

Non-pharmacological treatment for COPD

Respiratory support: O₂, NIV, and HFNC



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신 선 혜

Contents



- **Long-term Oxygen Therapy (LTOT)**
- Non-invasive ventilation (NIV)
- High-flow nasal cannula (HFNC)

Long-term oxygen therapy : terminology

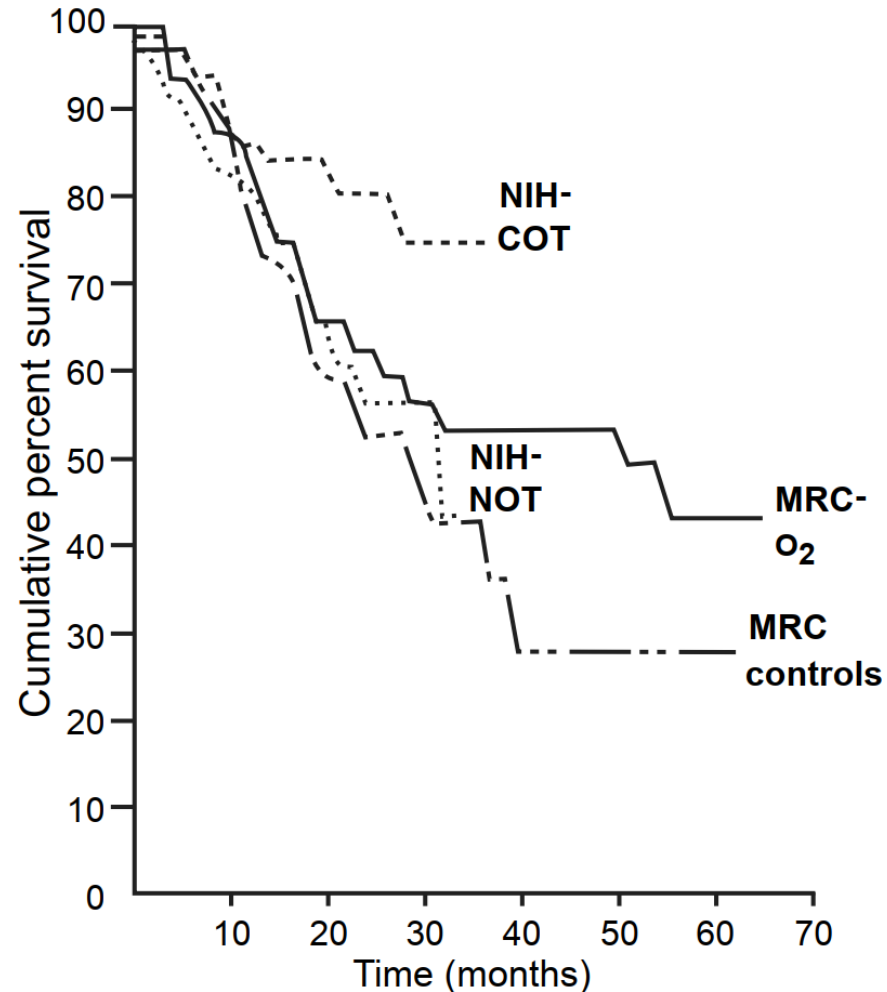


AMERICAN THORACIC SOCIETY DOCUMENTS

Home Oxygen Therapy for Adults with Chronic Lung Disease An Official American Thoracic Society Clinical Practice Guideline

- Long-term oxygen (LTOT)
 - Oxygen that is delivered to patients with chronic hypoxemia, in most cases for the remainder of the patient's life. Long-term oxygen therapy is prescribed for at least 15 h/d
- Severe hypoxemia
 - PaO₂ ≤ 55 mmHg **or** SaO₂ (SpO₂) ≤ 88%
 - PaO₂ = 56 – 59 mmHg (**or** SpO₂ = 89%) **plus** Right heart failure (edema or P pulmonale) or Erythrocytosis (Hct ≥ 55%)
- Moderate hypoxemia
 - SpO₂ 89 – 93%

LTOT in severe hypoxemia



- Landmark studies : NOTT (1980) and MRC (1981)
- COT = continuous oxygen therapy > **19 hours /day**
→ Clear survival benefit
- RR for death = 1.94 for NOT (12h/d) vs. COT (17.7h/d)

- Other benefits of LTOT in following studies
: Stabilization of PH, ↓arrhythmia, ↑exercise capacity,
↑HRQoL, ↑neuropsychiatric function, ↓ exacerbation,
↓ hospitalization

LTOT in moderate hypoxemia : RCT



The NEW ENGLAND
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A Randomized Trial of Long-Term Oxygen for COPD
with Moderate Desaturation

The Long-Term Oxygen Treatment Trial Research Group*

- LOTT study (2016)
- Stable COPD with **mod resting** desat (SpO₂ 89 – 93%) or **mod exertional** desat (6MWT, SpO₂ 80 – 90%)
- N = 738 from 42 centers

- Primary outcome : time to death or first hospitalization
- Intervention : 24 hour O₂ (resting desat group) or ambulatory/nocturnal O₂ (exertional desat group) **vs.** No O₂ supplement

LTOT in moderate hypoxemia : patients characteristics

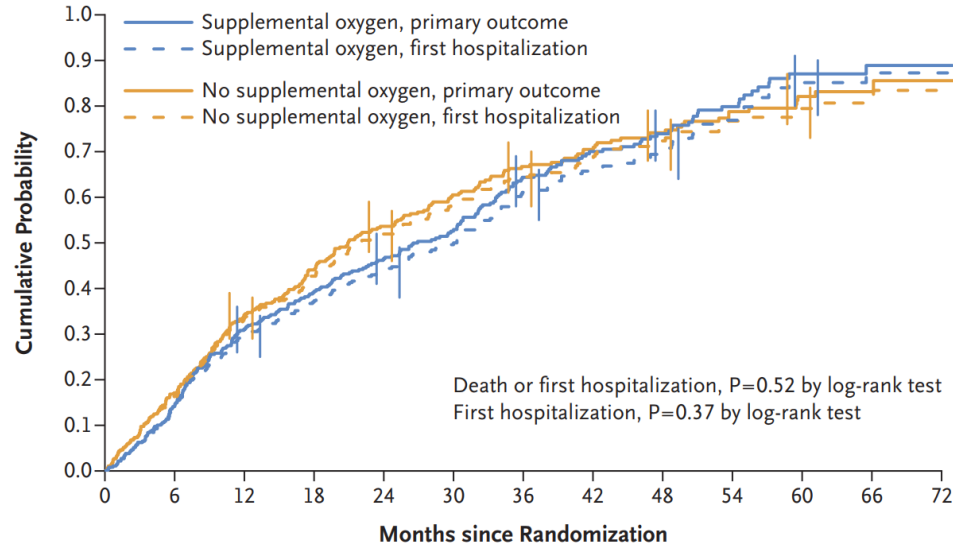
Table 1. Characteristics of the Patients at Enrollment.*

Characteristic	No Supplemental Oxygen (N=370)	Supplemental Oxygen (N=368)
Age — yr	69.3±7.4	68.3±7.5
Male sex — no. (%)	276 (75)	266 (72)
Race — no. (%)†		
Black	34 (9)	46 (12)
White	328 (89)	311 (85)
Other	11 (3)	17 (5)
Oxygen-desaturation type qualifying the patient for enrollment — no. (%)		
Resting only	60 (16)	73 (20)
Exercise only	171 (46)	148 (40)
Resting and exercise	139 (38)	147 (40)
Spo ₂ at rest while breathing ambient air — %		
All patients	93.5±1.9	93.3±2.1
Resting only	92.3±0.8	92.4±0.9
Exercise only	95.2±1.2	95.4±1.4
Resting and exercise	91.9±1.2	91.7±1.1
Nadir Spo ₂ during 6-min walk while breathing ambient air — no./total no. (%)¶		
<86%	85/290 (29)	86/292 (29)
86–88%	103/290 (36)	105/292 (36)
>88%	102/290 (35)	101/292 (35)

LTOT in moderate hypoxemia : No benefit



Primary Outcome (Death or First Hospitalization) or First Hospitalization



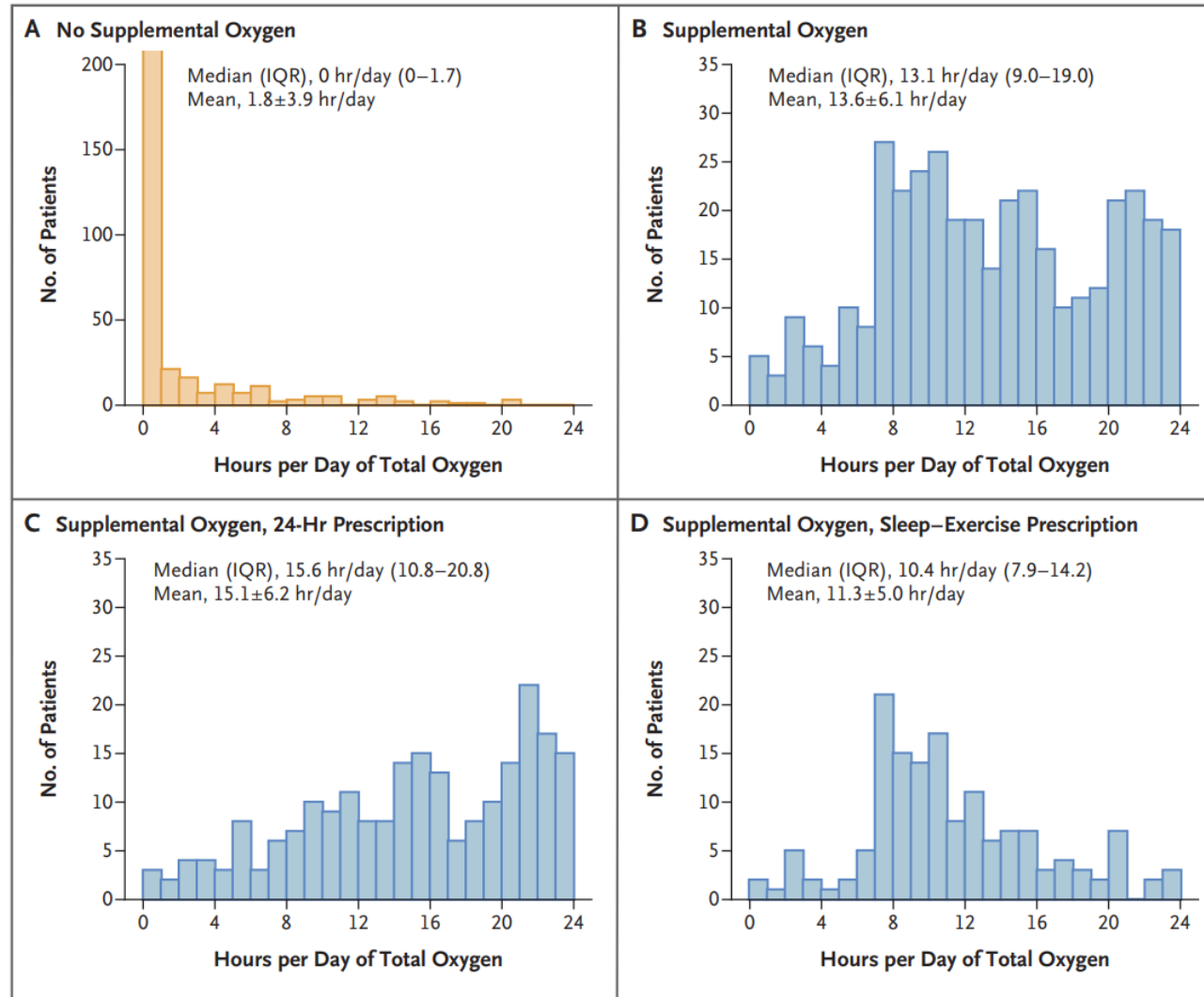
No. at Risk	0	6	12	18	24	30	36	42	48	54	60	66	72
No supplemental oxygen	370	304	232	181	139	102	76	59	43	29	21	7	1
Supplemental oxygen	368	314	243	198	158	125	86	61	44	24	13	6	1

Table 2. Primary Composite Outcome of Death or First Hospitalization for Any Cause and Composite Events in the Intention-to-Treat Population.*

Outcome	No Supplemental Oxygen (N=370)	Supplemental Oxygen (N=368)	Hazard Ratio (95% CI)	P Value
Primary outcome				
Death or first hospitalization for any cause			0.94 (0.79–1.12)	0.52
No. of events	250	248		
Composite rate per 100 person-yr	36.4	34.2		
Primary-outcome component events				
Death			0.90 (0.64–1.25)	0.53
No. of deaths	73	66		
Rate per 100 person-yr	5.7	5.2		
First hospitalization for any cause			0.92 (0.77–1.10)	0.37
No. of first hospitalizations	237	229		
Rate per 100 person-yr	34.5	31.6		

No difference in primary outcomes (+ no effect in subgroups) and other outcomes (COPD AE, COPD hospitalization, QoL, anxiety/depression, lung function, 6MWD, or other functional status)

