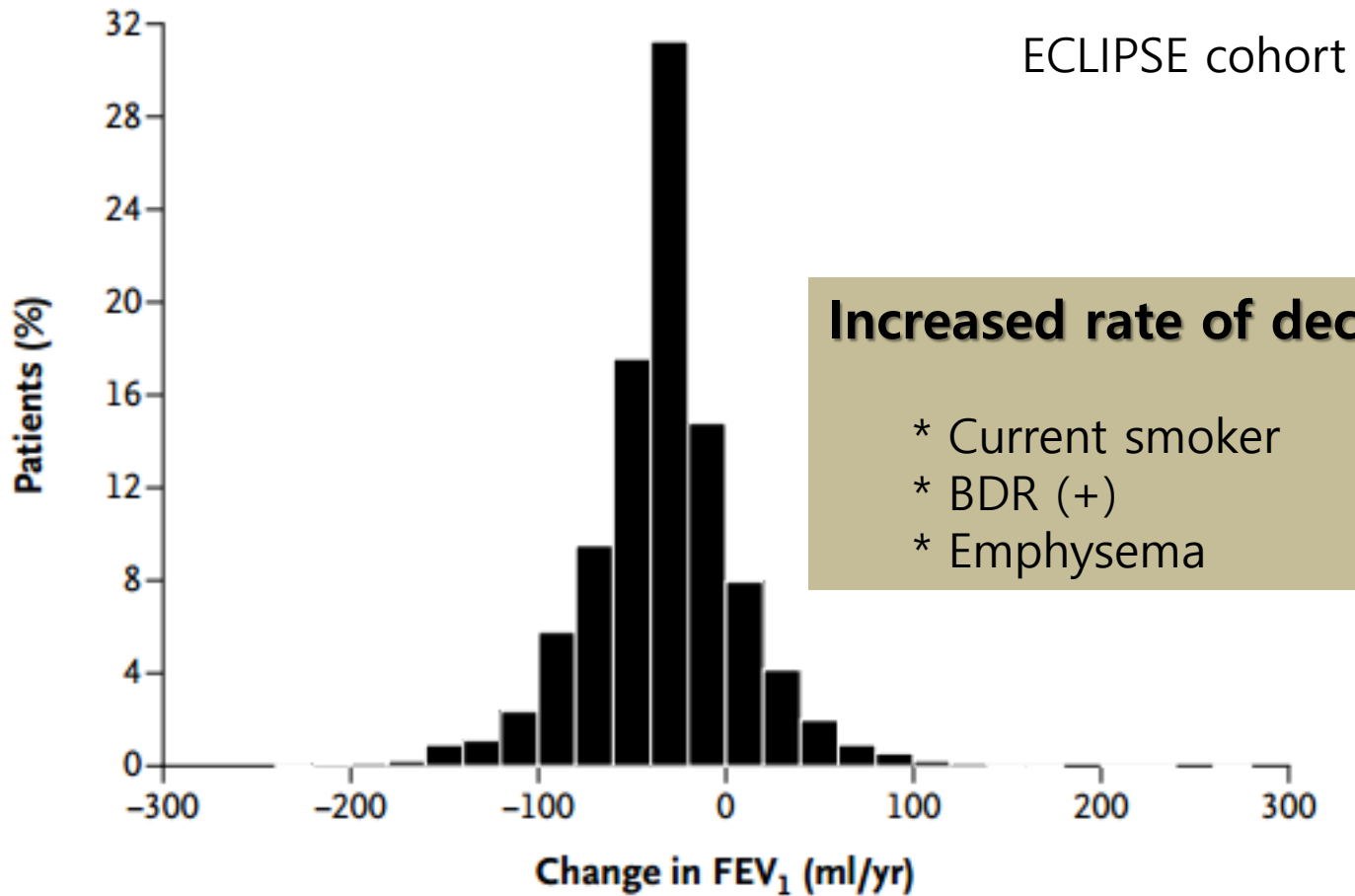
**We cannot consider both of FEV1 & EXACERBATION yet...**

# Lung Function Trajectory

FEV<sub>1</sub> in percent of predicted maximally attained value



# Changes in FEV<sub>1</sub> over time



# Longitudinal assessment in COPD patients: multidimensional variability and outcomes

Ciro Casanova<sup>1,2</sup>, Armando Aguirre-Jaíme<sup>2</sup>, Juan P. de Torres<sup>3</sup>, Victor Pinto-Plata<sup>4</sup>, Rebeca Baz<sup>2</sup>, Jose M. Marin<sup>5</sup>, Miguel Divo<sup>4</sup>, Elizabeth Cordoba<sup>2</sup>, Santiago Basaldua<sup>2</sup>, Claudia Cote<sup>6†</sup> and Bartolomé R. Celli<sup>4</sup>

- 1151 outpatients (1058 males) with COPD in the USA & Spain
- 2 Yrs longitudinal assessment
- F/U yearly from 1997 until 2009 or until death