LTOT in moderate hypoxemia : compliance



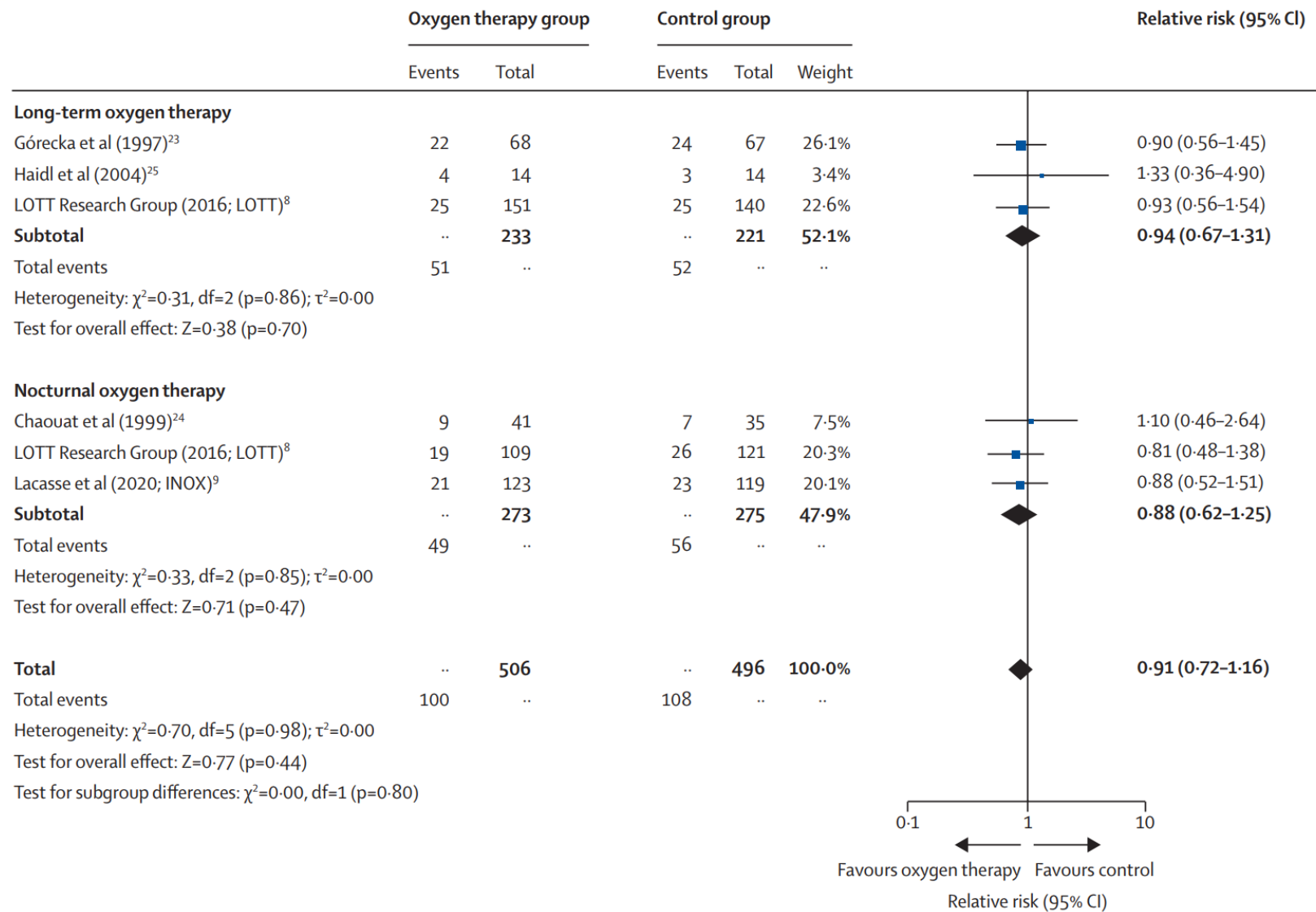
Meta-analysis

Home oxygen for moderate hypoxaemia in chronic obstructive pulmonary disease: a systematic review and meta-analysis

Yves Lacasse, Richard Casaburi, Pawel Sliwinski, Ari Chaouat, Eugene Fletcher, Peter Haidl, François Maltais

	Intervention and design	Definition of daytime or nocturnal hypoxaemia	Duration	Sample size
Fletcher et al (1992) ^{22*}	NOT; placebo controlled	SpO ₂ <90% for ≥5 min with nadir of ≤85%	3 years	Treatment group n=19; control group n=19
Górecka et al (1997) ²³	LTOT; open label	PaO ₂ 56–65 mm Hg	At least 3 years	Treatment group n=68; control group n=67
Chaouat et al (1999) ²⁴	NOT; open label	≥30% recording time with SpO ₂ <90%	Mean follow-up 35.1 months	Treatment group n=41; control group n=35
Haidl et al (2004) ²⁵	LTOT; open label	PaO ₂ at rest of >55 mm Hg and reversible hypercapnia during exacerbation	3 years	Treatment group n=14; control group n=14
LOTT Research Group (2016; LOTT) ^{8†}	LTOT or NOT; open label	SpO ₂ 89–93% or moderate desaturation during exercise	1–6 years; median follow-up 18.4 months	Treatment group n=368; control group n=370
Lacasse et al (2020; INOX) ⁹	NOT; placebo controlled	≥30% recording time with SpO ₂ <90%	3–4 years	Treatment group n=123; control group n=120

Meta-analysis : no survival benefit



Meta-analysis : no other benefit

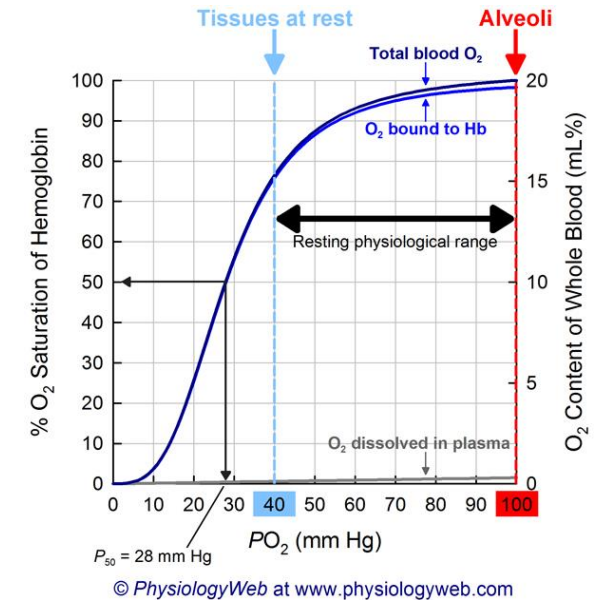


	Illustrative comparative risks (95% CI)*		Relative effect (95% CI)	Number of participants or extent of person-time (studies)	Quality of the evidence (GRADE)†	Comments
	Assumed risk; without oxygen (usual care or placebo)	Corresponding risk; supplemental oxygen (either LTOT or NOT)				
Mortality at 3-year follow-up	22%‡	20% (95% CI 16 to 23)	Relative risk 0.91 (0.72 to 1.16)	1002 patients (5 studies)	High§	Treatment effect excludes important benefit
Rate of acute exacerbations during follow-up	0.86 exacerbations per 1 person-year¶	0.94 exacerbations per 1 person-year (95% CI 0.81 to 1.10)	Rate ratio 1.09 (0.94 to 1.28)	3069 person-years (2 studies)	Moderate	..
Rate of respiratory hospitalisations during follow-up	0.24 hospitalisations per 1 person-year**	0.24 hospitalisations per 1 person-year (95% CI 0.19 to 0.29)	Rate ratio 0.99 (0.81 to 1.20)	3069 person-years (2 studies)	Moderate	..
Change in quality of life (SGRQ total score††)	Mean change in SGRQ total score in the control group ranged from 1.0 to 1.6 points‡‡	Change in SGRQ total score in the intervention group was 1.2 point lower than in the control group, in favour of intervention: mean difference -1.2 (95% CI -6.3 to 4.0)§§	..	480 patients (2 studies)	Low¶¶	SGRQ minimal clinically important difference of 4 points; treatment effect overlaps important benefit

Possible reasons for the negative result

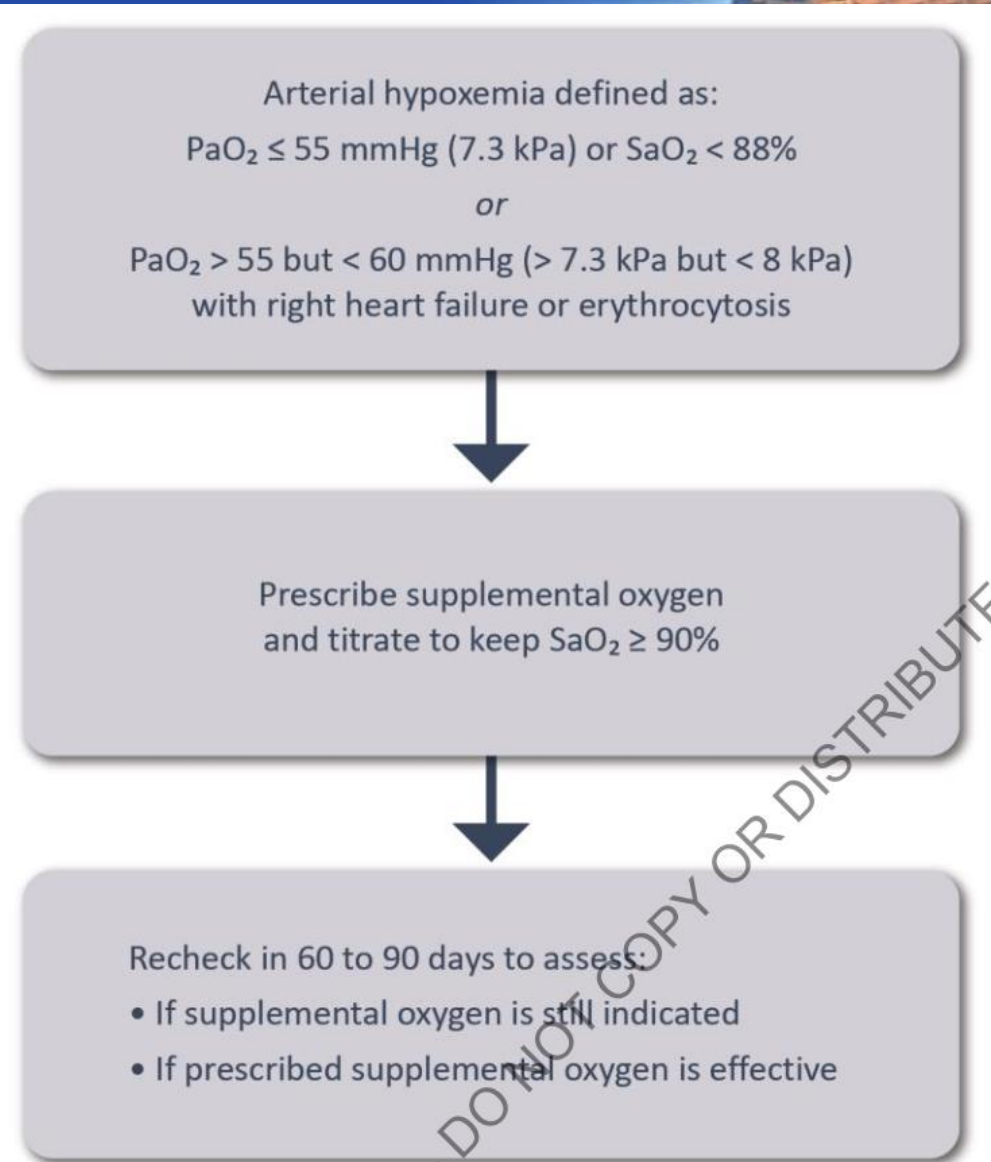


- Non-linear threshold effects of oxygen saturation on pulmonary vasoconstriction, mediator release, and ventilatory drive
- Daily use of oxygen treatment might have been too low in moderate desaturation group
- Substantial developments in COPD management (compared to 1970s')



GOLD 2023 : LTOT in stable COPD

- The long-term administration of oxygen increases survival in patients with severe chronic resting arterial hypoxemia (Evidence A).
- In patients with stable COPD and moderate resting or exercise-induced arterial desaturation, prescription of long-term oxygen **does not** lengthen time to death or first hospitalization or provide sustained benefit in health status, lung function and 6MWD (Evidence A).
- Resting oxygenation at sea level dose not exclude the development of severe hypoxemia when traveling by air (Evidence C).



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Severe exertional hypoxemia? not mentioned in GOLD

Table 4. Summary of ATS Recommendations

Question	ATS Recommendation	Strength of Recommendation and Level of Evidence
COPD		
Question 1: Should long-term oxygen be prescribed for adults with COPD who have severe* chronic resting room air hypoxemia?	In adults with COPD who have severe chronic resting room air hypoxemia, we recommend prescribing LTOT for at least 15 h/d.	Strong recommendation, moderate-quality evidence
Question 2: Should long-term oxygen be prescribed for adults with COPD who have moderate [†] chronic resting room air hypoxemia?	In adults with COPD who have moderate chronic resting room air hypoxemia, we suggest not prescribing LTOT.	Conditional recommendation, low-quality evidence
Question 3: Should ambulatory oxygen be prescribed for adults with COPD who have severe exertional room air hypoxemia?	In adults with COPD who have severe exertional room air hypoxemia, we suggest prescribing ambulatory oxygen.	Conditional recommendation, low-quality evidence

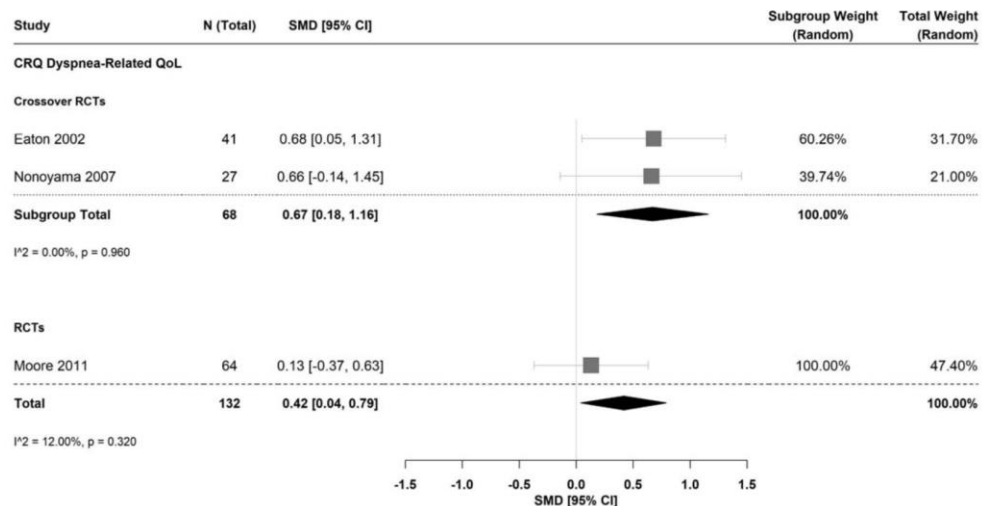
Term	Definition
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Ambulatory oxygen	Oxygen delivered during exercise or activities of daily living.
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Severe exertional hypoxemia



- Isolated exertional hypoxemia (IEH)
 - Presents 40% in moderate to severe COPD
 - More rapid lung function decline, worse HRQoL, increased mortality (HR 2.63 in SpO₂<90% in 6MWT)
- Limited studies on O₂ during daily life
- No long-term studies on exercise capacity
- Meta-analysis for HRQoL (in ATS practice guideline) : Effect size < MCID (0.5)



Severe exertional hypoxemia



Ambulatory oxygen improves quality of life of COPD patients: a randomised controlled study