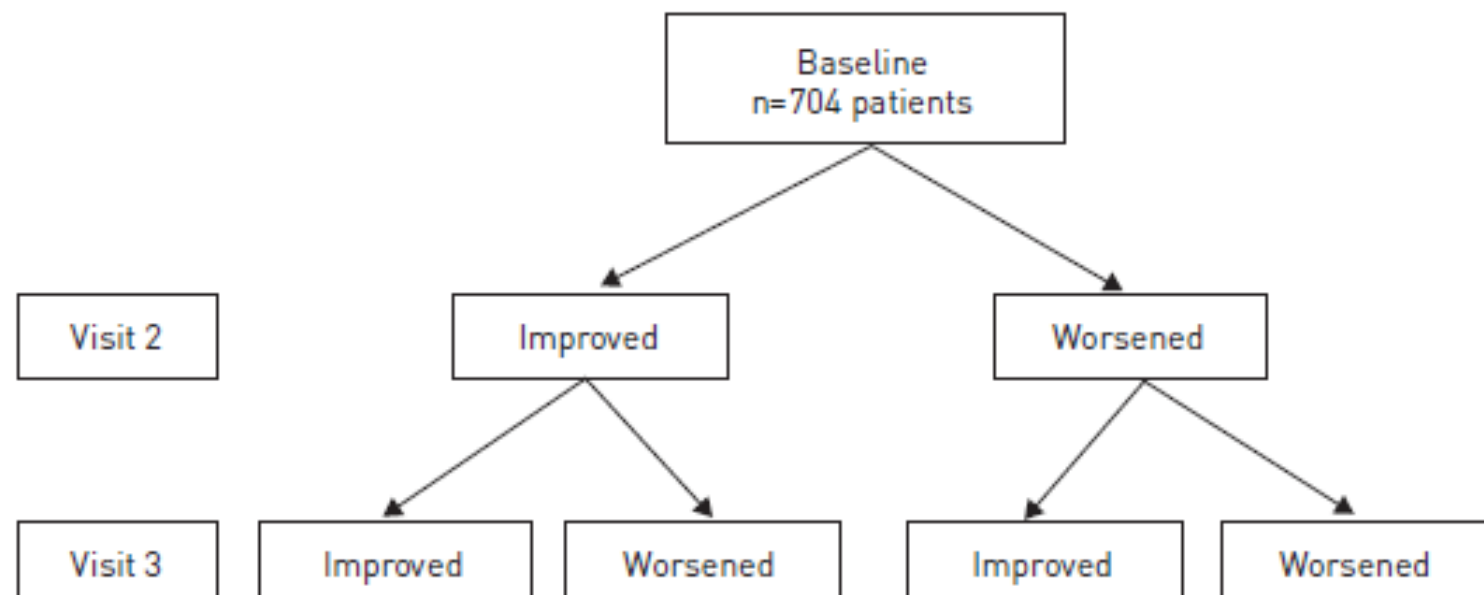


TABLE 2 Distribution of annual individual longitudinal pattern of forced expiratory volume in 1 s (FEV<sub>1</sub>) estimated over at least five measures and according to different thresholds

	FEV <sub>1</sub>				BODE index
	≥40 mL·year <sup>-1</sup>	≥100 mL·year <sup>-1</sup>	3%	6%	1 point
Stable progressive	85 (21)	68 (12)	26 (6)	6 (1)	36 (9)
Stable nonprogressive	104 (26)	194 (48)	133 (33)	294 (73)	199 (53)
Unstable	214 (53)	161 (40)	246 (61)	105 (26)	142 (38)

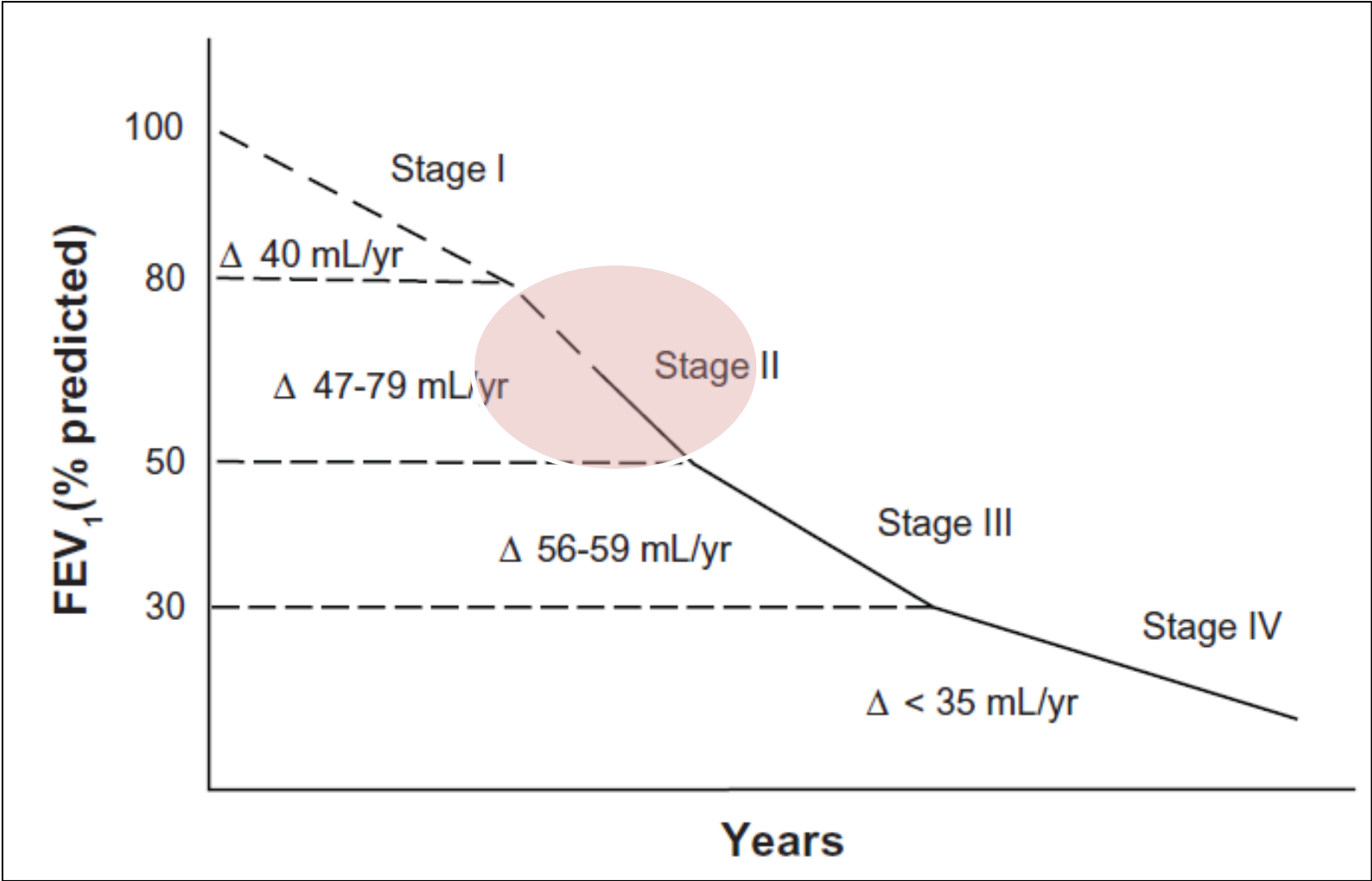
Data are presented as n (%). BODE: body mass index, airflow obstruction, dyspnoea, exercise capacity.

# Longitudinal 2-year pattern and mortality after 12 and 24 months

Variable and cut-off point	Patients	Mortality			
		12 months	p-value	24 months	p-value
<b>BMI</b>					
≥ 0	368 (54)	7.4		16.2	
≤ -1	42 (6)	7.1		11.9	
Unstable	273 (40)	7.8		18.9	
			0.478		0.284
<b>FEV<sub>1</sub> mL</b>					
≥ 40	144 (20)	8.6		20.1	
< 40	128 (18)	5.6		14.6	
Unstable	430 (62)	7.3		16.1	
			0.636		0.434
<b>FEV<sub>1</sub> %</b>					
≥ 3	77 (11)	9.1		20.8	
< 3	202 (30)	4.5		13.8	
			0.145 <sup>#</sup>		0.373 <sup>#</sup>
<b>mMRC dyspnoea score</b>					
≥ 1	28 (4)	3.6		7.4	
< 1	402 (58)	5.3		14	
Unstable	261 (38)	10.8		21	
			0.023 <sup>#</sup>		0.028 <sup>#</sup>
<b>6MWD m</b>					
≥ 50	32 (5)	15.6		34.4	
< 50	346 (51)	4.1		13.4	
Unstable	293 (44)	11		19.5	
			0.001		0.004
<b>BODE index</b>					
≥ 1	66 (10)	21.2		35.4	
< 1	244 (36)	2.9		10.1	
Unstable	378 (54)	7		17.3	
			<0.001		<0.001

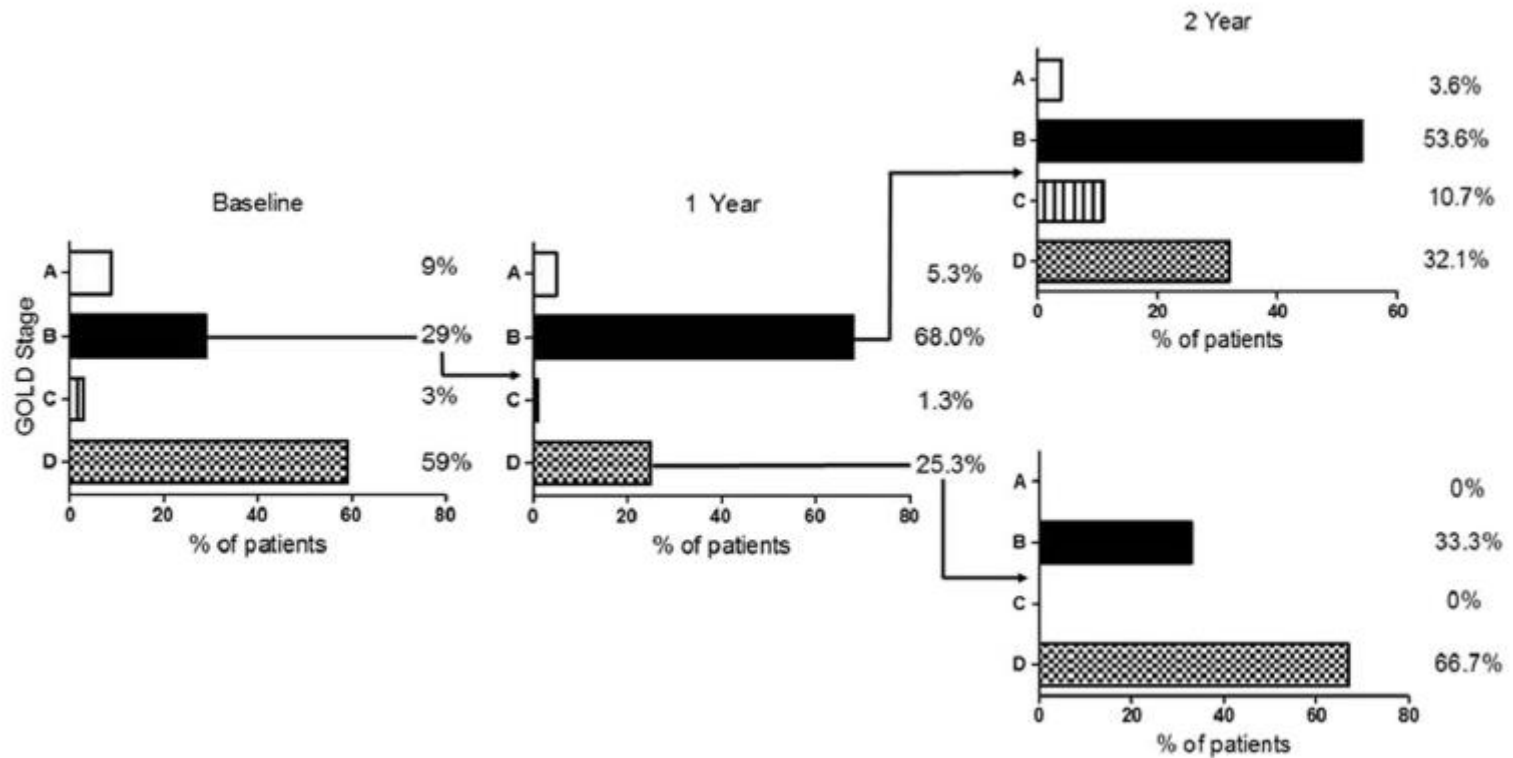
**FEV<sub>1</sub> % change over time did not relate directly to mortality**