Table 2. – Health-related quality of life (HRQL) measures for 41 patients

	Baseline	Δ cylinder oxygen-cylinder air	p-value
Disease specific HRQL			
CRQ[#]			
Dyspnoea (5–35)	16.6 (5.3)	2.0 (0.9)	0.02
Fatigue (4–28)	15.3 (4.9)	1.8 (0.7)	0.02
Emotional function (7–49)	34.3 (8.0)	3.3 (1.2)	0.006
Mastery (4–28)	19.5 (4.8)	1.8 (0.7)	0.008
Total (20–140)	85.8 (18.5)	8.8 (2.8)	0.002
HAD[†]			
Anxiety (0–21)	5.6 (4.1)	-1.6 (0.6)	0.009
Depression (0–21)	4.3 (2.5)	-1.0 (0.5)	0.05
Generic HRQL			
SF-36[#]			
Physical functioning (0–100)	33.0 (18.8)	1.6 (3.5)	0.6
Role physical (0–100)	15.2 (27.3)	16.8 (5.5)	0.01
Bodily pain (0–100)	73.4 (26.3)	5.3 (5.0)	0.3
General health (0–100)	42.9 (22.8)	6.1 (2.9)	0.04
Vitality (0–100)	48.3 (20.5)	2.9 (3.0)	0.3
Social functioning (0–100)	67.1 (24.2)	10.5 (5.2)	0.05
Role emotional (0–100)	60.2 (44.2)	18.3 (7.7)	0.02
Mental health (0–100)	75.6 (14.7)	4.0 (2.7)	0.1

- Cross-over double blind RCT for 12 weeks (N = 41)
- Exertional desaturation ≤ 88%
- O₂ 4L/min during exercise
- 41% of responders did not want to continue therapy

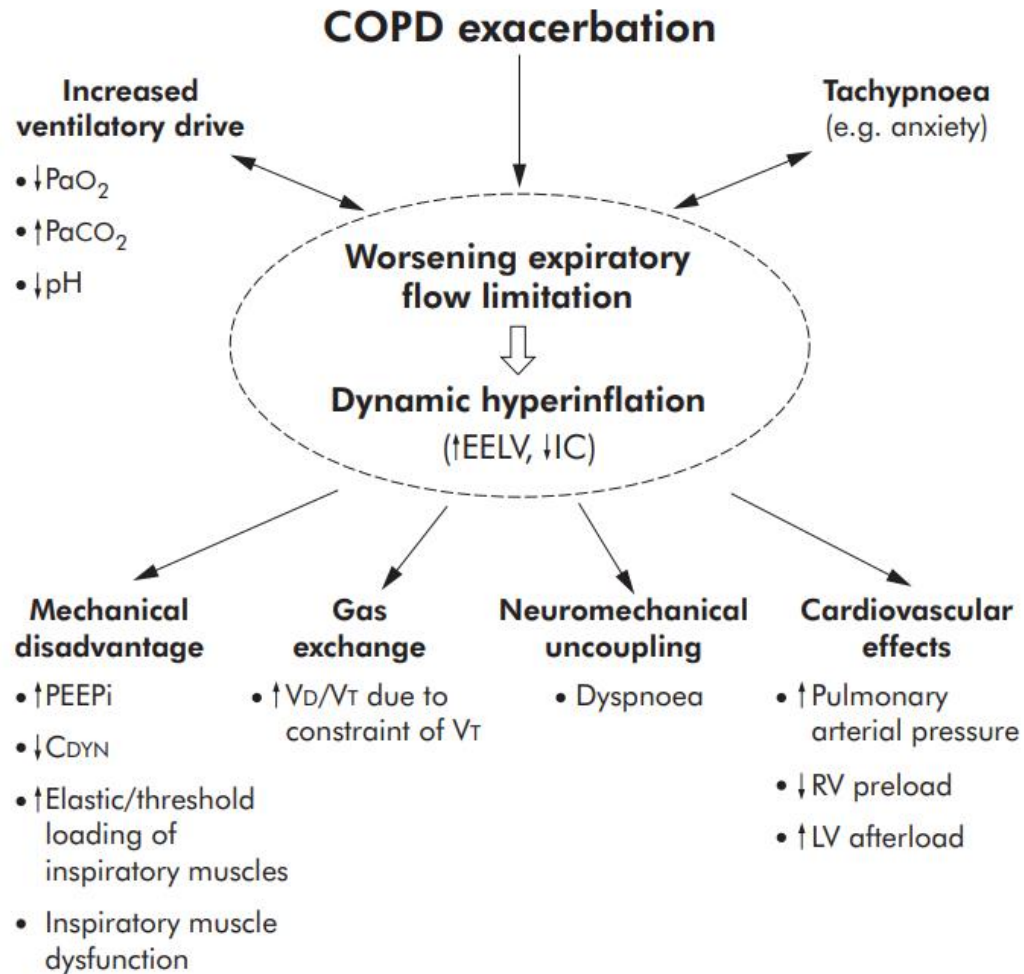
Significant improvement in physical role, general health, social functioning, and emotional role (exceed MCID except for social functioning)

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- **Non-invasive ventilation (NIV)**
- High-flow nasal cannula (HFNC)

Role of NIV in COPD AE



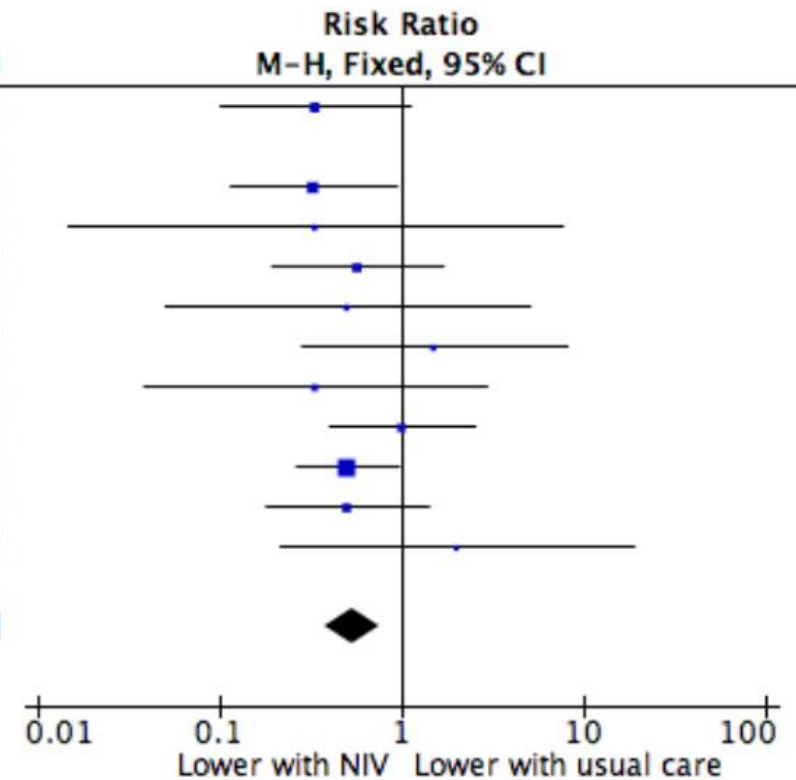
- Positive pressure **open airways** → Increase in alveolar ventilation
- EPAP adjusted to **overcome intrinsic PEEP** to decrease respiratory muscle load → Reduce in work of breathing
- Pressure **support ventilation with backup rates** reduced diaphragm effort → Resting diaphragm muscle

NIV in COPD AE : meta-analysis



Mortality

Study or Subgroup	NIV		Usual care		Weight	Risk Ratio
	Events	Total	Events	Total		M-H, Fixed, 95% CI
Avdeev 1998	3	29	9	29	11.5%	0.33 [0.10, 1.11]
Barbe 1996	0	14	0	10		Not estimable
Brochard 1995	4	43	12	42	15.6%	0.33 [0.11, 0.93]
Celikel 1998	0	15	1	15	1.9%	0.33 [0.01, 7.58]
Collaborative 2005	5	100	8	91	10.7%	0.57 [0.19, 1.68]
Dikensoy 2002	1	17	2	17	2.6%	0.50 [0.05, 5.01]
Khilnani 2010	3	20	2	20	2.6%	1.50 [0.28, 8.04]
Liu 2005	1	18	3	18	3.8%	0.33 [0.04, 2.91]
Matuska 2006	7	30	7	30	9.0%	1.00 [0.40, 2.50]
Plant 2001	12	118	24	118	30.8%	0.50 [0.26, 0.95]
Samaria 2009	4	20	8	20	10.3%	0.50 [0.18, 1.40]
Thys 2002	2	10	1	10	1.3%	2.00 [0.21, 18.69]
Total (95% CI)		434		420	100.0%	0.54 [0.38, 0.76]
Total events	42		77			
Heterogeneity: $\text{Chi}^2 = 6.36$, $\text{df} = 10$ ($P = 0.78$); $I^2 = 0\%$						
Test for overall effect: $Z = 3.49$ ($P = 0.0005$)						

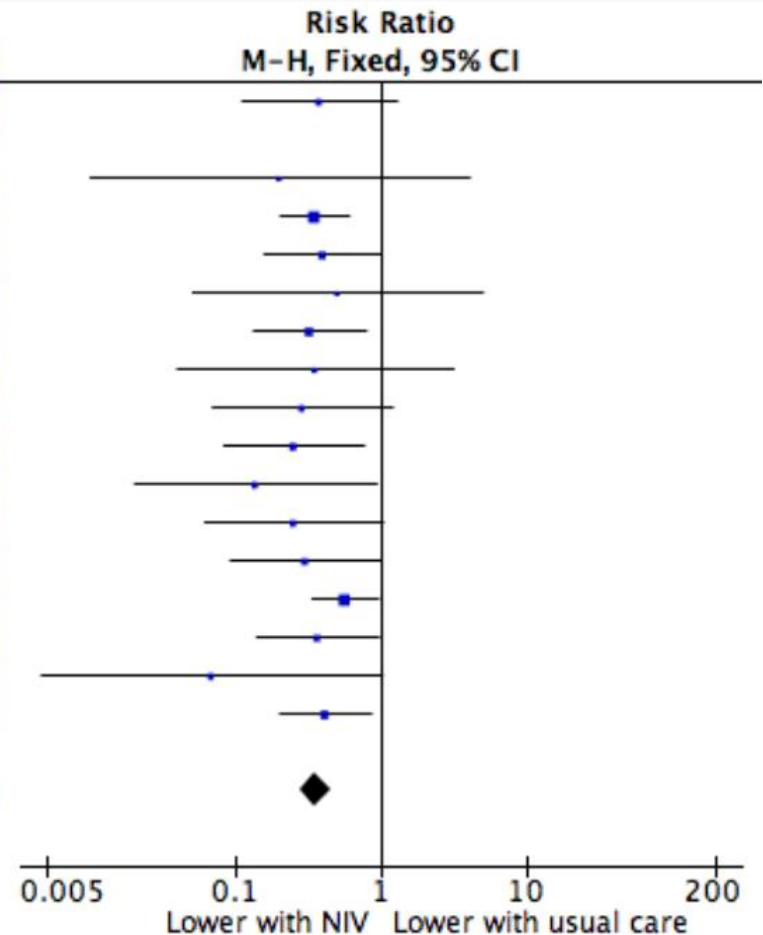


NIV in COPD AE : meta-analysis



Need for intubation

Study or Subgroup	NIV		Usual care		Weight	Risk Ratio
	Events	Total	Events	Total		M-H, Fixed, 95% CI
Avdeev 1998	3	29	8	29	4.2%	0.38 [0.11, 1.27]
Barbe 1996	0	14	0	10		Not estimable
Bott 1993	0	30	2	30	1.3%	0.20 [0.01, 4.00]
Brochard 1995	11	43	31	42	16.7%	0.35 [0.20, 0.60]
Carrera 2009	5	37	13	38	6.8%	0.40 [0.16, 1.00]
Celikel 1998	1	15	2	15	1.1%	0.50 [0.05, 4.94]
Collaborative 2005	6	100	17	91	9.5%	0.32 [0.13, 0.78]
del Castillo 2003	1	20	3	21	1.6%	0.35 [0.04, 3.09]
Dikensoy 2002	2	17	7	17	3.7%	0.29 [0.07, 1.18]
Khilnani 2010	3	20	12	20	6.4%	0.25 [0.08, 0.75]
Kramer 1995	1	11	8	12	4.1%	0.14 [0.02, 0.92]
Liu 2005	2	18	8	18	4.2%	0.25 [0.06, 1.02]
Matuska 2006	3	30	10	30	5.3%	0.30 [0.09, 0.98]
Plant 2001	18	118	32	118	17.0%	0.56 [0.34, 0.94]
Samaria 2009	4	20	11	20	5.8%	0.36 [0.14, 0.95]
Thys 2002	0	7	5	5	3.3%	0.07 [0.00, 1.01]
Zhou 2001	7	30	17	30	9.0%	0.41 [0.20, 0.85]
Total (95% CI)		559		546	100.0%	0.36 [0.28, 0.46]
Total events	67		186			
Heterogeneity: Chi ² = 6.68, df = 15 (P = 0.97); I ² = 0%						
Test for overall effect: Z = 8.22 (P < 0.00001)						



NIV in COPD AE : meta-analysis



Outcomes	Anticipated absolute effects* (95% CI)		Relative effect (95% CI)	No. of participants (studies)	Quality of the evidence (GRADE)
	Risk with usual care - Overall	Risk with NIV			
Mortality	183 per 1000	99 per 1000 (70 to 139)	RR 0.54 (0.38 to 0.76)	854 (12 RCTs)	⊕⊕⊕⊖ MODERATE ^a
Need for endotracheal intubation	341 per 1000	123 per 1000 (95 to 157)	RR 0.36 (0.28 to 0.46)	1105 (17 RCTs)	⊕⊕⊕⊖ MODERATE ^a
Length of hospital stay (days)	Mean length of hospital stay (days) was 17.5	MD 3.39 lower (5.93 lower to 0.85 lower)	-	888 (10 RCTs)	⊕⊕⊕⊖ MODERATE ^{a,b}



Indications for Noninvasive Mechanical Ventilation (NIV)

Table 5.7

At least one of the following:

- Respiratory acidosis ($\text{PaCO}_2 \geq 6.0$ kPa or 45 mmHg and arterial pH ≤ 7.35)
- Severe dyspnea with clinical signs suggestive of respiratory muscle fatigue, increased work of breathing, or both, such as use of respiratory accessory muscles, paradoxical motion of the abdomen, or retraction of the intercostal spaces
- Persistent hypoxemia despite supplemental oxygen therapy

NIV in COPD AE : Technical issues



NIV SETUP

Mask

Full face mask (or own if home user of NIV)

Initial Pressure settings

EPAP: 3 (or higher if OSA known/expected)

IPAP in COPD/OHS/KS 15 (20 if pH <7.25)

Up titrate IPAP over 10-30 mins to IPAP 20-30 to achieve adequate augmentation of chest/abdo movement and slow RR

IPAP should not exceed 30 or EPAP 8* without expert review

IPAP in NM 10 (or 5 above usual setting)

Backup rate

Backup Rate of 16-20. Set appropriate inspiratory time

I:E ratio

COPD 1:2 to 1:3
OHS, NM & CWD 1:1

Inspiratory time

0.8-1.2s COPD
1.2-1.5s OHS, NM & CWD

Use NIV for as much time as possible in 1st 24 hours.
Taper depending on tolerance & ABGs over next 48-72 hours

SEEK AND TREAT REVERSIBLE CAUSES OF AHRF

NIV Monitoring

Oxygenation

Aim 88-92% in all patients

Note: Home style ventilators CANNOT provide > 50% inspired oxygen.