# FEV<sub>1</sub> Impact on Lung Function Decline



# GOLD B progression across 2 yrs

COPDMAP cohort, N=370



# GOLD B progression across 2 yrs

COPDMAP cohort, N=370

**B → D** VS **B → B**

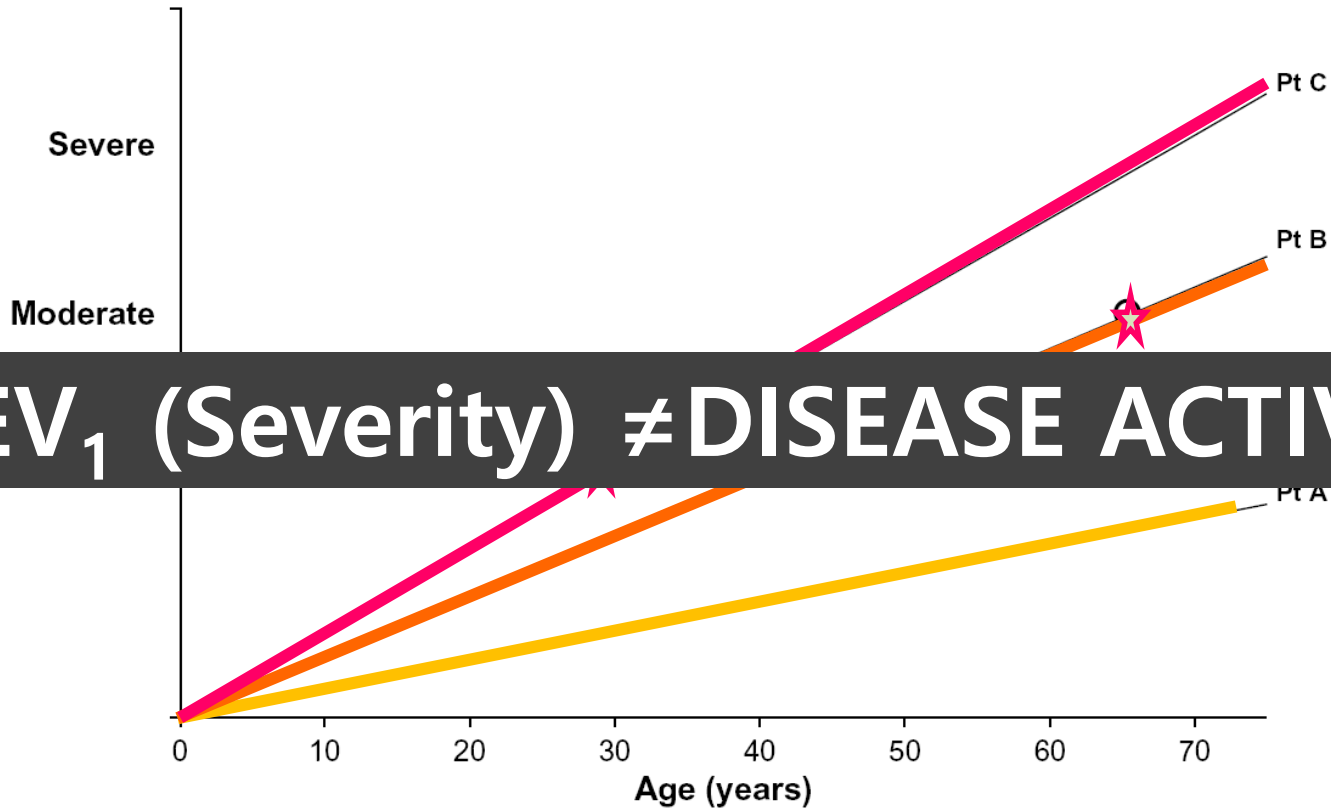
Baseline

↓ FEV1 % predicted (69.7% vs 62.4%; p = 0.016)  
↑ SGRQ score (40.1 vs 50.0; p = 0.019)  
↑ CAT score (14.0 vs 21.0; p = 0.006)  
↑ CRP levels (3.0 vs 4.5; p = 0.06)

At 1 yr

↑ exacerbations ( 1.5 v 0.2, p = 0.0001)  
↑ CAT scores (20.0 v 15.5, p = 0.018)  
↑ SGRQ total scores (50.0 v 41.1, p = 0.001),  
↑ CRP (5.0 v 3.0, p = 0.017),  
↓ 6MWD (347.3 v 440.5, p= 0.023).

# Severity and Activity



**FEV<sub>1</sub> (Severity) ≠ DISEASE ACTIVITY**

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75/Male (a)

75/Male (b)

- Progressive dyspnea for 3 months
- Current smoker, 100 PY
- Spirometry  $FEV_1 < 30\%$
- CAT = 18

No Exacerbation

3 Exacerbations

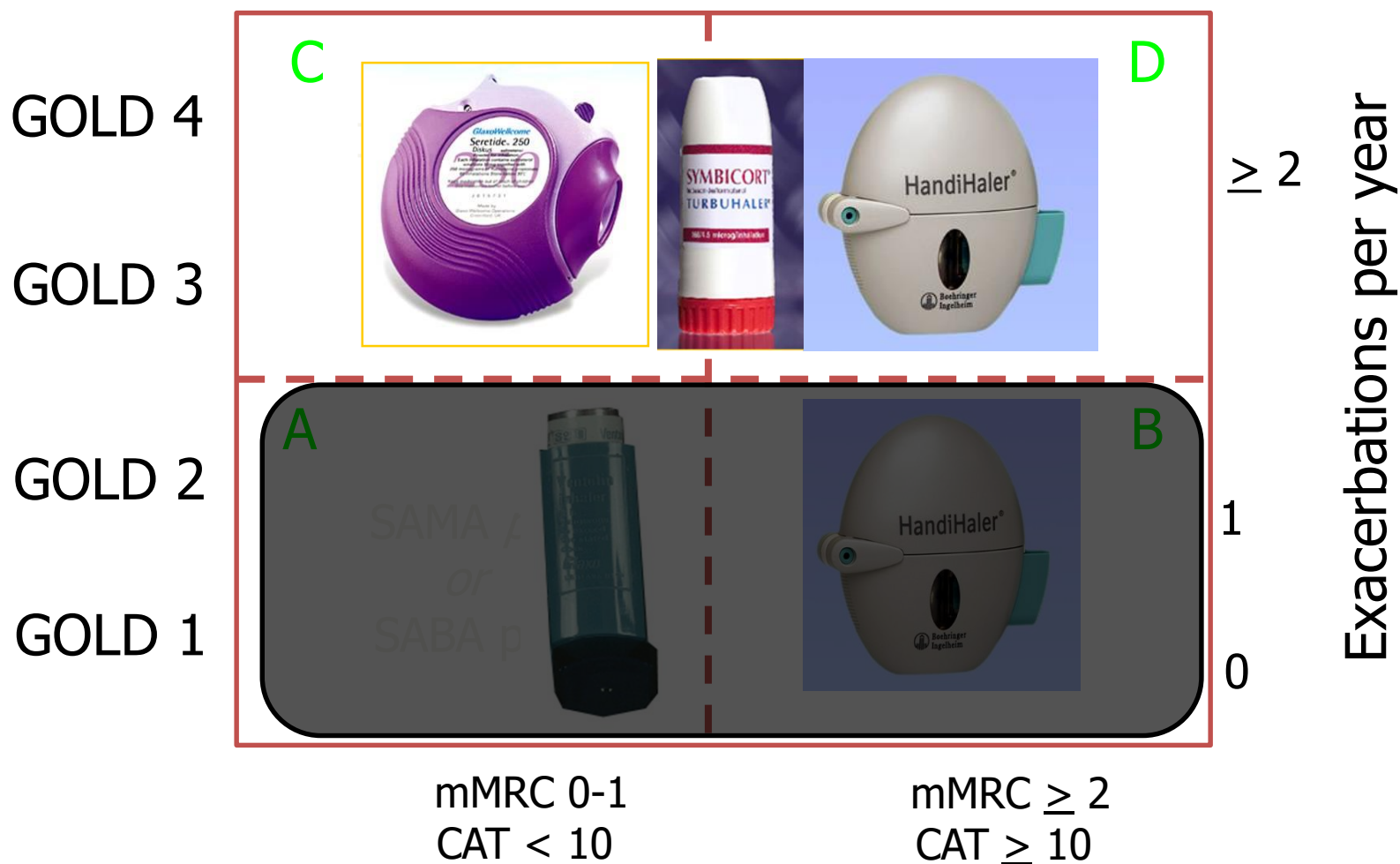
GOLD 2011

FEV <sub>1</sub> ≤ 50%	C	D
	A	B
	CAT < 10	CAT ≥ 10
	Symptoms	

FEV <sub>1</sub> ≤ 50%	C	D
	A	B
	CAT < 10	CAT ≥ 10
	Symptoms	



# Manage Stable COPD: Pharmacologic Therapy **FIRST CHOICE**



ICS/LABA = Inhaled corticosteroid/Long acting beta 2 agonist

LAMA = Long-Acting Muscarinic Antagonists

SABA = Short acting beta 2 agonist

75/Male (a)

75/Male (b)

- Progressive dyspnea for 3 months
- Current smoker, 100 PY
- Spirometry  $FEV_1 < 30\%$
- CAT = 18

No Exacerbation

3 Exacerbations

GOLD 2017

C	D
A	B

CAT < 10      CAT ≥ 10  
Symptoms

Exacerbation Hx ≥ 2

C	D
A	B

CAT < 10      CAT ≥ 10  
Symptoms

Exacerbation Hx ≥ 2

**GOLD  
2017**

**C**

LAMA



**D**



**A**

Bronchodilator



**H**



**B**



**O**

mMRC 0-1  
CAT < 10

mMRC ≥ 2  
CAT ≥ 10

**IV<sub>2</sub>**  
Exacerbation history

75/Male (a)