If high oxygen need or rapid desaturation on disconnection from NIV consider IMV.

Red flags

pH <7.25 on optimal NIV
RR persisting > 25
New onset confusion or patient distress

Actions

Check synchronisation, mask fit, exhalation port : give physiotherapy/bronchodilators, consider anxiolytic

CONSIDER IMV

BTS/ICS guideline

Thorax. 2016;71 Suppl 2:ii1-35.



Indications for Invasive Mechanical Ventilation

Table 5.8

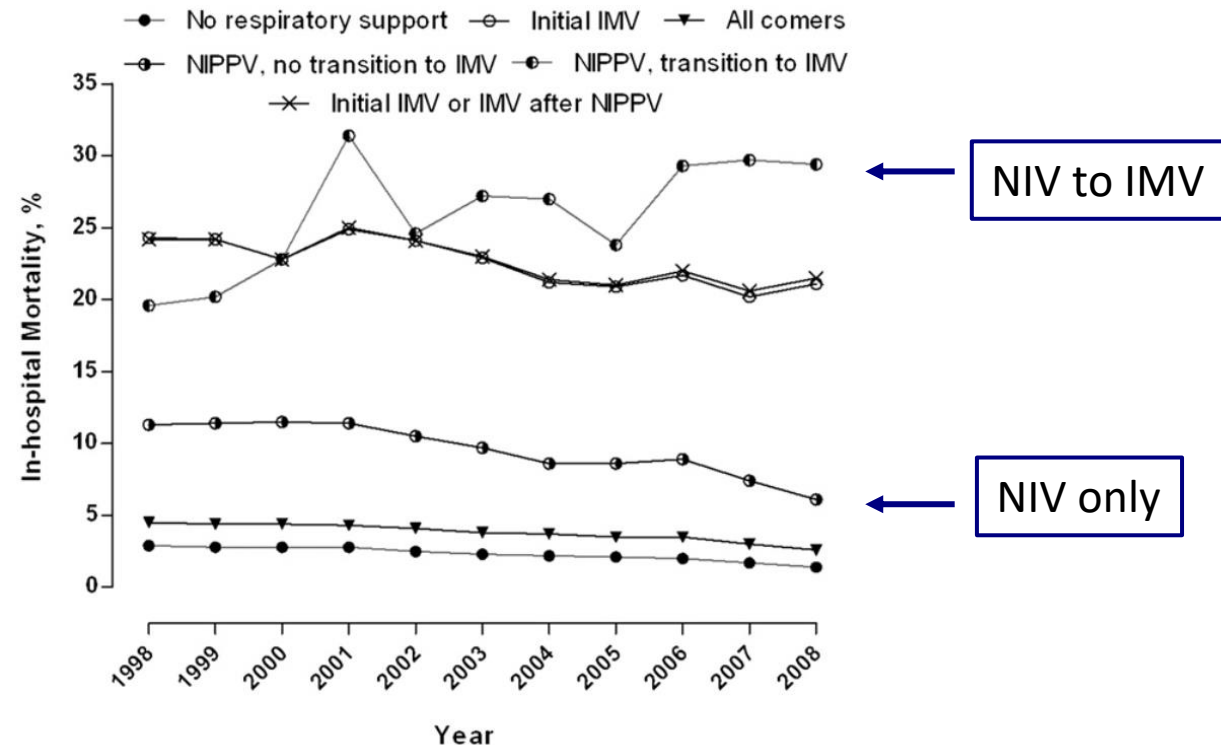
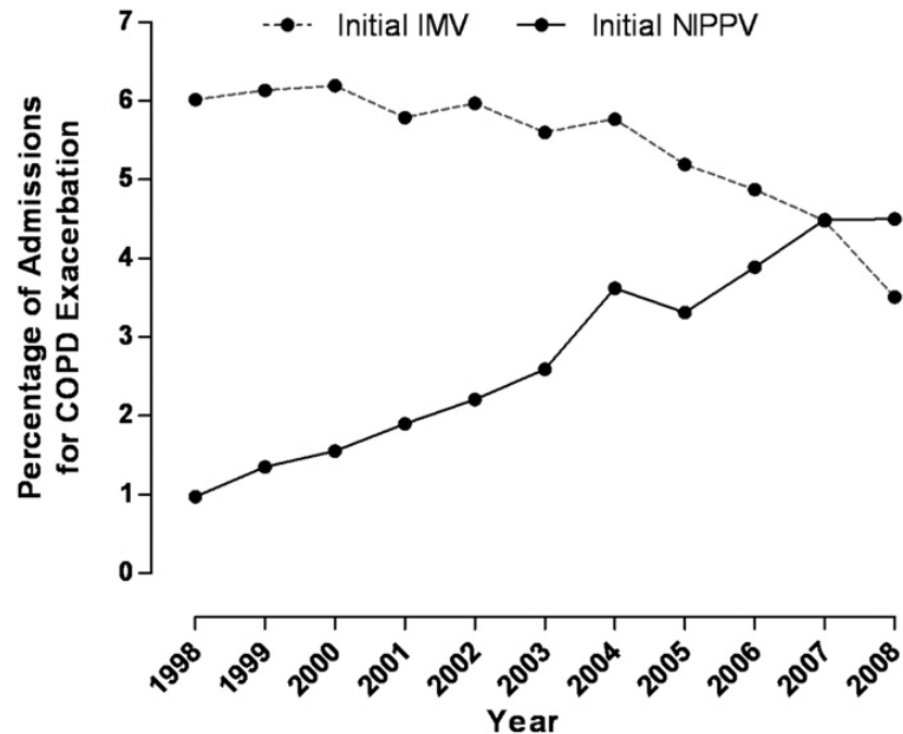
- Unable to tolerate NIV or NIV failure
- Status post-respiratory or cardiac arrest
- Diminished consciousness, psychomotor agitation inadequately controlled by sedation
- Massive aspiration or persistent vomiting
- Persistent inability to remove respiratory secretions
- Severe hemodynamic instability without response to fluids and vasoactive drugs
- Severe ventricular or supraventricular arrhythmias
- Life-threatening hypoxemia in patients unable to tolerate NIV

NIV failure in COPD AE : high mortality



Outcomes of Noninvasive Ventilation for Acute Exacerbations of Chronic Obstructive Pulmonary Disease in the United States, 1998–2008

Divay Chandra^{1*}, Jason A. Stamm^{1*}, Brian Taylor², Rose Mary Ramos¹, Lewis Satterwhite², Jerry A. Krishnan³, David Mannino⁴, Frank C. Sciurba¹, and Fernando Holguín¹



NIV failure in COPD AE : Technical issues



Table 3 Technical issues: a guide for when NIV is failing

Problem	Cause(s)	Solution (s)
Ventilator cycling independently of patient effort	Inspiratory trigger sensitivity is too high Excessive mask leak	Adjust trigger Reduce mask leak
Ventilator not triggering despite visible patient effort	Excessive mask leak Inspiratory trigger sensitivity too low	Reduce mask leak Adjust trigger For NM patients consider switch to PCV
Inadequate chest expansion despite apparent triggering	Inadequate Tidal volume	Increase IPAP. In NM or chest wall disease consider longer Ti
Chest/abdominal paradox	Upper airway obstruction	Avoid neck flexion Increase EPAP
Premature expiratory effort by patient	Excessive Ti or IPAP	Adjust as necessary

Home NIV in post-AE COPD



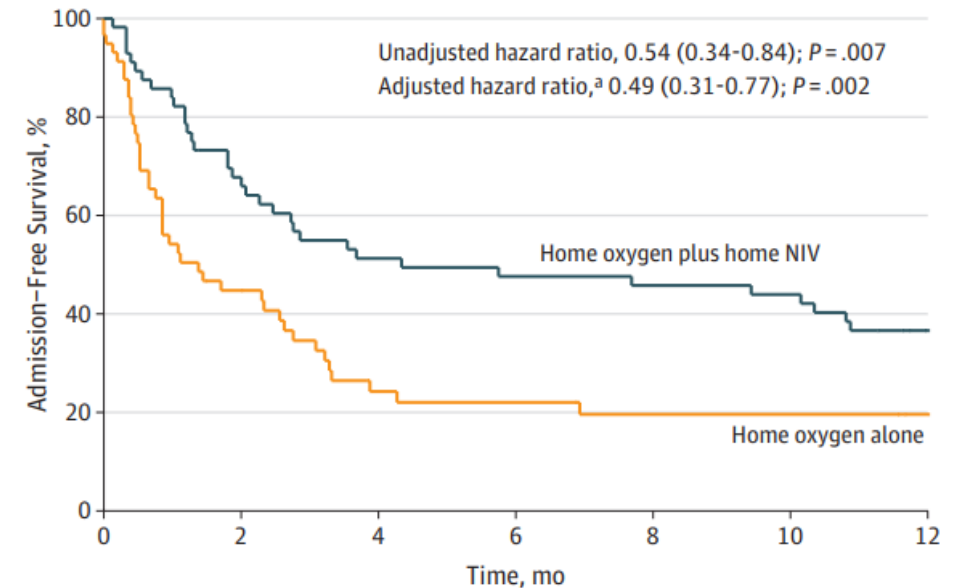
JAMA | Original Investigation

Effect of Home Noninvasive Ventilation With Oxygen Therapy vs Oxygen Therapy Alone on Hospital Readmission or Death After an Acute COPD Exacerbation A Randomized Clinical Trial

Patrick B. Murphy, PhD; Sunita Rehal, MSc; Gill Arbane, BSc (Hons); Stephen Bourke, PhD; Peter M. A. Calverley, PhD; Angela M. Crook, PhD; Lee Dowson, MD; Nicholas Duffy, MD; G. John Gibson, MD; Philip D. Hughes, MD; John R. Hurst, PhD; Keir E. Lewis, MD; Rahul Mukherjee, MD; Annabel Nickol, PhD; Nicholas Oscroft, MD; Maxime Patout, MD; Justin Pepperell, MD; Ian Smith, MD; John R. Stradling, PhD; Jadwiga A. Wedzicha, PhD; Michael I. Polkey, PhD; Mark W. Elliott, MD; Nicholas Hart, PhD

- N= 116, Persistent hypercapnia ($\text{PaCO}_2 > 53\text{mmHg}$) 2-4 weeks after respiratory acidosis resolution
- O_2 + NIV (IPAP : EPAP 24:4, backup RR 14) vs. O_2 alone
- Mean $\text{PaCO}_2 = 59\text{mmHg}$
- NIV for at least 6 hours nightly → median 7.6 hours

Time to Readmission or Death



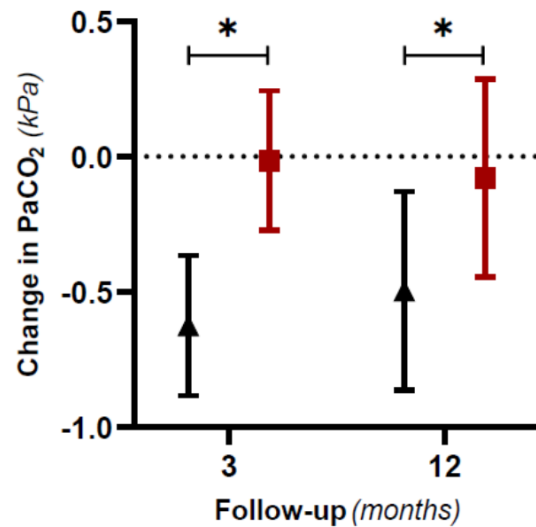
No. at risk								
Home oxygen plus home NIV	57	37	28	26	25	24	16	
Home oxygen alone	59	23	11	10	8	8	6	

Home NIV in post-AE COPD



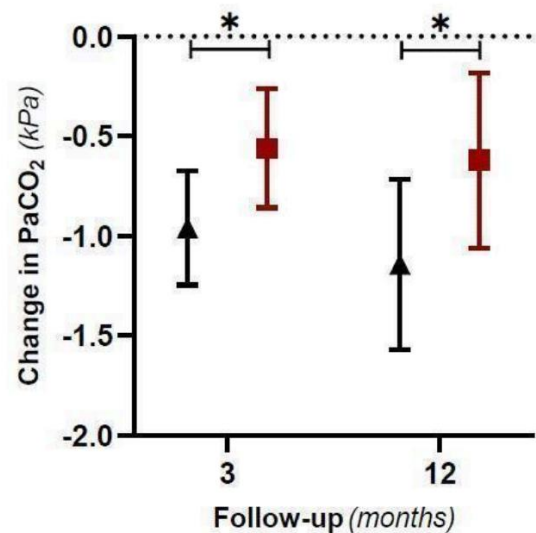
Chronic non-invasive ventilation compared to standard treatment following a severe exacerbation for people with severe COPD

Patient or population: post-exacerbation COPD **Setting:** home treatment **Intervention:** NIV **Comparison:** Standard care



Outcomes	Anticipated absolute effects* (95% CI)		Relative effect (95% CI)	Nº of participants (studies)	Certainty of the evidence (GRADE)	Comments
	Risk with Standard care	Risk with NIV				
Admission-free survival follow up: 1 year	Study population		HR 0.71 (0.54 to 0.94) [readmission or death] ^h	317 (2 RCTs)	⊕⊕⊕⊕ LOW e, i, j	The evidence suggests chronic NIV improves admission-free survival (number needed to treat for an additional beneficial outcome 12, 95% CI 7 to 61).
	333 per 1000	458 per 1000 (356 to 552)				
All-cause mortality follow up: median 21 months	Study population		HR 0.97 (0.74 to 1.28) [All-cause mortality] ^h	318 (2 RCTs)	⊕⊕⊕⊕ LOW c, d	The evidence suggests that chronic NIV does not improve all-cause mortality.
	642 per 1000	631 per 1000 (532 to 731)				

Home NIV in stable COPD



Chronic non-invasive ventilation compared to standard treatment for people with stable COPD

Patient or population: stable COPD **Setting:** home treatment **Intervention:** NIV **Comparison:** Standard care