75/Male (b)

- Progressive dyspnea for 3 months
- Current smoker, 100 PY
- Spirometry  $FEV_1 < 30\%$
- CAT = 18

## More Personalized Treatment

GOLD 2017

**Grade 4  
Group B**

C	D
B	B

CAT < 10    CAT ≥ 10  
Symptoms

Exacerbation Hx ≥ 2

**Grade 4  
Group D**

C	D
B	B

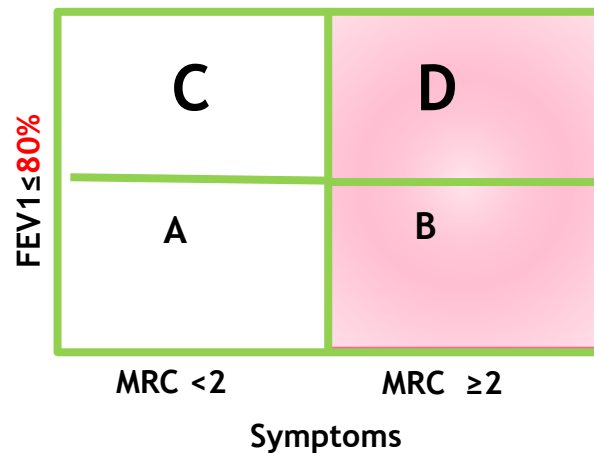
CAT < 10    CAT ≥ 10  
Symptoms

Exacerbation Hx ≥ 2

# Interpretation of clinical trial

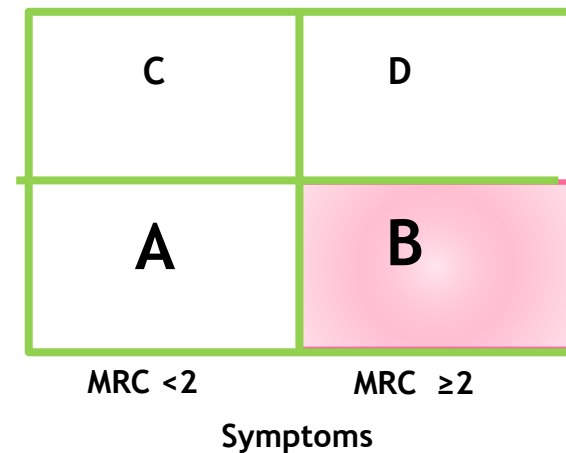
## LANTERN

26-week, multicentre, randomised, double-blind, parallel-group, double-dummy study  
QVA149 vs ICS/LABA



Exacerbation ≤ 1

GOLD 2011



Exacerbation ≤ 1

GOLD 2017

# Real World Treatment of COPD in Primary care Setting

Retrospective chart review

N=200, USA

## Prevalence of stage appropriate Tx

	Number with Pulmonary function testing Confirmed Diagnosis	Proportion with stage appropriate treatment n (%)
Stage I		4 (57%)
Stage II		11 (31%)
Stage III		23 (50%)
Stage IV	9	2 (22%)
Total with COPD	97	39 (40%)

Spirometry ;  
58.5%

# 2015 COPD 적정성 평가 결과

대상기관 및 대상자 : 6,691개소, 141,782명

- 상급종합: 36,259명(25.5%)
- 종합병원: 55,189명(38.93%)
- 의원: 42,595명(30.0%)

< 평가등급별 현황 >

(단위: 기관, %)

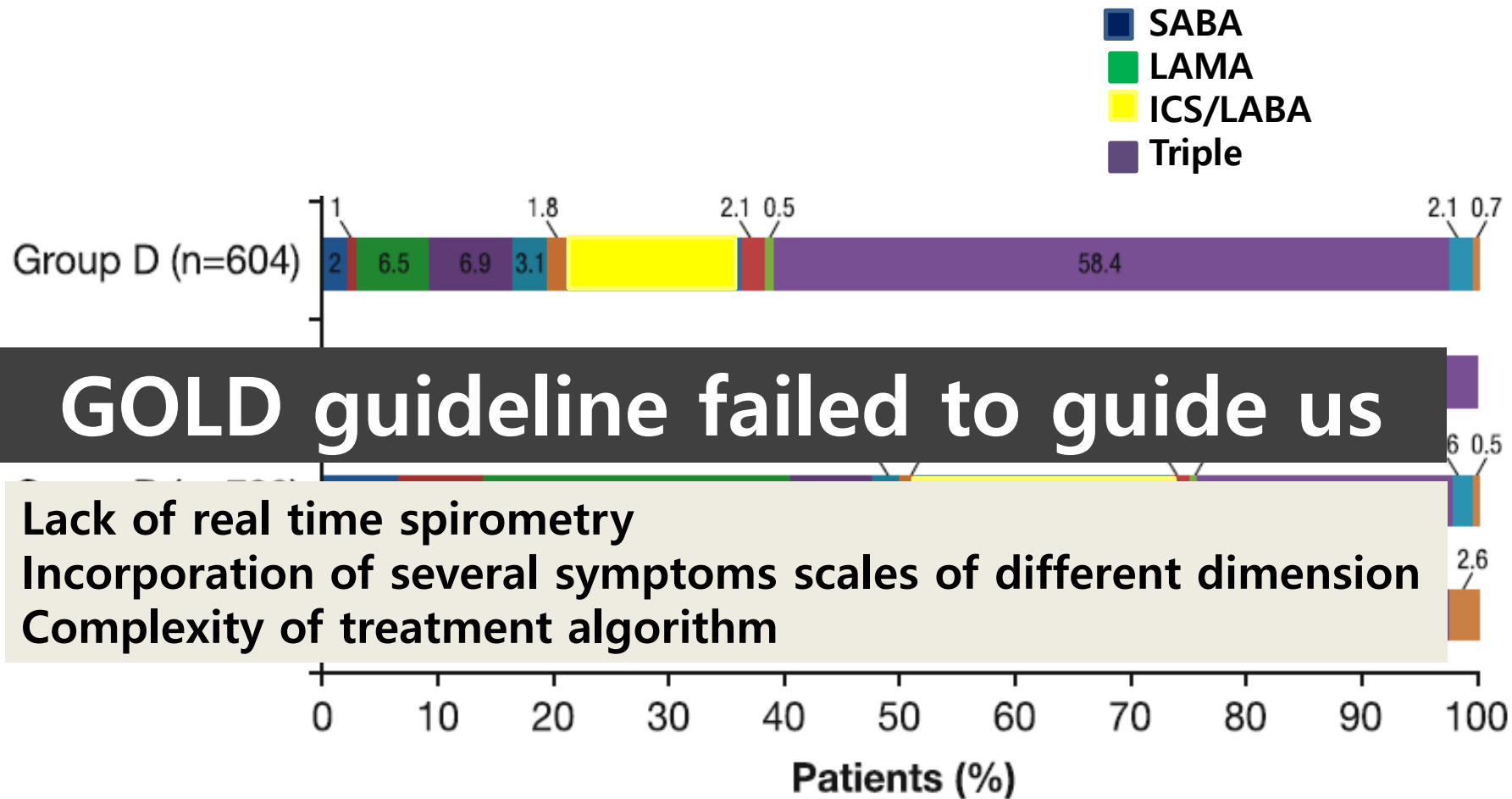
구분	종합점수 산출	1등급		2등급		3등급		4등급		5등급	
		기관	비율	기관	비율	기관	비율	기관	비율	기관	비율
전체	1,499	296	19.75	342	22.82	349	23.28	250	16.68	262	17.48
상급종합병원	43	40	93.02	3	6.98	-	-	-	-	-	-
종합병원	266	90	33.83	104	39.10	68	25.56	3	1.13	1	0.38
병원	243	34	13.99	81	33.33	84	34.57	36	14.81	8	3.29
의원	927	131	14.13	151	16.29	193	20.82	205	22.11	247	26.65
요양병원	7	-	-	-	-	1	14.29	2	28.57	4	57.14
보안요양원	9	1	11.11	3	33.33	3	33.33	2	22.22	-	-
보건소	4	-	-	-	-	-	-	2	50.00	2	50.00