Outcomes	Anticipated absolute effects* (95% CI)		Relative effect (95% CI)	Nº of participants (studies)	Certainty of the evidence (GRADE)	Comments
	Risk with Standard care	Risk with NIV				
All-cause mortality follow up: median 30 months	Study population		HR 0.75 (0.58 to 0.97) [All-cause mortality] ^k	405 (3 RCTs)	⊕⊕⊕⊙ MODERATE c, d	Chronic NIV likely reduces all-cause mortality (number needed to treat for an additional beneficial outcome 14, 95% CI 8 to 120).
	655 per 1000	550 per 1000 (461 to 644)				

Home NIV : mortality benefit in stable COPD



ORIGINAL ARTICLE

<https://doi.org/10.4046/trd.2021.0062>
ISSN: 1738-3536(Print)/2005-6184(Online) - Tuberc Respir Dis 2022;85:47-55



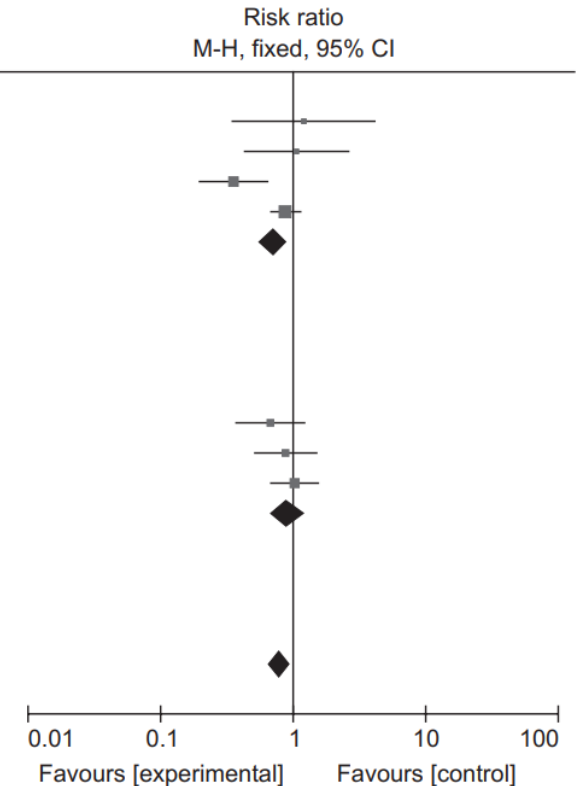
The Long-term Efficacy of Domiciliary Noninvasive Positive-Pressure Ventilation in Chronic Obstructive Pulmonary Disease: A Meta-Analysis of Randomized Controlled Trials

Stable COPD
↓ 29% death

Post AE COPD
= death

Total COPD
↓ 21% death

Study or subgroup	NIPPV		Control		Weight (%)	Risk ratio	
	Events	Total	Events	Total		M-H, fixed, 95% CI	M-H, fixed, 95% CI
1.3.1 Stable COPD							
Casanova (2000)	4	20	4	24	2.4	1.20 [0.34, 4.20]	
Clini (2002)	7	39	8	47	4.8	1.05 [0.42, 2.65]	
Kohnlein (2014)	12	102	31	93	21.5	0.35 [0.19, 0.65]	
McEvoy (2009)	40	72	46	72	30.5	0.87 [0.66, 1.14]	
Subtotal (95% CI)		233		236	59.2	0.71 [0.56, 0.91]	
Total events	63		89				
Heterogeneity: Chi ² =8.68, df=3 (p=0.03); I ² =65%							
Test for overall effect: Z=2.73 (p=0.006)							
1.3.2 Post hospital COPD							
Cheung (2010)	9	23	14	24	9.1	0.67 [0.36, 1.24]	
Murphy (2017)	16	57	19	59	12.4	0.87 [0.50, 1.52]	
Struik (2014)	30	101	29	100	19.3	1.02 [0.67, 1.57]	
Subtotal (95% CI)		181		183	40.8	0.90 [0.67, 1.21]	
Total events	55		62				
Heterogeneity: Chi ² =1.25, df=2 (p=0.54); I ² =0%							
Test for overall effect: Z=0.70 (p=0.48)							
Total (95% CI)		414		419	100.0	0.79 [0.65, 0.95]	
Total events	118		151				
Heterogeneity: Chi ² =9.95, df=6 (p=0.13); I ² =40%							
Test for overall effect: Z=2.47 (p=0.01)							
Test for subgroup differences: Chi ² =1.43, df=1 (p=0.23); I ² =30.2%							

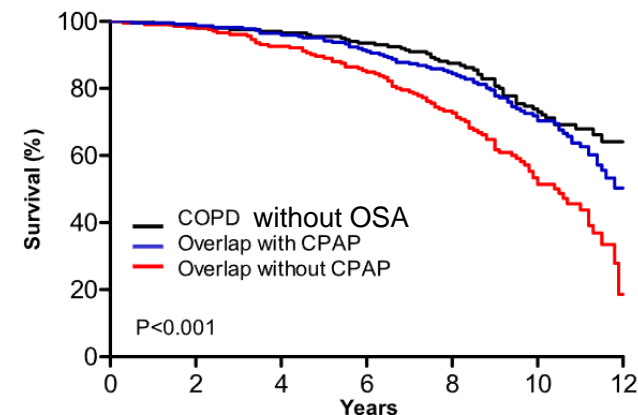


NIV in stable COPD + OSA

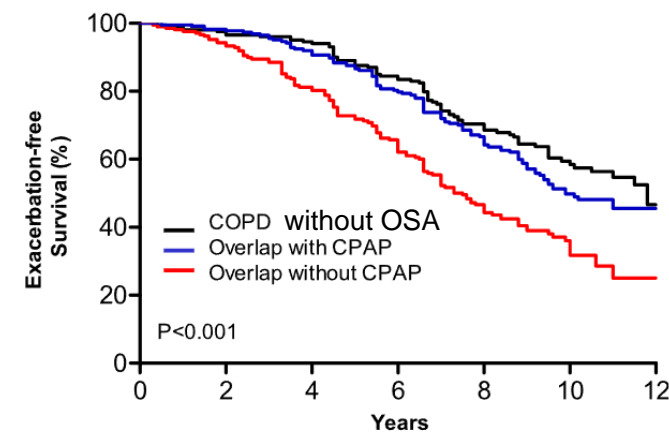


Outcomes in Patients with Chronic Obstructive Pulmonary Disease and Obstructive Sleep Apnea The Overlap Syndrome

Jose M. Marin^{1,2*}, Joan B. Soriano^{2,3*}, Santiago J. Carrizo^{1*}, Ana Boldova^{1*}, and Bartolome R. Celli^{4*}



No at risk	0	2	4	6	8	10	12
COPD	210	203	196	184	144	89	10
Overlap with CPAP	228	223	215	201	167	97	8
Overlap without CPAP	213	204	186	161	121	57	3



No at risk	0	2	4	6	8	10	12
COPD	210	199	189	158	107	47	6
Overlap with CPAP	228	222	202	168	114	41	5
Overlap without CPAP	213	197	165	124	66	24	2

NIV in stable COPD + OSA

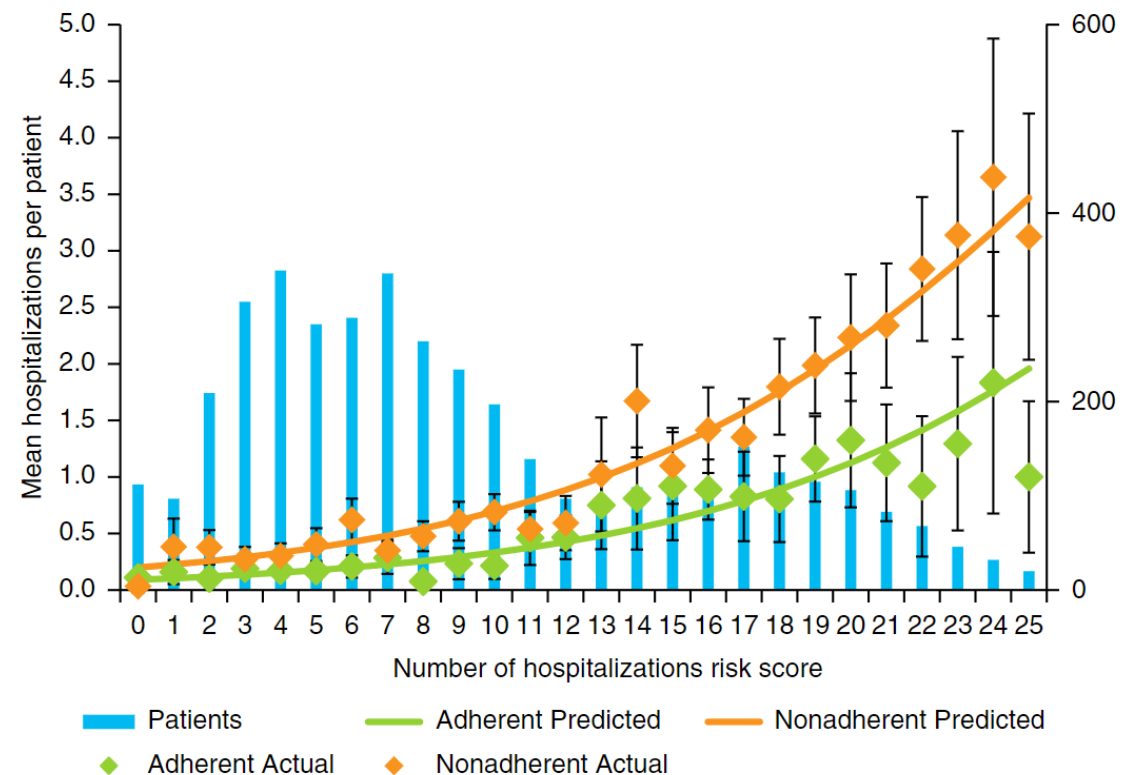


ORIGINAL ARTICLE

Impact of Positive Airway Pressure Therapy Adherence on Outcomes in Patients with Obstructive Sleep Apnea and Chronic Obstructive Pulmonary Disease

Kimberly L. Sterling¹, Jean-Louis Pépin², Walter Linde-Zwirble³, Jiaming Chen¹, Adam V. Benjafield⁴, Peter A. Cistulli⁵, Kate V. Cole¹, Hussein Emami¹, Caleb Woodford⁶, Jeff P. Armitstead⁴, Carlos M. Nunez¹, Jadwiga A. Wedzicha⁷, and Atul Malhotra⁸; on behalf of the medXcloud group

Resource Usage (Mean Number per Patient)	Matched		P Value
	Adherent (n = 712)	Nonadherent (n = 712)	
Year before PAP			
Doctor visits	15.80	17.65	0.01
Emergency room visits	1.75	1.89	0.59
Inpatient hospitalizations	0.51	0.50	0.67
PAP Year 1			
Doctor visits	16.71	17.37	0.33
Emergency room visits	1.25	1.83	<0.001
Inpatient hospitalization	0.24	0.46	<0.001
PAP Year 2			
Doctor visits	15.43	15.71	0.37
Emergency room visits	1.16	1.70	<0.001
Inpatient hospitalizations	0.21	0.42	<0.001



NIV in stable COPD : **Ambulatory NIV**

Physiologic Effects of an Ambulatory Ventilation System in Chronic Obstructive Pulmonary Disease

Janos Porszasz¹, Robert Cao¹, Richard Morishige², Leo A. van Eykern³, Alex Stenzler⁴, and Richard Casaburi¹

- N= 15, mean FEV₁ 32.2%, exertional SpO₂ 86.5%
- Constant work rate (CWR) cycle ergometer exercise

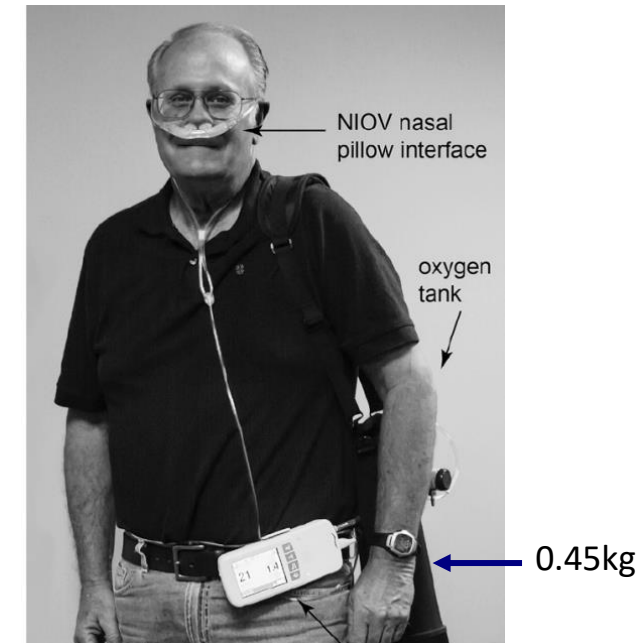


TABLE 5. PHYSIOLOGIC RESPONSES TO CONSTANT WORK RATE EXERCISE AT LIMIT OF TOLERANCE

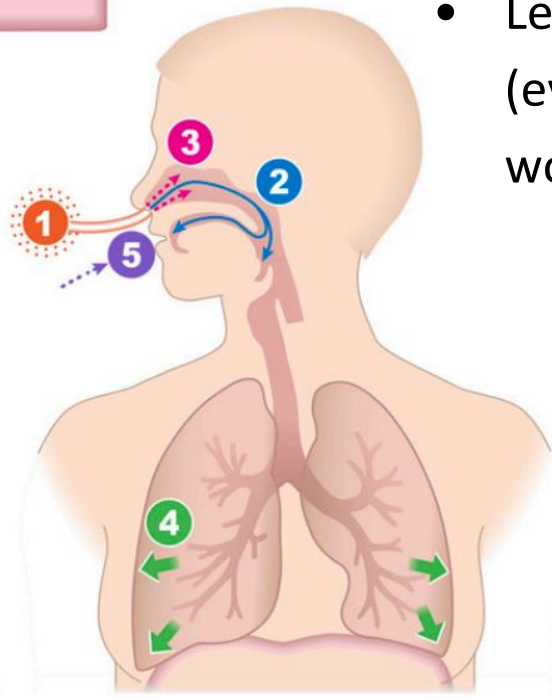
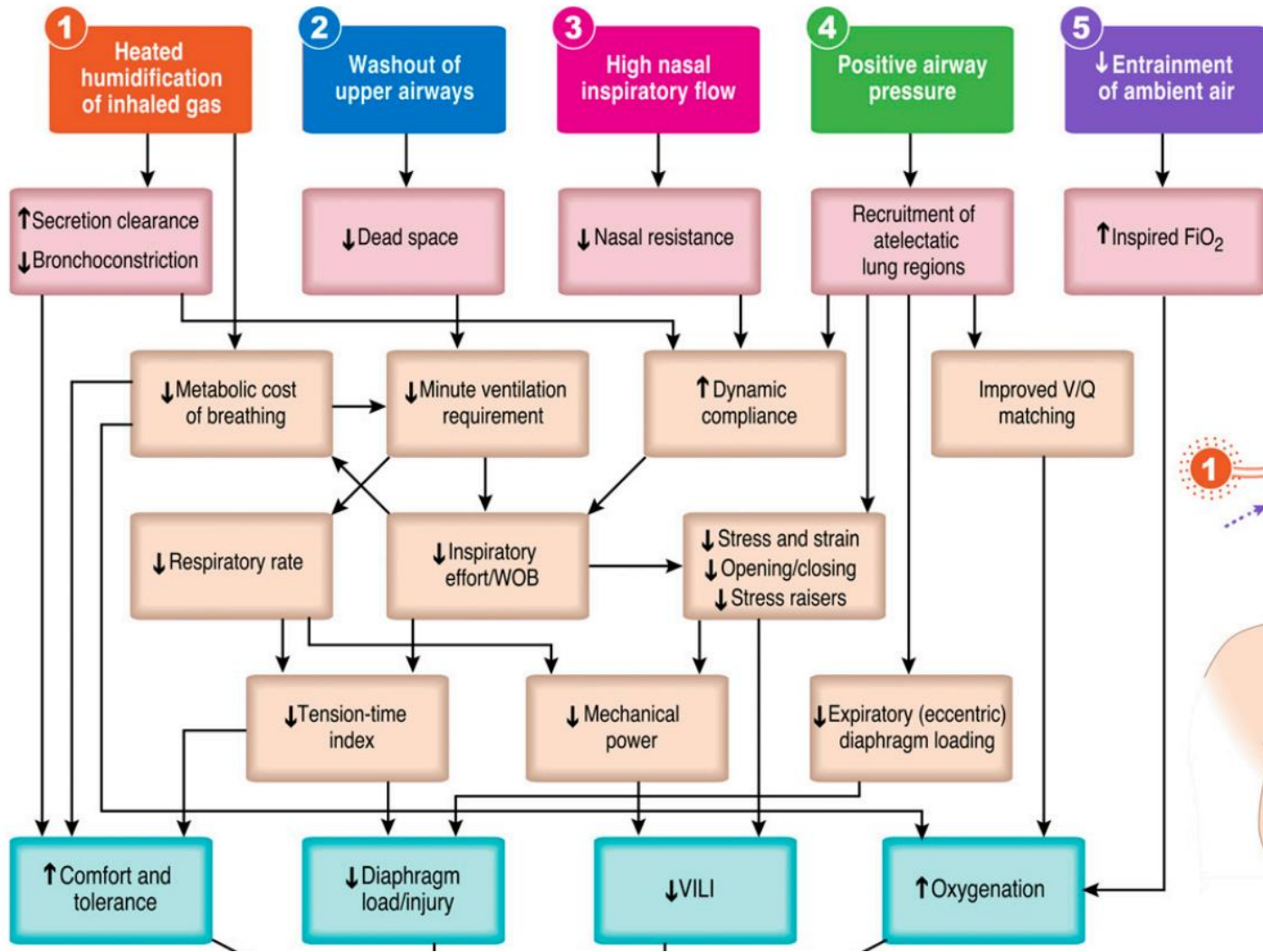
	CWR (V1)	Room Air (V2 and V3)	NIOV+Air (V2 and V3)	O ₂ Cannula (V4)	NIOV + O ₂ (V2, V3, and V4)
Scalene EMG, $\mu\text{V}\cdot\text{s}$	n/a	9.7 \pm 6.8	9.5 \pm 7.0	7.7 \pm 6.5	6.8 \pm 5.1*†
Intercostal EMG, $\mu\text{V}\cdot\text{s}$	n/a	3.8 \pm 3.1	3.3 \pm 2.3	3.7 \pm 3.3	2.8 \pm 2.3*
Diaphragmatic EMG, $\mu\text{V}\cdot\text{s}$	n/a	5.1 \pm 2.3	5.3 \pm 2.2	4.1 \pm 2.3*†	4.0 \pm 2.2*†
Fb, breaths/min	33.8 \pm 9.8	28.8 \pm 7.8‡	26.7 \pm 7.3‡	27.1 \pm 7.8‡	26.6 \pm 6.7‡
Heart rate, beats/min	125 \pm 17	118 \pm 14‡	120 \pm 13‡	120 \pm 17	119 \pm 13
SpO ₂ , %	85.1 \pm 3.4	86.0 \pm 2.9	85.9 \pm 4.1	91.2 \pm 4.2*†‡	97.4 \pm 1.8*†‡§
TcPco ₂ , mm Hg	47.3 \pm 6.7	46.3 \pm 7.6	44.8 \pm 7.0	43.5 \pm 4.5	47.5 \pm 7.4
Borg CR10 score	6.7 \pm 2.3	6.6 \pm 2.5	6.3 \pm 2.5	6.3 \pm 3.6	6.1 \pm 2.9
T _{lim} , min	5.1 \pm 1.1	5.6 \pm 1.9	6.3 \pm 4.1	11.4 \pm 6.8*†‡	17.6 \pm 5.7*†‡§

Contents



- Long-term Oxygen Therapy (LTOT)
- Non-invasive ventilation (NIV)
- **High-flow nasal cannula (HFNC)**

HFNC




- Physiologic benefits
- Avoid adverse event of IMV
- Patients' comfort
- Less resource required (even in terms of healthcare workload)

HFNC (vs. NIV) in COPD AE

ORIGINAL ARTICLE

WILEY

High flow nasal cannulae oxygen therapy in acute-moderate hypercapnic respiratory failure

Myoung Kyu Lee¹  | Jaehwa Choi¹ | Bonil Park¹ | Bumjoon Kim¹ |
Seok Jeong Lee¹ | Sang-Ha Kim¹ | Suk Joong Yong¹ | Eun Hee Choi² |
Won-Yeon Lee¹

- Single center prospective observational study (not RCT) in Korea
- N = 88 (NIV:HFNC = 1:1)
- COPD AE with PF ratio < 200, PaCO₂ > 45 mm Hg, pH 7.25 – 7.35

HFNC (vs. NIV) in COPD AE

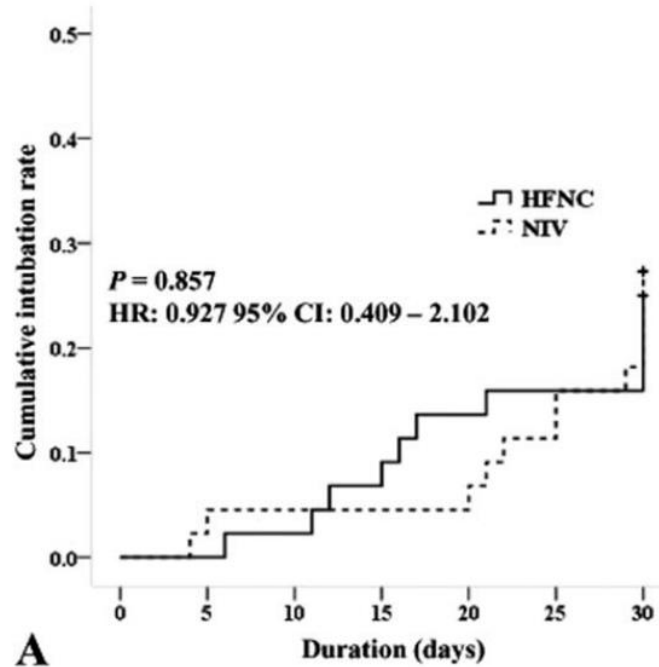


Variables	Total (n = 88)	HFNC (n = 44)	NIV (n = 44)	P value
Clinical, median (IQR)				
Mean BP (mm Hg)	92.3 (79.5–108.7)	90.5 (79.2–101.0)	99.5 (81.7–113.7)	.242
PR (beats/minute)	106.5 (93.25–120)	106 (89.5–119.5)	106.5 (94.5–120)	.277
RR (1/minute)	24 (20.5–28)	24 (20–28)	24 (22–29)	.235
Laboratory, mean ± SD ^a				
Oxygen saturation (%)	89.1 ± 3.1	88.9 ± 3.0	88.2 ± 3.2	.660
pH	7.32 ± 0.03	7.32 ± 0.28	7.31 ± 0.29	.595
PaO ₂ /FiO ₂ (mm Hg)	134.6 ± 7.4	134.8 ± 7.3	134.5 ± 7.5	.877
paCO ₂ (mm Hg)	54.5 ± 9.6	56.4 ± 10.1	52.6 ± 8.8	.067
Hb (g/dL)	12.7 ± 2.2	12.5 ± 2.5	12.9 ± 1.7	.342
Albumin (g/dL)	3.4 ± 0.5	3.4 ± 0.5	3.4 ± 0.5	.983
hs-CRP (mg/dL)	8.6 ± 8.5	8.9 ± 8.0	8.2 ± 9.0	.678
Functional (before an admission)				
FEV ₁ (%)	51.0 ± 18.9	52.8 ± 21.2	49.1 ± 16.3	.364
FVC (%)	72.0 ± 20.4	73.8 ± 21.1	70.3 ± 19.7	.418
FEV ₁ /FVC (%)	49.9 ± 13.2	49.1 ± 13.4	50.6 ± 13.1	.591
FEF _{25%-75%}	28.4 ± 21.6	26.8 ± 22.3	29.9 ± 21.0	.508