▲ 만성폐쇄성폐질환 2차 적정성평가 평가등급별 현황

(단위: %, %p)

평가영역		지표명	구분	전체	상급 종합	종합 병원	병원	의원
평가 지표	검사	1. 폐기능검사 시행률	1차	58.70	78.91	66.80	50.09	37.77
			2차	62.53	82.30	68.43	52.35	42.36
			(증감)	(3.83 ↑)	(3.39 ↑)	(1.63 ↑)	(2.26 ↑)	(4.59 ↑)
	치료 지속성	2. 지속방문 환자비율	1차	85.46	83.77	88.52	85.25	82.12
			2차	92.12	91.45	94.17	93.47	88.40
			(증감)	(6.66 ↑)	(7.68 ↑)	(5.65 ↑)	(8.22 ↑)	(6.28 ↑)
	처방	3. 흡입기관지확장제 처방 환자비율	1차	67.93	91.40	78.84	58.70	35.30
			2차	71.19	92.61	80.04	60.41	40.46
			(증감)	(3.26 ↑)	(1.21 ↑)	(1.20 ↑)	(1.71 ↑)	(5.16 ↑)

# Real World Treatment according to GOLD 2011



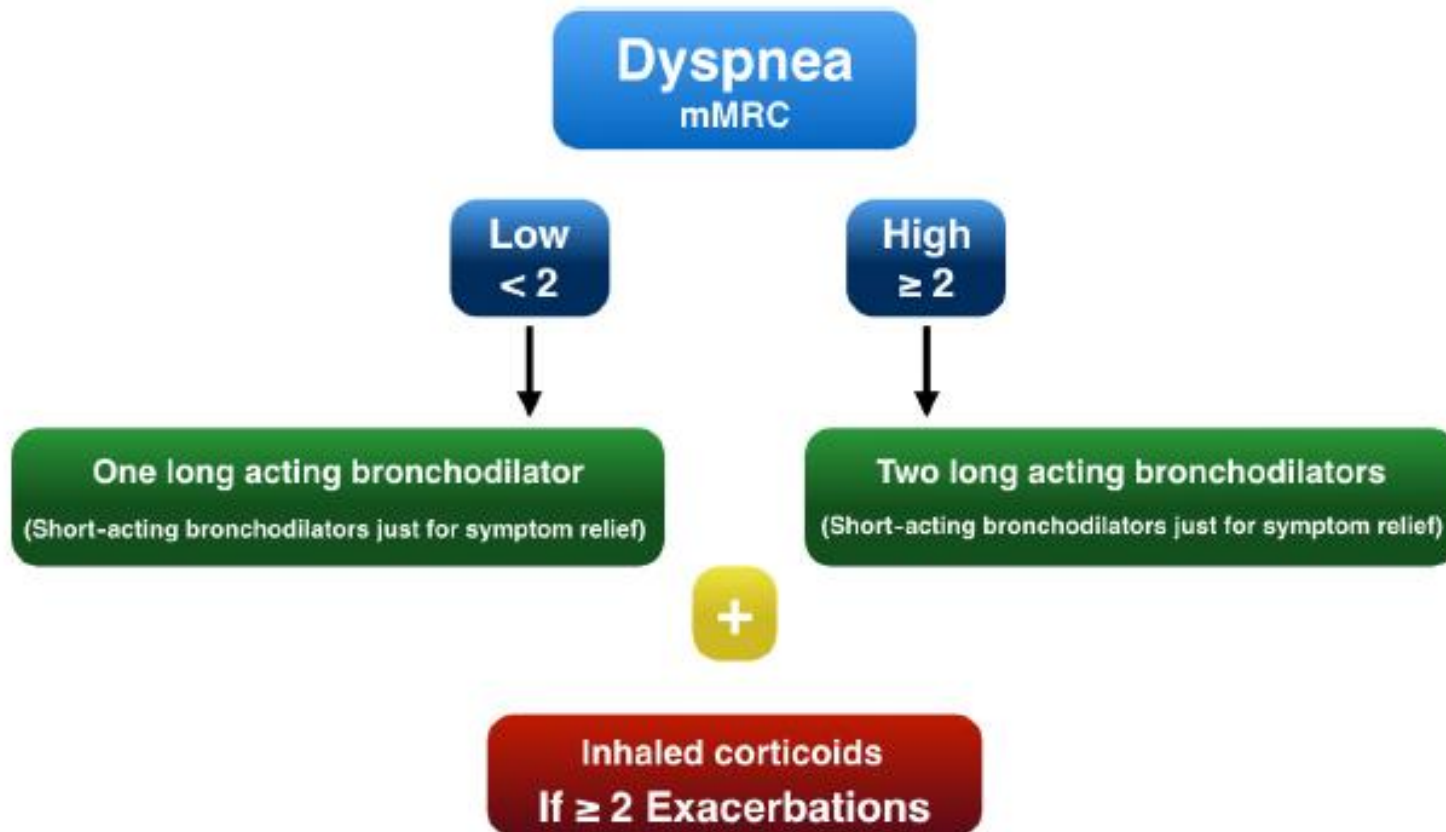
# Agreement between a simple dyspnea-guided treatment algorithm for stable COPD and the GOLD guidelines: a pilot study