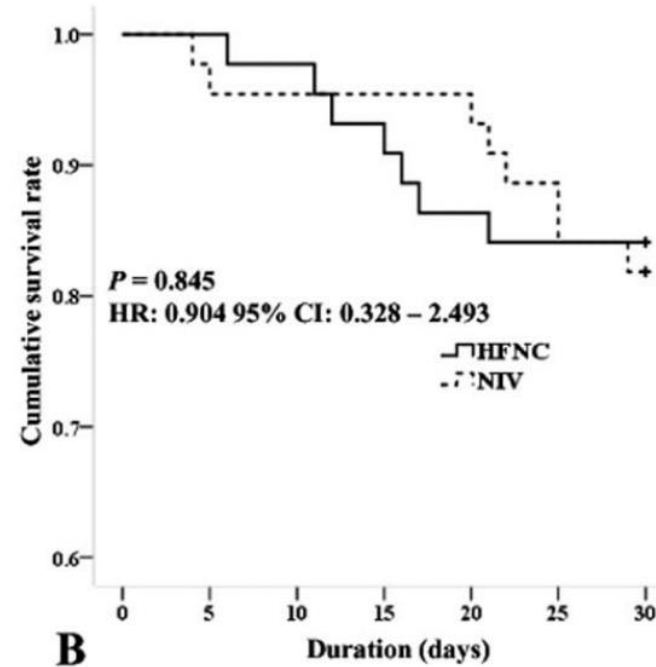
HFNC (vs. NIV) in COPD AE



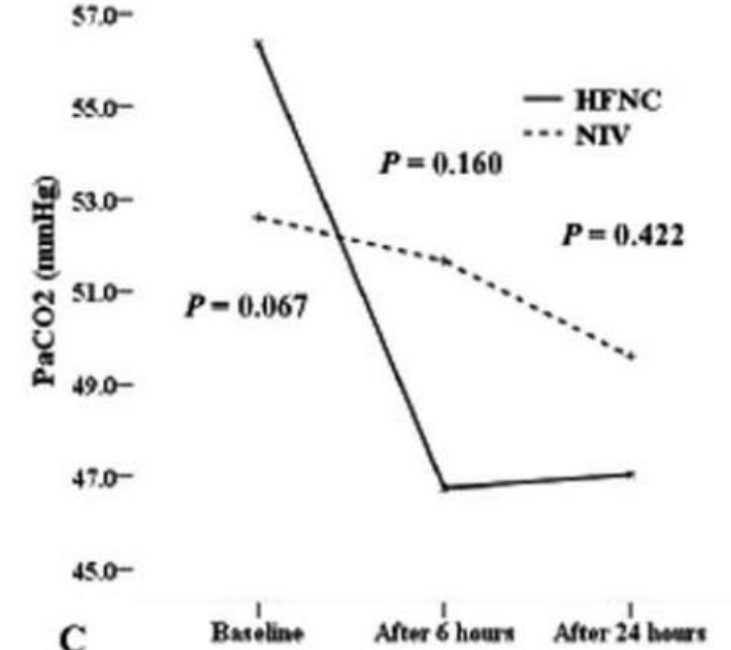
Intubation



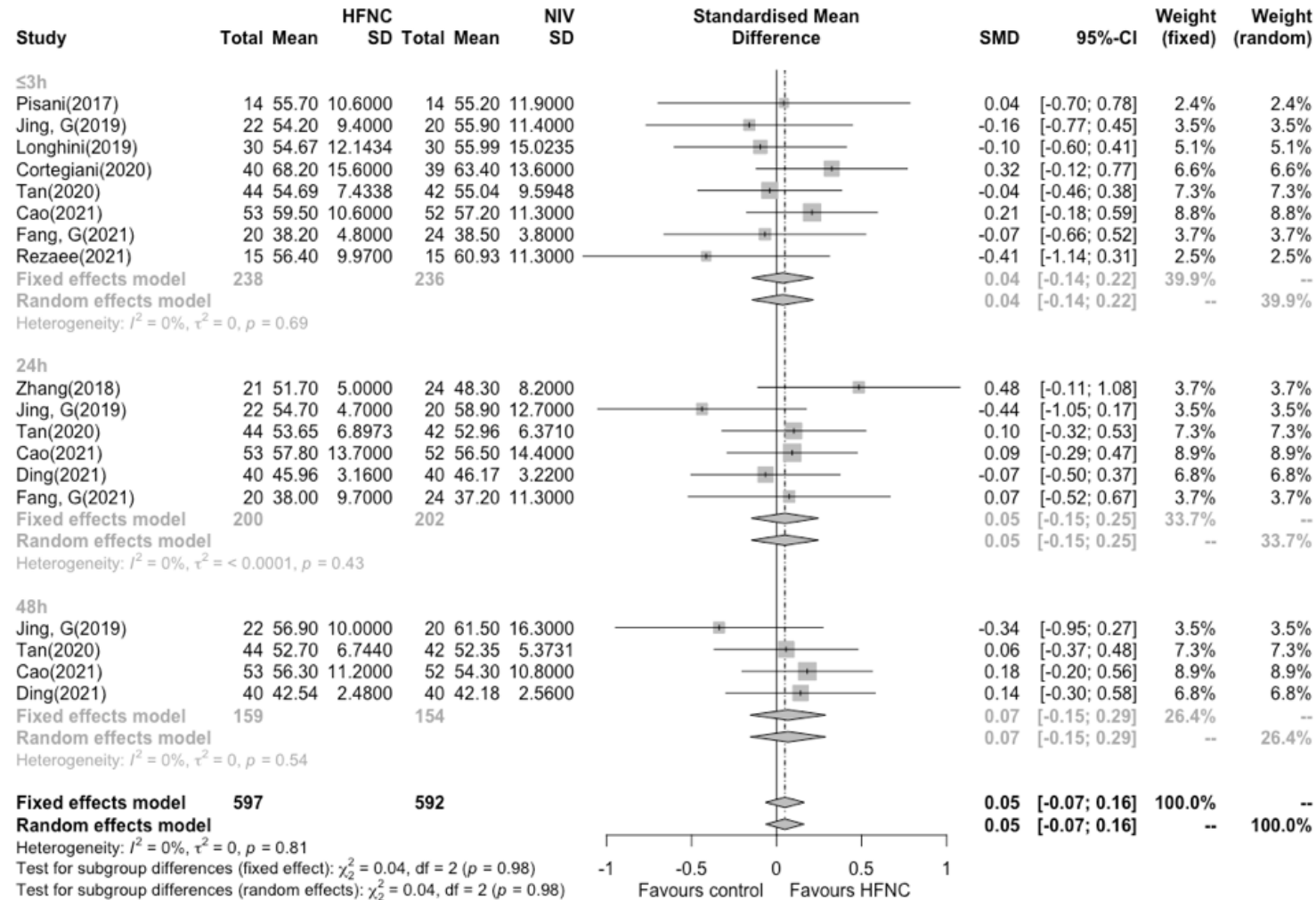
Mortality



PaCO₂



HFNC (vs. NIV) in COPD AE : PaCO₂ removal

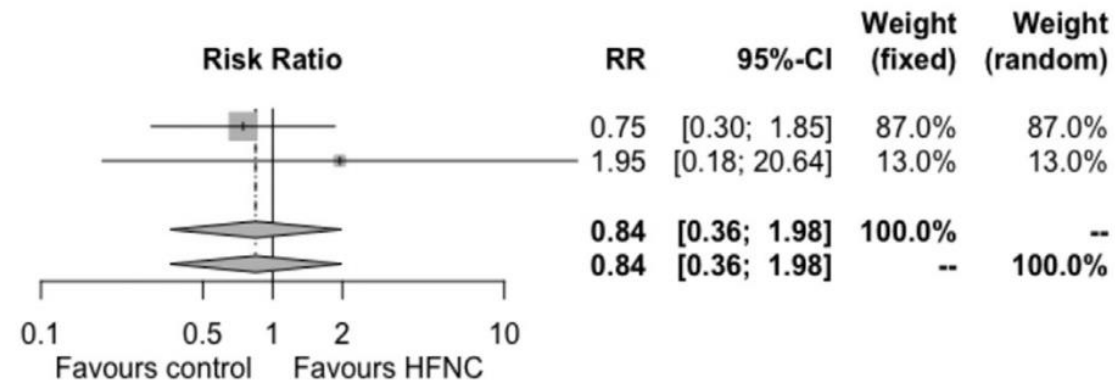


HFNC (vs. NIV) in COPD AE : intubation risk



b

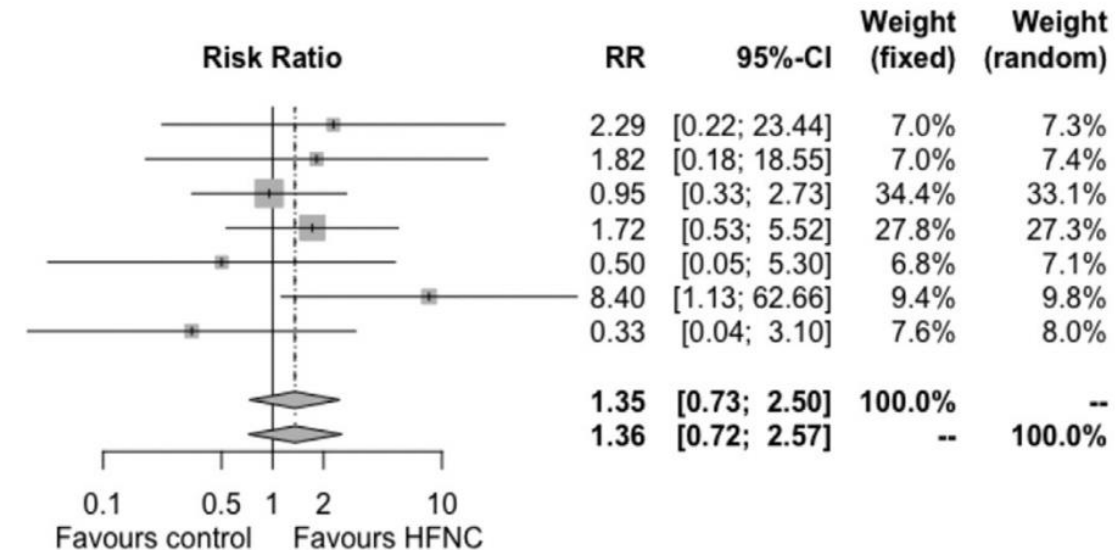
Study	HFNC		NIV	
	Events	Total	Events	Total
Wang, J(2019)	6	23	7	20
Cortegiani(2020)	2	40	1	39
Fixed effects model	63		59	
Random effects model				
Heterogeneity: $I^2 = 0\%$, $\tau^2 = 0$, $p = 0.46$				



**Risk of intubation
(COPD AE)**

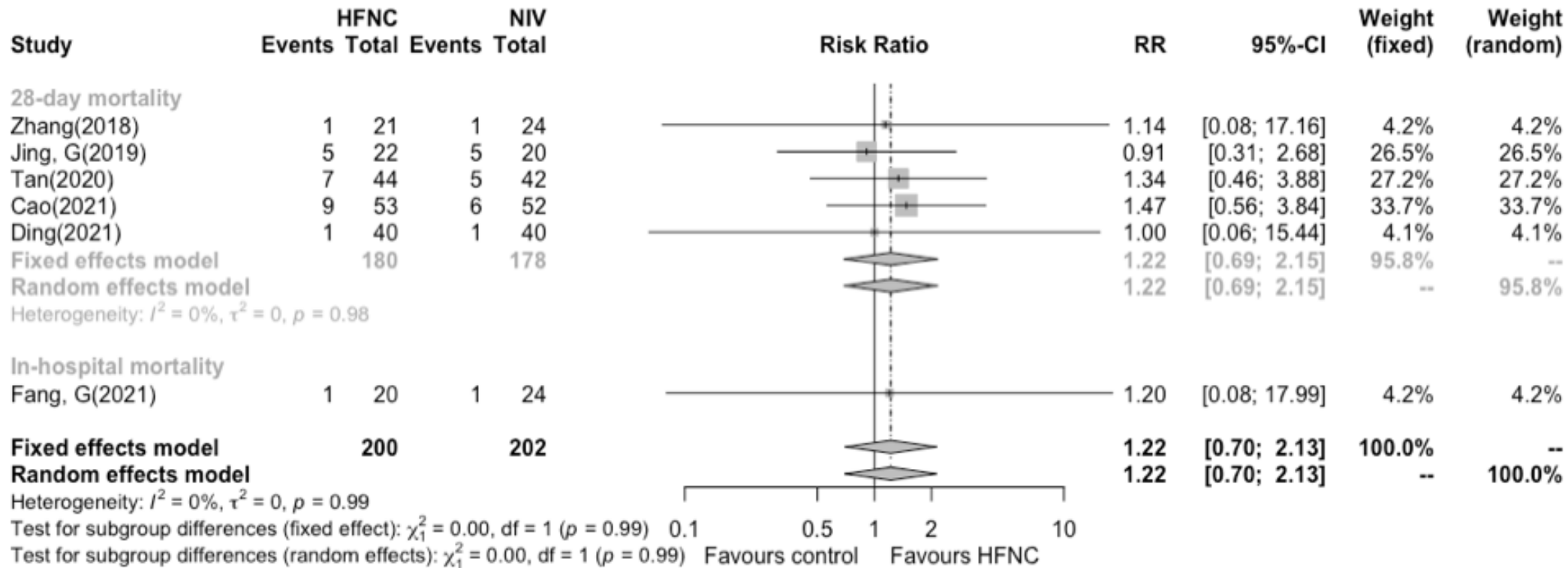
c

Study	HFNC		NIV	
	Events	Total	Events	Total
Zhang(2018)	2	21	1	24
Jing, G(2019)	2	22	1	20
Tan(2020)	6	44	6	42
Cao(2021)	7	53	4	52
Ding(2021)	1	40	2	40
Fang, G(2021)	7	20	1	24
Xu(2021)	1	50	3	50
Fixed effects model	250		252	
Random effects model				
Heterogeneity: $I^2 = 3\%$, $\tau^2 = 0.0331$, $p = 0.40$				



**Risk of reintubation
(Post intubation)**

HFNC (vs. NIV) in COPD AE : mortality



28-day

In-hospital

HFNC (vs. NIV) in COPD AE : Recommendation



EUROPEAN RESPIRATORY JOURNAL
ERS OFFICIAL DOCUMENTS
S. OCZKOWSKI ET AL.

ERS clinical practice guidelines: high-flow nasal cannula in acute respiratory failure

HFNC in hypercapnic respiratory failure

PICO question 8: Should HFNC or NIV be used in patients with acute hypercapnic respiratory failure?

Recommendation 8

We suggest a trial of NIV prior to use of HFNC in patients with COPD and acute hypercapnic respiratory failure (conditional recommendation, low certainty of evidence).

This is insufficient to make a recommendation in favour of HFNC, given the high-certainty evidence for the use of NIV in COPD, and that more evidence would be required before HFNC could be considered equivalent or superior to NIV.

HFNC (vs. O₂) in COPD AE



Xia et al. *Critical Care* (2022) 26:109
<https://doi.org/10.1186/s13054-022-03973-7>

Critical Care

RESEARCH

Open Access

High-flow nasal cannula versus conventional oxygen therapy in acute COPD exacerbation with mild hypercapnia: a multicenter randomized controlled trial



- Multi-center (17 tertiary hospitals) RCT in China
- N =337, COPD AE with mild hypercapnia (PaCO₂ > 45 mm Hg and pH ≥ 7.35)
- Primary outcome : Intubation during hospitalization
- Secondary outcome : Intolerance and need for NIV or IMV, LOS, hospital cost, mortality, readmission

HFNC (vs. O₂) in COPD AE

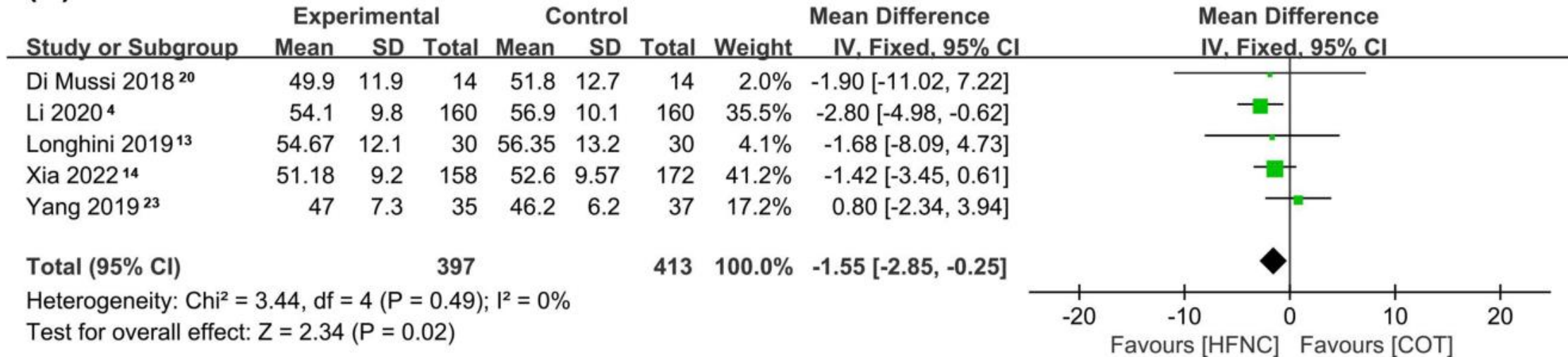


Characteristic	No. (%)		Absolute difference, % (95%CI)	P
	High-flow nasal cannula group (n = 158)	Conventional oxygen therapy group (n = 172)		
<i>Primary outcome</i>				
Criteria for intubation, No. (%)	4 (2.5%)	1 (0.6%)	1.95 (− 0.8–4.7)	0.198*
<i>Secondary outcome</i>				
Treatment failure, No. (%)	25 (15.8%)	25 (14.5%)	1.29 (− 6.5–9.0)	0.745*
Intubation, No. (%)	3 (1.9%)	1 (0.6%)	1.95 (− 0.8–4.7)	0.353*
NPPV, No. (%)	15 (9.5%)	22 (12.8%)	− 3.3 (− 10.1–3.5)	0.343*
Duration of NPPV, median (IQR), days	6.0 (2.0–10.0)	5.5 (4.0–8.0)	1.0 (− 2.7–4.7)	0.780 [†]
Mortality in hospital, No. (%)	0 (0%)	1 (0.6%)		> 0.999*
Mortality at day 90, No. (%)	5/153 (3.3%)	5/171 (2.9%)	0.34 (− 3.4–4.1)	> 0.999*
Length of hospital stay, median (IQR), days	9.0 (7.0–13.0)	8.0 (7.0–11.0)	1.0 (0.0–2.0)	0.021 [†]
Hospital cost, median (IQR), \$	2298 (1613–3782)	2005 (1439–2968)	265 (− 104–632)	0.006 [†]
Readmission rate at day 90, No. (%)	25/153 (16.3%)	23/170 (13.5%)	2.8 (− 5.0–10.6)	0.478*