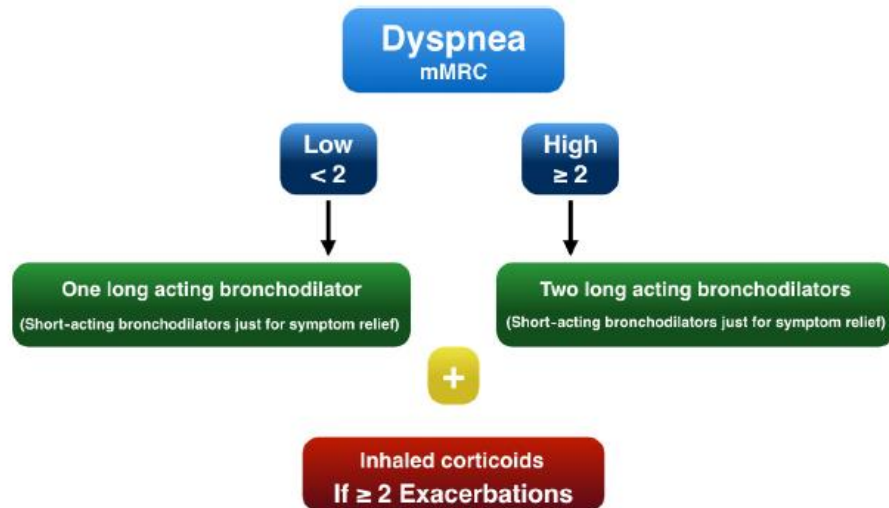
100 patients in primary care

150 attending specialized care in a respiratory clinic.

Cross sectional study

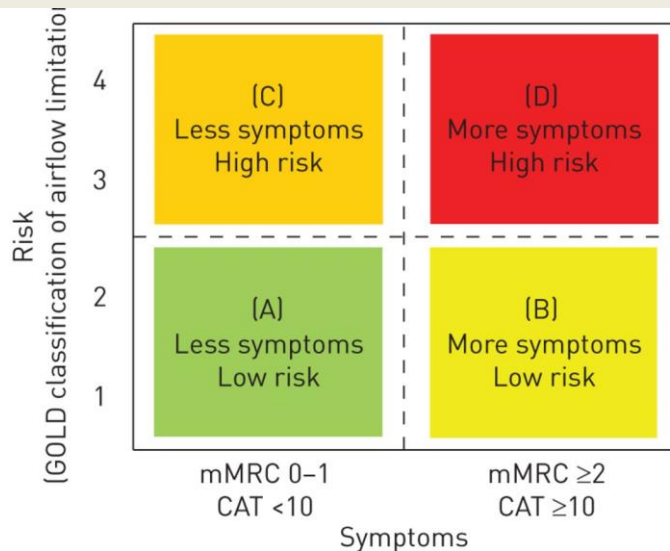
# Proposed treatment algorithm





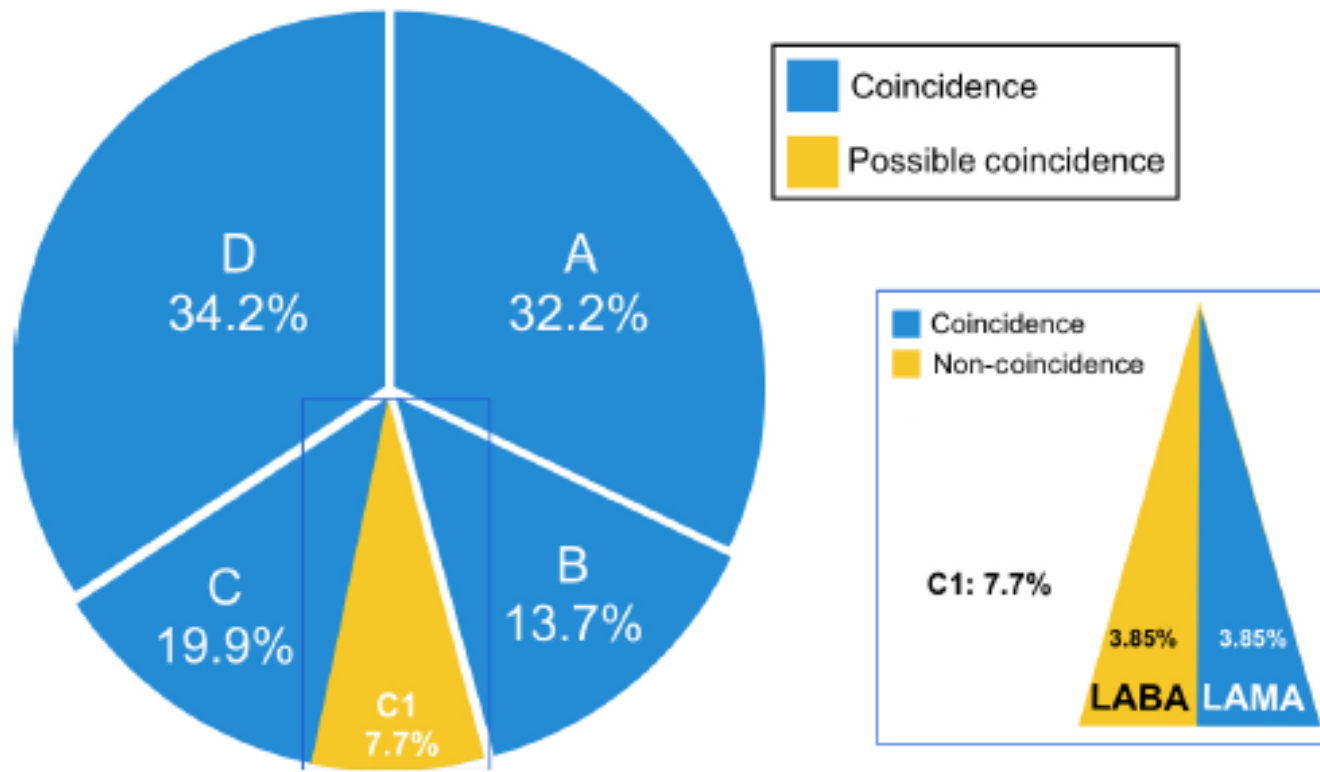
VS

**Result : Coincidence of pharmacologic treatment**



Patient Group	First Choice	Alternative Choice
A	SAMA or SABA prn	LAMA LABA SABA and SAMA
B	LAMA or LABA	LAMA and LABA
C	ICS+LABA or LAMA	LAMA and LABA LAMA and PDE4 (-) LABA and PDE4 (-)
D	ICS+LABA and/or LAMA	ICS+LABA and LAMA ICS+LABA and PDE4 (-) LAMA and LABA LAMA and PDE4 (-)