HFNC (vs. O₂) in COPD AE : PaCO₂ removal



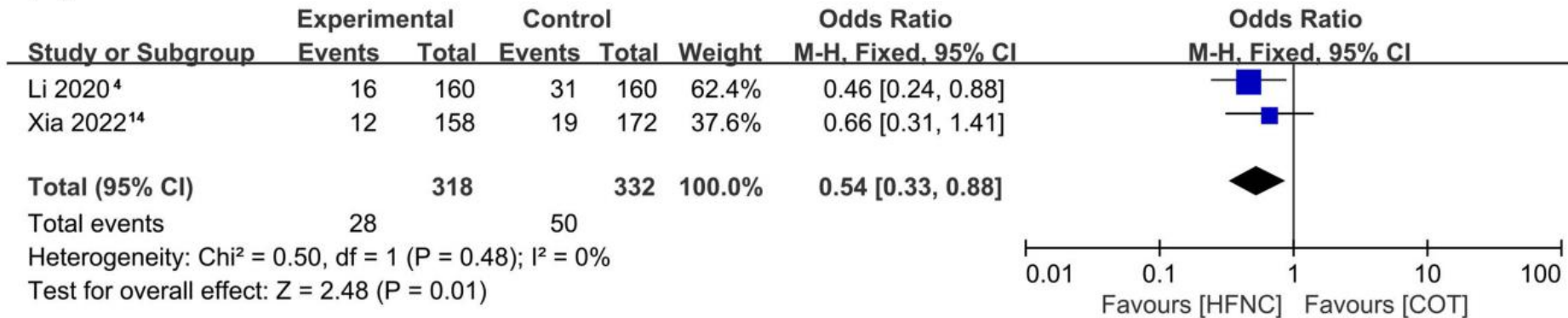
(A) acute



HFNC (vs. O₂) in COPD AE : treatment failure

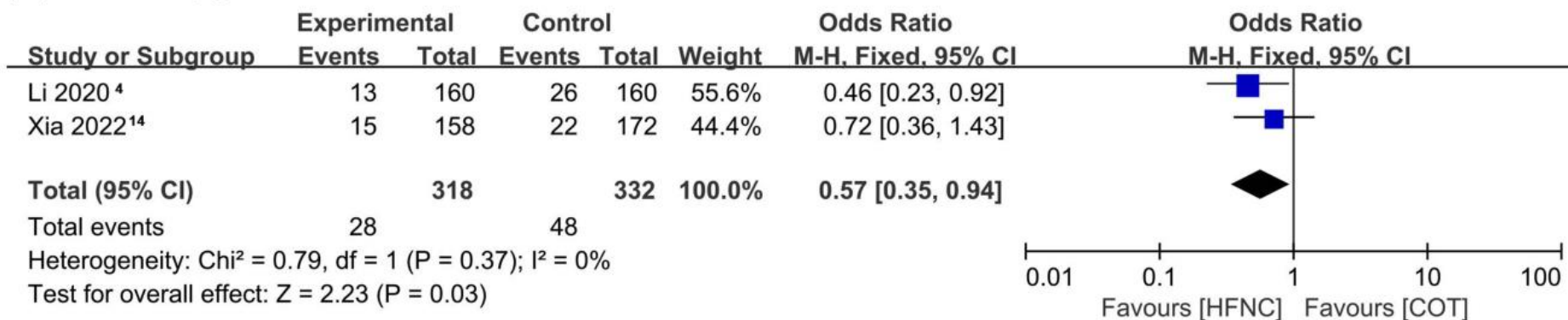


(A) treatment failure



Treatment failure

(B) actual upgraded to noninvasive ventilation



Escalation to NIV

Home HFNC (vs. O₂) in **stable COPD**



ORIGINAL ARTICLE

Home High-Flow Nasal Cannula Oxygen Therapy for Stable Hypercapnic COPD

A Randomized Clinical Trial

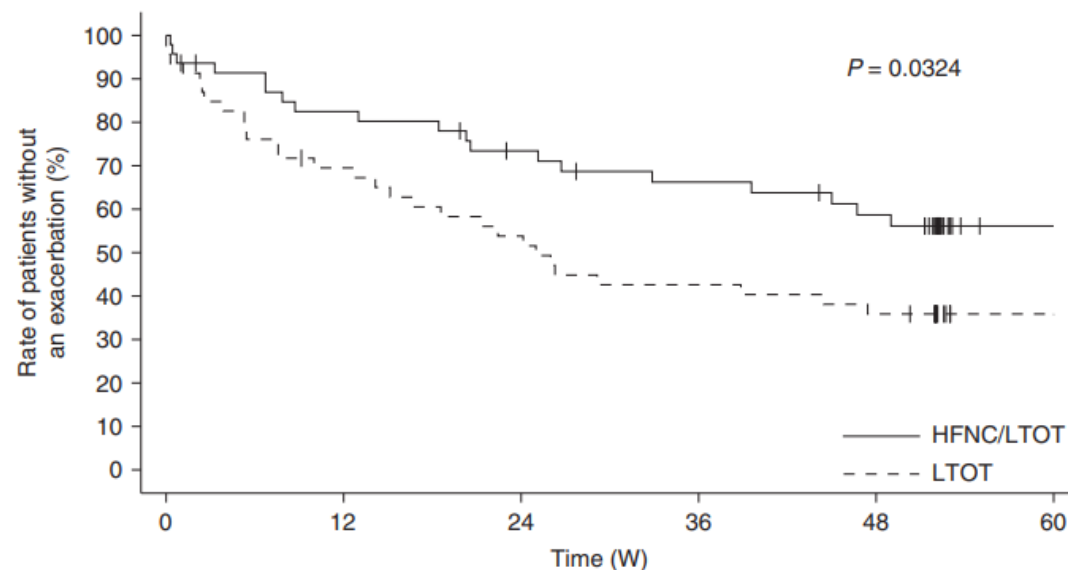
- Multi-center (42 hospitals) RCT in Japan
- N =104, Stable COPD with daytime hypercapnia (PaCO₂ ≥ 45 mm Hg and pH ≥ 7.35) receiving LTOT (≥ 16hours/day) for at least 1 month + History of AE within 1 year
- LTOT vs. LTOT/HFNC (HFNC for ≥ 4 h/night during sleep at flow rates of 30–40 L/min → mean 7.3 h)
- Primary outcome : Moderate or severe exacerbation rate.
- Secondary outcome : ABGA, lung function, HRQoL, 6MWT, Intubation during hospitalization

Home HFNC (vs. O₂) in **stable COPD**



Endpoints	Items	Statistics	HFNC/LTOT (n = 47)	n	LTOT (n = 46)	n	P Values
Primary	COPD exacerbation rate (moderate/severe)	Ratio of the mean count (95% CI) Unadjusted mean count (SD)	Reference level 1.0 (1.8)		2.85 (1.48–5.47) 2.5 (3.8)		0.002

A Time to first moderate/severe COPD exacerbation



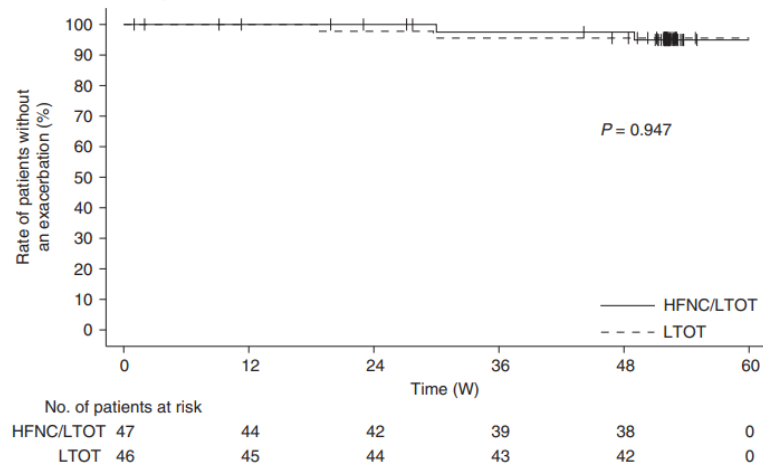
No. of patients at risk		0	12	24	36	48	60
HFNC/LTOT	47	37	31	27	23	23	0
LTOT	46	31	24	19	16	16	0

Median time to first mod or severe AE
= 25 weeks in LTOT << Not met in HFNC/LTOT group

Home HFNC (vs. O₂) in **stable COPD**



B Time to death by all causes: overall survival



Endpoints	Items	Statistics	HFNC/LTOT (n = 47)	n	LTOT (n = 46)	n	P Values
Primary	COPD exacerbation rate (moderate/severe)	Ratio of the mean count (95% CI)	Reference level		2.85 (1.48–5.47)		0.002
		Unadjusted mean count (SD)	1.0 (1.8)		2.5 (3.8)		
Secondary	COPD exacerbation rate (severe)	Ratio of the mean count (95% CI)	Reference level		1.54 (0.74–3.22)		0.250
		Unadjusted mean count (SD)	0.3 (0.5)		0.5 (0.9)		
	COPD exacerbation rate (all)	Ratio of the mean count (95% CI)	Reference level		1.40 (0.91–2.16)		0.126
		Unadjusted mean count (SD)	3.8 (4.0)		5.3 (4.4)		
	Modified MRC scale score at 52 wk	Count	Grade 0	0	Grade 0	0	0.922
			Grade 1	2	Grade 1	3	
			Grade 2	10	Grade 2	9	
			Grade 3	17	Grade 3	21	
			Grade 4	7	Grade 4	7	
	SpO ₂ , %*	LSM (SE)	1.01 ± 0.33%	37	-0.20 ± 0.32%	40	0.010
	pH [†]	Sample mean (SD)	7.38 (0.03)	37	7.38 (0.04)	38	0.118
		Difference [‡]	0.00 (0.03)	37	-0.01 (0.03)	38	
	PaCO ₂ [†]	Sample mean (SD)	50.87 (8.28)	37	51.65 (8.57)	38	0.520
		Difference [‡]	0.54 (7.23)	37	1.54 (6.13)	38	
	PaO ₂ [†]	Sample mean (SD)	84.82 (23.36)	37	77.37 (14.53)	38	0.063
		Difference [‡]	4.21 (26.51)	37	-7.04 (24.94)	38	
	VC [†]	Sample mean (SD)	2.24 (0.50)	36	2.27 (0.61)	39	0.351
		Difference [‡]	-0.04 (0.27)	35	0.03 (0.33)	36	
	FVC [†]	Sample mean (SD)	2.05 (0.56)	36	2.07 (0.63)	39	0.888
		Difference [‡]	-0.02 (0.31)	35	-0.03 (0.33)	38	
	FEV ₁ [†]	Sample mean (SD)	0.66 (0.25)	36	0.65 (0.21)	39	0.265
		Difference [‡]	0.00 (0.07)	35	-0.02 (0.08)	39	
	DLCO [†]	Sample mean (SD)	6.90 (2.21)	30	6.18 (3.32)	28	0.850
		Difference [‡]	-0.35 (1.68)	21	-0.46 (2.04)	15	
	6-min-walk distance [†]	Sample mean (SD)	238.5 (88.62)	32	222.25 (109.96)	36	0.177
		Difference [‡]	8.80 (72.17)	31	-12.85 (55.34)	34	
	Time to NIV [†]	Sample mean (SD)	188.0 ± 8.9	3	234.7 ± 178.3	3	NA

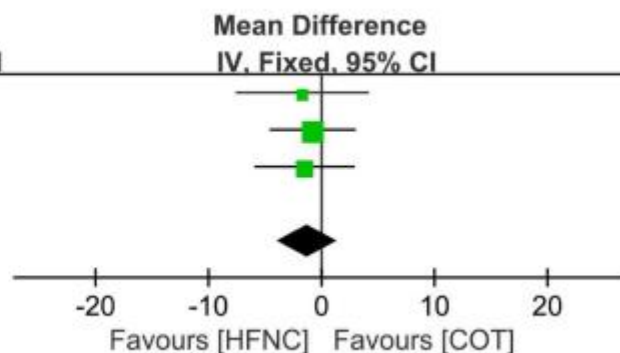
Home HFNC (vs. O₂) in stable COPD



(B) chronic

Study or Subgroup	Experimental			Control			Weight	Mean Difference	
	Mean	SD	Total	Mean	SD	Total		IV, Fixed, 95% CI	IV, Fixed, 95% CI
Nagata 2018 ²¹	50.9	8.4	13	52.6	7.6	16	19.5%	-1.70	[-7.59, 4.19]
Nagata 2022 ²	50.87	8.28	37	51.65	8.6	38	46.3%	-0.78	[-4.60, 3.04]
Storgaard 2020 ²²	54	10.5	31	55.5	8.25	43	34.2%	-1.50	[-5.94, 2.94]
Total (95% CI)			81			97	100.0%	-1.21	[-3.81, 1.39]

Heterogeneity: Chi² = 0.09, df = 2 (P = 0.96); I² = 0%
 Test for overall effect: Z = 0.91 (P = 0.36)

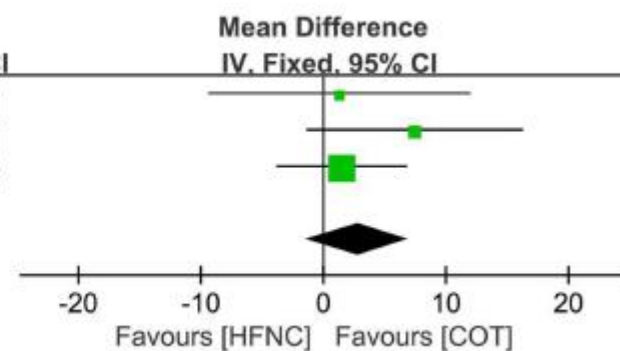


PaCO₂

(B) chronic

Study or Subgroup	Experimental			Control			Weight	Mean Difference	
	Mean	SD	Total	Mean	SD	Total		IV, Fixed, 95% CI	IV, Fixed, 95% CI
Nagata 2018 ²¹	80.9	12.7	13	79.6	16.7	16	15.4%	1.30	[-9.41, 12.01]
Nagata 2022 ²	84.82	23.4	37	77.37	14.53	38	22.6%	7.45	[-1.39, 16.29]
Storgaard 2020 ²²	72.75	11.25	31	71.25	12	43	62.0%	1.50	[-3.84, 6.84]
Total (95% CI)			81			97	100.0%	2.81	[-1.39, 7.02]

Heterogeneity: Chi² = 1.37, df = 2 (P = 0.51); I² = 0%
 Test for overall effect: Z = 1.31 (P = 0.19)



PaO₂

Summary



- Long-term Oxygen Therapy (LTOT)
 - Survival benefit in patients with severe resting hypoxemia (not with moderate hypoxemia)
 - Isolated severe exertional hypoxemia
- Non-invasive ventilation (NIV)
 - 1st recommended treatment for respiratory support in AE COPD with hypercapnia
 - Mortality benefit in stable COPD
 - Ambulatory NIV
- High-flow nasal cannula (HFNC)
 - Possible alternative to NIV in AE COPD (currently, insufficient evidence)
 - Superior to conventional O₂ in AE COPD and stable COPD



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