# Agreement between a simple Sx guided treatment algorithm and the GOLD guidelines



# GOLD 2017

Risk of Future Exacerbation

(C)  
Less symptoms  
High risk

(D)  
More symptoms  
High risk

≥2  
history

More Simplified, More Accessible

(A)  
Less symptoms  
Low risk

(B)  
More symptoms  
Low risk

1  
Exacer  
0

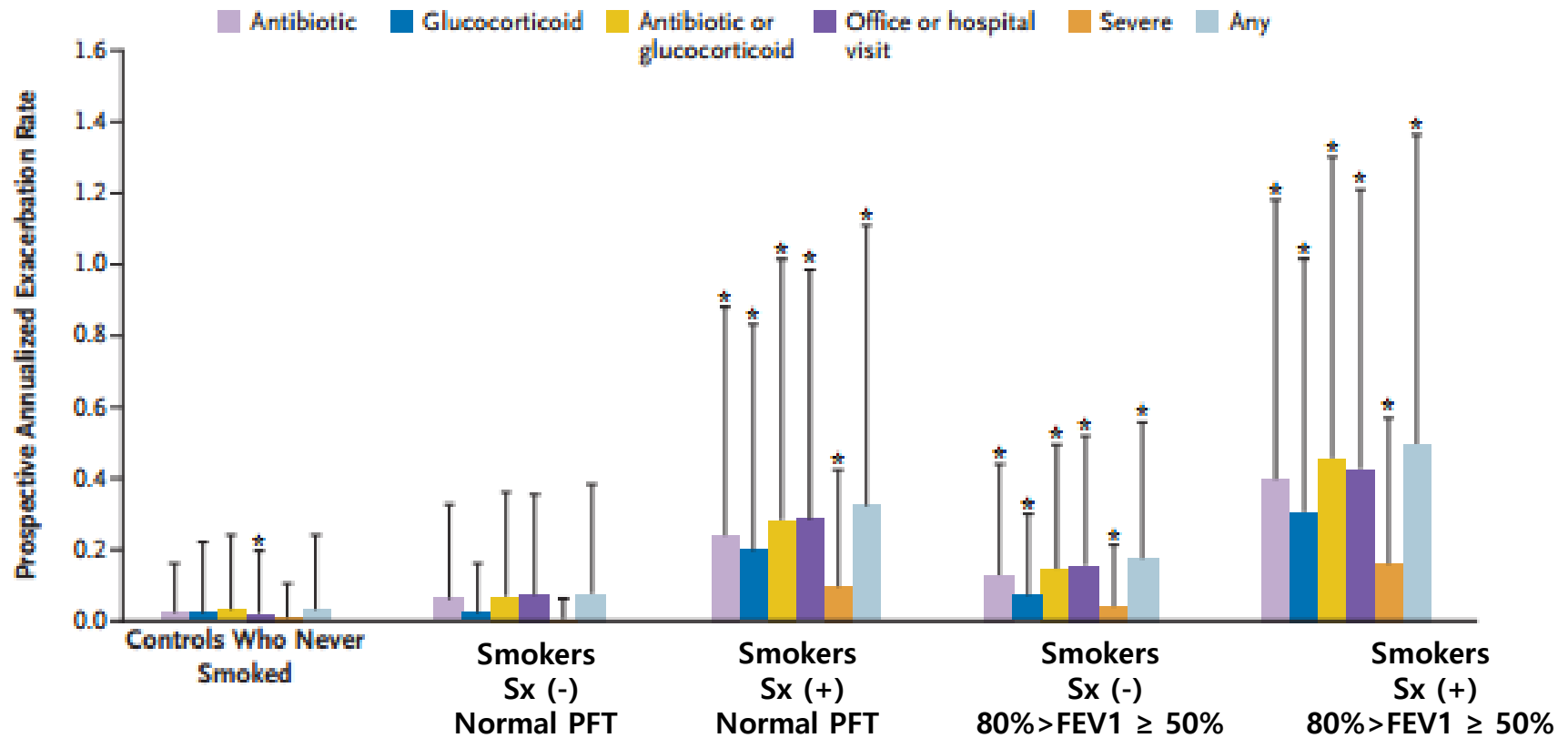
mMRC 0-1  
CAT <10

mMRC ≥2  
CAT ≥10

Symptoms

Impact of Disease

# Clinical significance of Symptoms with preserved spirometry: SPIROMICS



# FEV<sub>1</sub> removal is right because..

1. Pharmacologic Tx failed to modify disease progression (ie.FEV<sub>1</sub>)
2. FEV<sub>1</sub> does not always deteriorate
  - 1) Severity and Activity
  - 2) Trajectory of lung function decline
  - 3) FEV<sub>1</sub> decline rate is greater in early COPD
3. Benefit of Revised Assessment system
  - 1) More personalized approach
  - 2) More simplified and more accessible
  - 3) Symptom is more important even in non obstructive lung disease

# Contents

1. Background of Combined Assessment
2. Predictive role of GOLD classifications
3. 2017 GOLD- Revised Assessment tool
  - 1) Treatment goal and  $FEV_1$
  - 2) Benefit of Revised Assessment tool
- 4. Summary**

# Summary

- FEV1은 COPD질환을 정의하고 예후를 예측하는 강력한 인자이나 개인의 예후를 예측하는 데에는 한계가 있다.
- 2017 GOLD update에서는 약물 치료를 위한 환자 평가시 FEV1은 고려하지 않도록 하였다.
- 개정된 환자 평가도구는 폐기능 검사를 할 수 없는 상황, 즉 악화 혹은 설비가 없는 경우에도 치료를 늦추지 않고 바로 시작할 수 있다.
- 개정된 평가도구는 기존 평가법에 비해서 개인 맞춤형 치료를 제공할 수 있다.



감사합니다 😊

TABLE 2 Cox proportional hazards regression analyses for all-cause mortality and hospitalisation

Independent variable	Estimate
<b>Mortality</b>	
Forced expiratory volume in 1 s % predicted	0.982 (0.969–0.996)
Age years	1.052 (1.021–1.085)
Body mass index kg·m <sup>-2</sup>	0.931 (0.882–0.983)
Fat-free mass index kg·m <sup>-2</sup>	1.146 (1.036–1.267)
Number of comorbidities	1.100 (1.001–1.210)
6-min walking distance m	0.997 (0.996–0.999)
<b>Hospitalisations</b>	
Modified Medical Research Council dyspnoea score	1.246 (1.119–1.387)
Forced expiratory volume in 1 s % predicted	0.974 (0.966–0.982)
Exacerbations in previous 12 months	1.195 (1.123–1.270)
Age years	1.021 (1.005–1.038)
Body mass index kg·m <sup>-2</sup>	0.979 (0.960–0.999)
Hypertension	0.669 (0.526–0.851)
Angina	0.565 (0.371–0.858)
Osteoarthritis	0.695 (0.489–0.987)
Diabetes	0.604 (0.392–0.932)
Depression requiring treatment	0.657 (0.473–0.912)
Number of comorbidities	1.209 (1.113–1.314)
Arterial oxygen saturation %	0.929 (0.902–0.956)

Data are presented as hazard ratio (95% confidence interval), unless otherwise stated.